

AREA SUBSTANCE ABUSE COUNCIL

Screens Summary (Data as of 12-31-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	31.46	15.23	11.66	13.52	✔	12.10	1.00	3.68	✔	☐	✔	0.04	✔	
<i>percentile rank</i>			24	18	10	8										
<i># of funds in category</i>			1,394	1,210	1,065	815										
MFS Growth R6	MFEKX	Large Growth	37.81	22.84	15.36	—	✔	12.96	0.95	17.76	✔	☐	✔	0.57	✔	
<i>percentile rank</i>			10	8	8	—										
<i># of funds in category</i>			1,363	1,230	1,098	822										
Invesco Diversified Dividend R6	LCEFX	Large Value	25.58	8.08	8.17	—	✔	9.31	0.74	17.01	✔	☐	✔	0.41	✔	
<i>percentile rank</i>			48	83	48	—										
<i># of funds in category</i>			1,215	1,097	951	697										
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	31.03	12.36	9.25	13.08	✔	13.00	0.99	21.63	✔	☐	✔	0.05	✔	
<i>percentile rank</i>			13	11	17	7										
<i># of funds in category</i>			404	361	292	220										
PGIM Jennison Small Company R6	PJSQX	Small Growth	28.99	10.41	8.15	—	✔	15.19	0.89	19.67	✔	☐	✖	0.69	✔	
<i>percentile rank</i>			38	72	79	—										
<i># of funds in category</i>			642	574	509	383										
DFA US Small Cap Value I	DFSVX	Small Value	18.12	2.44	4.91	10.68	✔	17.93	1.12	7.84	✔	☐	✔	0.52	✔	
<i>percentile rank</i>			82	75	60	35										
<i># of funds in category</i>			419	387	346	237										
Non-US Stock																
Invesco Oppenheimer Developing Mkts R6	ODVIX	Diversified Emerging Mkts	24.53	14.13	6.62	—	✔	13.45	0.90	12.68	✔	☐	✔	0.83	✔	
<i>percentile rank</i>			20	13	21	—										
<i># of funds in category</i>			832	711	577	243										
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	27.54	11.49	5.51	6.13	✔	11.43	0.98	11.25	✔	☐	✔	0.57	✔	
<i>percentile rank</i>			5	5	49	14										
<i># of funds in category</i>			736	632	498	377										
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	22.05	9.69	6.18	5.74	✔	11.40	0.98	6.86	✔	☐	✔	0.07	✔	
<i>percentile rank</i>			42	38	21	26										
<i># of funds in category</i>			736	632	498	377										
Alternative																
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	28.94	8.37	7.17	11.97	✔	12.06	0.98	23.65	✔	—	✔	0.11	✔	
<i>percentile rank</i>			29	46	38	29										
<i># of funds in category</i>			259	224	200	138										
Fixed Income																
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	8.16	3.15	2.45	3.25	✔	2.96	0.98	8.37	✔	—	—	0.10	✔	
<i>percentile rank</i>			45	35	33	23										
<i># of funds in category</i>			221	194	163	104										

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
Baird Aggregate Bond Inst <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate-Term Bond	9.48 15 431	4.38 10 383	3.43 8 328	4.65 8	✔️	2.89	0.99	19.27	✔️	☒	—	0.30	✔️
PIMCO Income Instl <i>percentile rank</i> <i># of funds in category</i>	PIMIX	Multisector Bond	8.05 78 302	5.68 20 265	5.66 6 223	8.76 1	✔️	1.92	0.21	12.76	✔️	—	—	1.05	✔️
Federated Government Ultrashrt Dur R6 <i>percentile rank</i> <i># of funds in category</i>	FGULX	Ultrashort Bond	2.16 93 201	1.71 85 161	— — 130	— — 62	✔️	0.24	0.02	22.49	✔️	—	—	0.24	✔️

Target Date

BlackRock LifePath® Index 2025 K <i>percentile rank</i> <i># of funds in category</i>	LIBKX	Target Date	18.98 36 233	9.04 33 197	6.64 25 158	— — 83	✔️	6.51	0.99	8.59	✔️	—	✔️	0.01	✔️
BlackRock LifePath® Index 2030 K <i>percentile rank</i> <i># of funds in category</i>	LINKX	Target Date	21.08 30 242	9.99 34 207	7.27 28 165	— — 95	✔️	7.59	0.99	8.59	✔️	—	✔️	0.07	✔️
BlackRock LifePath® Index 2035 K <i>percentile rank</i> <i># of funds in category</i>	LIJXX	Target Date	23.08 26 230	10.88 28 194	7.87 23 155	— — 80	✔️	8.69	0.98	8.59	✔️	—	✔️	0.08	✔️
BlackRock LifePath® Index 2040 K <i>percentile rank</i> <i># of funds in category</i>	LIKXX	Target Date	25.01 14 242	11.69 18 207	8.42 16 165	— — 95	✔️	9.66	0.98	8.59	✔️	—	✔️	0.08	✔️
BlackRock LifePath® Index 2045 K <i>percentile rank</i> <i># of funds in category</i>	LIHXX	Target Date	26.25 7 230	12.23 10 194	8.75 8 155	— — 79	✔️	10.38	1.01	8.59	✔️	—	✔️	0.08	✔️
BlackRock LifePath® Index 2050 K <i>percentile rank</i> <i># of funds in category</i>	LIPXX	Target Date	26.77 6 242	12.37 8 207	8.85 7 165	— — 77	✔️	10.70	1.02	8.59	✔️	—	✔️	0.08	✔️
BlackRock LifePath® Index 2055 K <i>percentile rank</i> <i># of funds in category</i>	LIVXX	Target Date	26.89 6 230	12.42 10 192	8.89 6 150	— — 15	✔️	10.72	1.02	8.59	✔️	—	✔️	0.08	✔️
BlackRock LifePath® Index 2060 K <i>percentile rank</i> <i># of funds in category</i>	LIZXX	Target Date	26.92 8 216	12.43 10 147	— — 34	— —	✔️	10.73	1.02	3.84	✔️	—	✔️	0.12	✔️
BlackRock LifePath® Index Retire K <i>percentile rank</i> <i># of funds in category</i>	LIRXX	Target Date	15.90 11 184	7.39 8 158	5.49 4 132	— — 88	✔️	4.76	1.14	8.59	✔️	—	✔️	0.09	✔️

