

SOLE PROPRIETOR INC

Screens Summary (Data as of 12-31-2019)

⊖ Fund Removed from Approved List
 ! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	30.66 42 1,394	15.33 16 1,210	11.76 8 1,065	12.99 32 815	✓	10.09	0.81	19.76	✓	☒	✓	0.91	✓	
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	30.87 39 1,394	14.71 31 1,210	11.14 28 1,065	13.02 32 815	✓	12.11	1.00	19.76	✓	☒	✓	0.50	✓	
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	29.98 70 1,363	18.92 43 1,230	13.10 39 1,098	13.96 40 822	✓	13.85	1.02	29.31	✓	☒	✓	0.81	✓	
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	25.48 85 1,394	13.50 54 1,210	10.58 43 1,065	12.70 41 815	✓	10.26	0.83	22.52	✓	☒	✓	0.64	✓	
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	33.13 46 619	15.80 48 566	10.34 54 502	12.76 54 386	✓	15.03	1.05	16.93	✓	☒	✓	1.33	✓	
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	28.88 25 422	7.75 45 377	8.63 16 342	12.19 16 233	✓	13.28	1.00	15.76	✓	☒	✓	0.96	✓	
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	25.03 66 642	11.02 67 574	8.22 77 509	12.12 67 383	✓	17.48	1.03	24.02	✓	☒	✓	1.34	✓	
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	27.83 9 419	5.59 24 387	7.61 17 346	11.39 17 237	✓	16.71	1.03	22.68	✓	☒	✗	1.15	✓	
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	31.89 3 832	— — 711	— — 577	— — 243	—	—	—	14.34	✓	☒	✓	1.04	✓	
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	21.46 53 736	9.18 53 632	5.36 56 498	5.04 57 377	✓	10.97	0.94	12.70	✓	☒	✓	0.60	✓	
! Invesco Oppenheimer International Gr R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	28.32 46 469	9.14 94 405	5.46 82 338	6.77 53 249	✓	13.06	1.11	23.78	✓	☒	✓	1.35	✓	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	20.39 21 347	9.61 6 305	5.17 13 253	4.91 29 165	✓	11.56	1.04	11.63	✓	☒	✗	0.37	✓	
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	30.03 21 876	16.38 15 728	11.07 12 610	10.77 12 370	✓	11.96	0.96	19.09	✓	☒	✓	0.79	✓	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
Alternative															
PIMCO Commodity Real Ret Strat A	PCRAX	Commodities Broad Basket	11.75	-0.64	-3.72	-3.77		9.71	1.11	5.00		—	—	1.91	
<i>percentile rank</i>			19	54	56	24									
<i># of funds in category</i>			131	107	97	36									
T. Rowe Price Global Real Estate Adv	PAGEX	Global Real Estate	23.06	6.91	4.96	8.29		10.04	0.96	4.75				1.15	
<i>percentile rank</i>			51	91	77	46									
<i># of funds in category</i>			226	201	171	112									
Fixed Income															
Eaton Vance Income Fund of Boston A	EVIBX	High Yield Bond	13.27	5.29	5.19	6.79		3.69	0.87	5.16		—	—	1.04	
<i>percentile rank</i>			51	57	40	35									
<i># of funds in category</i>			713	618	543	340									
BlackRock Inflation Protected Bond Inv A	BPRAX	Inflation- Protected Bond	7.94	2.79	1.94	2.64		2.95	0.97	2.88		—	—	0.75	
<i>percentile rank</i>			54	55	64	60									
<i># of funds in category</i>			221	194	163	104									
Baird Aggregate Bond Inv	BAGSX	Intermediate- Term Bond	9.17	4.10	3.16	4.39		2.87	0.98	19.27			—	0.55	
<i>percentile rank</i>			21	23	19	11									
<i># of funds in category</i>			431	383	328	252									
PIMCO Income A	PONAX	Multisector Bond	7.62	5.26	5.24	8.34		1.92	0.21	12.76		—	—	1.45	
<i>percentile rank</i>			84	32	11	2									
<i># of funds in category</i>			302	265	223	120									
Federated Government Ultrashrt Dur Svc	FEUSX	Ultrashort Bond	2.05	1.63	1.01	0.67		0.24	0.03	22.49		—	—	0.36	
<i>percentile rank</i>			96	87	91	87									
<i># of funds in category</i>			201	161	130	62									
Templeton Global Bond A	TPINX	World Bond	0.63	1.42	1.19	3.45		6.29	-0.94	18.01		—	—	0.86	
<i>percentile rank</i>			95	87	88	50									
<i># of funds in category</i>			316	283	236	80									
Target Date															
American Funds 2010 Trgt Date Retire R4	RDATX	Target Date	13.42	6.63	5.13	6.68		4.40	0.93	12.92		—		0.66	
<i>percentile rank</i>			62	53	47	28									
<i># of funds in category</i>			134	96	83	62									
American Funds 2015 Trgt Date Retire R4	RDBTX	Target Date	14.58	7.16	5.48	7.14		4.84	0.93	12.92		—		0.66	
<i>percentile rank</i>			74	56	47	38									
<i># of funds in category</i>			128	99	73	47									
American Funds 2020 Trgt Date Retire R4	RDCTX	Target Date	15.28	7.92	6.00	7.79		5.36	0.92	12.92		—		0.66	
<i>percentile rank</i>			73	42	34	20									
<i># of funds in category</i>			234	199	157	93									
American Funds 2025 Trgt Date Retire R4	RDDTX	Target Date	17.41	9.09	6.74	8.77		6.46	0.97	12.92		—		0.68	
<i>percentile rank</i>			78	31	22	4									
<i># of funds in category</i>			233	197	158	83									

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	19.71 69 242	10.47 15 207	7.71 9 165	9.49 5 95		7.67	0.98	12.92		—		0.70	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	22.90 29 230	11.89 4 194	8.61 2 155	9.96 4 80		9.19	1.02	12.92		—		0.72	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	23.94 38 242	12.37 3 207	8.92 2 165	10.16 6 95		9.68	0.97	12.92		—		0.73	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	24.25 62 230	12.56 3 194	9.05 2 155	10.23 5 79		9.86	0.94	12.92		—		0.73	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	24.61 57 242	12.71 3 207	9.17 1 165	10.29 6 77		10.04	0.95	12.92		—		0.74	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	24.60 64 230	12.71 5 192	9.15 2 150	— — 15		10.04	0.95	9.92		—		0.75	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	24.53 77 216	12.66 4 147	— — 34	— — —		10.02	0.94	4.77		—		0.76	

Balanced

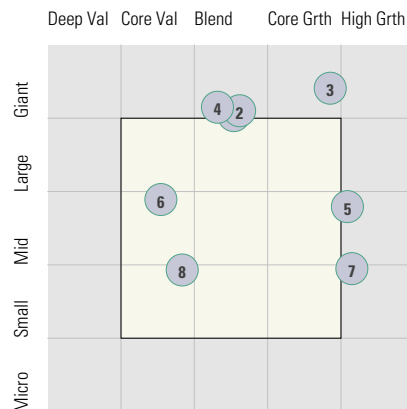
BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	17.21 45 469	7.06 59 389	4.72 50 331	5.50 68 193		6.94	0.99	16.01				1.08	
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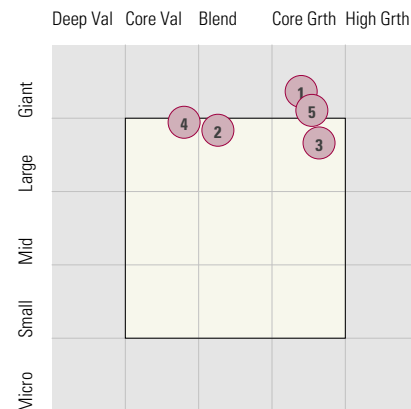
Style Analysis (Data as of 12-31-2019)