Balanced

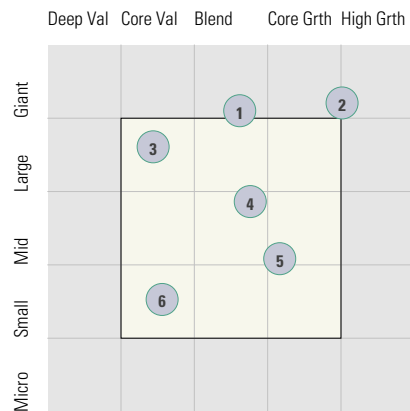
American Funds American Balanced R6 <i>percentile rank</i> <i># of funds in category</i>	RLBGX	Moderate Allocation	19.55 48 699	10.56 12 644	8.47 6 571	10.48 5 420	✔️	7.00	1.01	21.01	✔️	—	✖️	0.28	✔️
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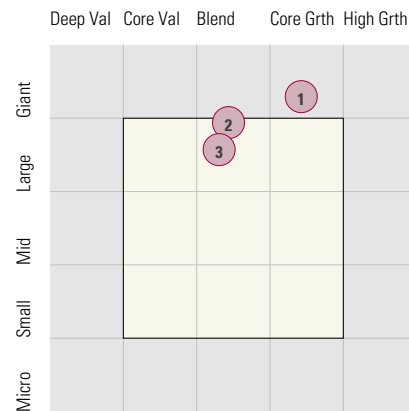
Style Analysis (Data as of 12-31-2019)

Style Analysis

Morningstar Style Box US Stock



Morningstar Style Box Non-US Stock



● US Stock Funds	Category	Super Sectors %			Asset Allocation %			Avg Mkt Cap M\$
		Cyclical	Defensive	Sensitive	Cash	US Stock	Foreign	
1 Vanguard 500 Index Admiral	Large Blend Index	30.69	25.24	44.08	0.35	98.70	0.95	71.43
2 MFS Growth R6	Large Growth	33.00	18.53	48.45	1.55	96.83	1.62	50.98
3 Invesco Diversified Dividend R6	Large Value	23.88	52.61	23.51	4.52	76.96	18.52	28.04
4 Vanguard Mid Cap Index Admiral	Mid-Cap Blend	39.14	21.90	38.96	0.77	97.44	1.78	10.75
5 PGIM Jennison Small Company R6	Small Growth	46.04	20.46	33.50	4.17	91.93	3.90	2.55
6 DFA US Small Cap Value I	Small Value	46.48	8.93	44.57	1.27	96.66	2.06	1.30

● Non-US Stock Funds	Category	Super Sectors %			Regional Exposure %			Market Maturity %		
		Cyclical	Defensive	Sensitive	Americas	Greater Asia	Greater Europe	Developed	EM%	Avg Mkt Cap M\$
1 Invesco Oppenheimer Developing Mkts R6	Diversified Emerging Mkts	62.18	12.38	25.44	18.25	59.19	22.56	27.27	72.73	18.22
2 American Funds Intl Gr and Inc R6	Foreign Large Blend	37.17	24.91	37.91	14.70	30.35	54.96	82.18	17.82	28.99
3 Vanguard Developed Markets Index Admiral	Foreign Large Blend Index	40.27	23.69	36.05	9.63	36.45	53.91	98.88	1.12	30.79

● Fixed Income Funds	Category	Super Sectors %				Other Stats	Credit Quality %		
		US Govt Debt	Mtg	US Credit	Non US		Avg Eff Duration	Inv Grade	Below Inv Grade
1 Vanguard Inflation-Protected Secs Adm	Inflation-Protected Bond	97.66	0.00	0.00	0.00	7.38	100.00	0.00	0.00
2 Baird Aggregate Bond Inst	Intermediate-Term Bond	24.33	25.13	41.86	0.00	5.87	99.70	0.30	0.00
3 PIMCO Income Instl	Multisector Bond	23.47	13.75	18.66	0.00	0.41	81.00	19.00	0.00
4 Federated Government Ultrashrt Dur R6	Ultrashort Bond	15.26	56.99	0.00	0.00	0.38	100.00	0.00	0.00