Style Analysis

Morningstar Style Box US Stock



Morningstar Style Box Non-US Stock



US Stock Funds

Rank	Fund Name	Category	Super Sectors %			Asset Allocation %			Avg Mkt Cap M\$
			Cyclical	Defensive	Sensitive	Cash	US Stock	Foreign	
1	T. Rowe Price Dividend Growth Advisor	Large Blend	32.63	30.63	36.74	5.51	88.86	5.63	47.20
2	BNY Mellon S&P 500 Index	Large Blend Index	30.76	25.17	44.05	0.21	98.84	0.95	71.20
3	Fidelity® Contrafund®	Large Growth	36.49	17.55	45.95	0.05	91.84	7.32	72.75
4	American Funds Washington Mutual R4	Large Value	28.19	25.23	46.59	3.72	87.81	8.16	92.42
5	Neuberger Berman Mid Cap Growth R3	Mid-Cap Growth	23.11	21.75	55.14	0.63	96.42	2.95	8.18
6	American Century Mid Cap Value Inv	Mid-Cap Value	40.49	30.22	29.29	2.55	87.29	10.16	11.80
7	Carillon Eagle Small Cap Growth R3	Small Growth	23.42	31.41	45.16	1.56	96.97	1.47	2.67
8	Delaware Small Cap Value A	Small Value	52.51	9.80	37.69	3.20	94.79	2.12	2.27

Non-US Stock Funds

Rank	Fund Name	Category	Super Sectors %			Regional Exposure %			Market Maturity %		
			Cyclical	Defensive	Sensitive	Americas	Greater Asia	Greater Europe	Developed	EM%	Avg Mkt Cap M\$
1	JPMorgan Emerging Markets Equity R4	Diversified Emerging Mkts	54.17	18.93	26.90	16.34	72.63	11.03	28.63	71.37	—
2	BNY Mellon International Stock Index Inv	Foreign Large Blend Index	39.30	27.32	33.39	1.17	36.64	62.19	99.47	0.53	35.74
3	Invesco Oppenheimer International Gr R	Foreign Large Growth	23.21	31.00	45.78	13.26	25.86	60.87	94.66	5.34	15.13
4	Vanguard International Value Inv	Foreign Large Value	33.27	21.85	44.88	7.63	37.88	54.50	89.21	10.79	33.22
5	American Funds New Perspective R4	World Stock	35.92	22.64	41.44	58.10	14.02	27.87	94.81	5.19	55.05

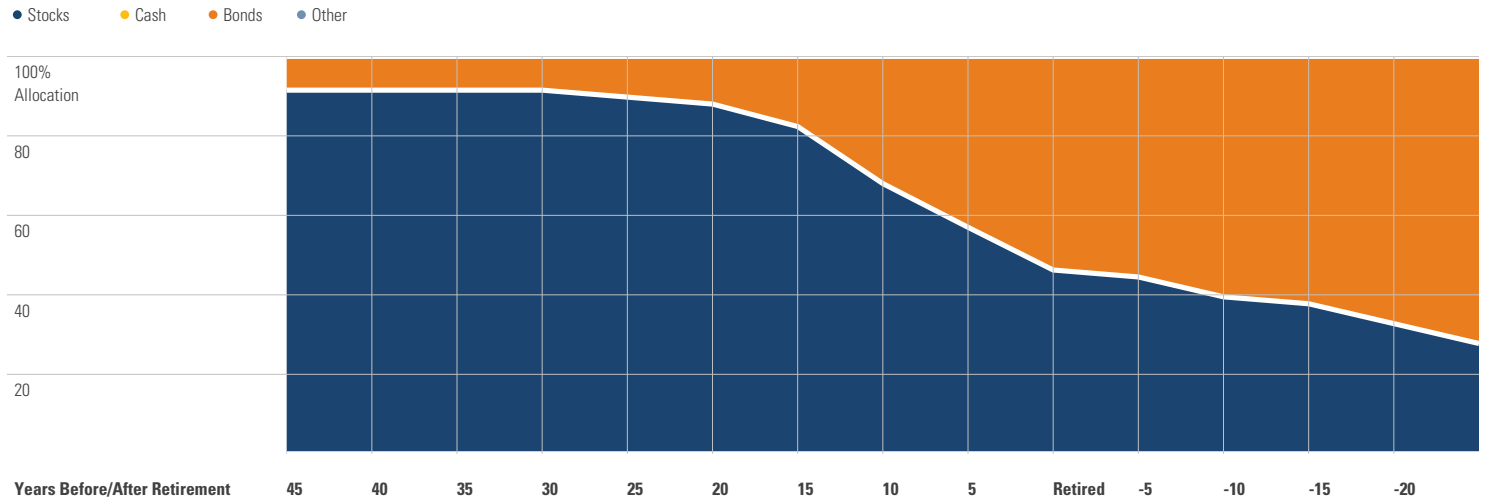
Fixed Income Funds

Rank	Fund Name	Category	Super Sectors %				Other Stats	Credit Quality %		
			US Govt Debt	Mtg	US Credit	Non US		Avg Eff Duration	Inv Grade	Below Inv Grade
1	Eaton Vance Income Fund of Boston A	High Yield Bond	0.00	0.00	90.04	0.00	2.53	6.33	93.28	0.40
2	BlackRock Inflation Protected Bond Inv A	Inflation-Protected Bond	92.27	3.09	0.17	0.00	7.74	99.78	0.22	0.00
3	Baird Aggregate Bond Inv	Intermediate-Term Bond	24.33	25.13	41.86	0.00	5.87	99.70	0.30	0.00
4	PIMCO Income A	Multisector Bond	23.47	13.75	18.66	0.00	0.41	81.00	19.00	0.00
5	Federated Government Ultrashrt Dur Svc	Ultrashort Bond	15.26	56.99	0.00	0.00	0.38	100.00	0.00	0.00
6	Templeton Global Bond A	World Bond	76.93	0.00	0.06	0.00	-1.39	85.16	17.29	-2.44

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Target Date Analysis (Data as of 12-31-2019)

Target Date Allocation



Target Date Portfolio Data

Asset Allocation %

	Total Stock	US Stock	Non-US Stock	Total Bond	Infl Prot Bond	HY Bond	Cash	Other	Avg Mkt Cap \$M
American Funds 2010 Trgt Date Retire R4	38.23	26.71	11.52	53.65	13.92	5.03	7.26	0.87	61.97
American Funds 2015 Trgt Date Retire R4	42.86	29.93	12.93	49.33	14.77	4.99	6.97	0.83	60.10
American Funds 2020 Trgt Date Retire R4	45.02	32.10	12.92	47.25	15.02	4.57	6.93	0.80	54.76
American Funds 2025 Trgt Date Retire R4	54.53	37.01	17.52	38.10	14.42	0.94	6.68	0.68	47.62
American Funds 2030 Trgt Date Retire R4	63.77	42.91	20.86	28.93	14.79	0.79	6.70	0.60	45.00
American Funds 2035 Trgt Date Retire R4	77.26	50.82	26.44	15.99	12.24	0.32	6.23	0.51	43.38
American Funds 2040 Trgt Date Retire R4	81.79	53.77	28.02	11.16	4.11	0.29	6.57	0.47	43.20
American Funds 2045 Trgt Date Retire R4	83.14	54.69	28.45	9.80	4.03	0.20	6.55	0.51	43.56
American Funds 2050 Trgt Date Retire R4	84.49	56.16	28.33	8.48	4.05	0.12	6.55	0.48	43.58
American Funds 2055 Trgt Date Retire R4	84.48	56.15	28.33	8.49	4.05	0.12	6.55	0.48	43.54
American Funds 2060 Trgt Date Retire R4	84.50	56.16	28.34	8.49	4.05	0.12	6.55	0.48	-