

SOLE PROPRIETOR INC

Screens Summary (Data as of 12-31-2020)

⊖ Fund Removed from Approved List
 ! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	13.62 67 1,369	13.56 39 1,237	14.18 42 1,078	13.06 38 817	✓	16.30	0.85	20.77	✓	☒	✓	0.91	✓	
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	17.77 45 1,369	13.60 38 1,237	14.63 34 1,078	13.33 31 817	✓	18.80	1.00	20.77	✓	☒	✓	0.50	✓	
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	32.50 54 1,291	19.01 60 1,204	18.16 51 1,081	15.39 47 796	✓	19.60	0.97	30.31	✓	☒	✓	0.85	✓	
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	7.71 91 1,369	9.45 83 1,237	12.29 77 1,078	12.13 63 817	✓	17.18	0.90	23.52	✓	☒	✓	0.62	✓	
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	38.68 37 604	19.97 43 564	17.57 48 504	13.71 58 383	✓	21.72	0.99	17.93	✓	☒	✓	1.34	✓	
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	1.62 61 415	4.44 47 396	9.32 39 349	10.38 16 247	✓	20.64	0.89	16.76	✓	☒	✓	0.98	✓	
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	36.90 47 616	15.20 65 576	15.49 68 505	12.29 72 383	✓	25.16	0.98	25.02	✓	☒	✖	1.34	✓	
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	-1.47 77 416	1.26 62 386	8.73 32 358	8.27 40 259	✓	27.04	1.01	23.68	✓	☒	✓	1.15	✓	
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	34.68 8 797	14.25 5 697	– – 597	– – 279	✓	20.82	1.01	15.34	✓	☒	✓	1.04	✓	
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	7.50 65 780	3.97 61 674	7.15 66 584	5.06 60 383	✓	17.86	0.97	10.52	✓	☒	✓	0.60	✓	
! Invesco Oppenheimer International Gr R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	21.59 50 452	7.78 82 388	9.03 84 318	7.41 55 234	✓	18.62	0.97	24.79	✓	☒	✓	1.35	✓	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	8.99 5 353	3.90 5 323	8.43 3 281	5.08 13 184	✓	20.05	1.09	10.60	✓	☒	✖	0.38	✓	
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	33.34 14 869	17.72 13 762	16.44 12 636	12.64 10 390	✓	19.34	1.06	20.10	✓	☒	✓	0.77	✓	

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Alternative															
PIMCO Commodity Real Ret Strat A <i>percentile rank</i> <i># of funds in category</i>	PCRAX	Commodities Broad Basket	0.42 35 124	-1.27 33 106	2.37 38 95	-5.74 38 55		17.58	1.22	6.00		—	—	1.78	
T. Rowe Price Global Real Estate Adv <i>percentile rank</i> <i># of funds in category</i>	PAGEX	Global Real Estate	-4.13 33 204	2.91 50 187	3.79 75 166	5.63 48 100		17.78	0.88	5.76				1.15	
Fixed Income															
Eaton Vance Income Fund of Boston A <i>percentile rank</i> <i># of funds in category</i>	EVIBX	High Yield Bond	4.81 59 678	4.89 54 629	6.62 64 556	5.82 42 352		8.65	0.91	6.16		—	—	1.00	
BlackRock Inflation Protected Bond Inv A <i>percentile rank</i> <i># of funds in category</i>	BPRAX	Inflation- Protected Bond	11.53 18 207	5.64 42 197	4.70 44 173	3.18 51 117		4.08	1.04	3.88		—	—	0.90	
Baird Aggregate Bond Inv <i>percentile rank</i> <i># of funds in category</i>	BAGSX	Intermediate- Term Bond	8.42 25 416	5.59 21 381	4.80 21 331	4.42 11 253		3.82	1.07	20.27			—	0.55	
PIMCO Income A <i>percentile rank</i> <i># of funds in category</i>	PONAX	Multisector Bond	5.38 56 340	4.35 57 299	5.88 33 262	6.94 2 138		5.78	0.88	13.77		—	—	1.49	
Federated Hermes Govt Ultrashort Svc <i>percentile rank</i> <i># of funds in category</i>	FEUSX	Ultrashort Bond	1.28 59 212	1.70 74 172	1.27 87 134	0.73 82 67		0.47	0.05	23.49		—	—	0.36	
Templeton Global Bond A <i>percentile rank</i> <i># of funds in category</i>	TPINX	World Bond	-4.41 88 316	-0.87 95 269	1.16 92 240	1.76 89 96		6.15	-0.49	19.01		—	—	0.92	
Target Date															
American Funds 2010 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDATX	Target Date	8.83 94 119	6.26 86 88	7.17 65 75	6.61 28 52		7.33	0.90	9.01		—		0.65	
American Funds 2015 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDBTX	Target Date	9.47 82 118	6.74 80 97	7.63 81 74	7.11 30 44		8.13	0.91	9.01		—		0.65	
American Funds 2020 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDCTX	Target Date	10.55 67 178	7.29 64 157	8.19 61 118	7.76 26 72		8.63	0.87	9.01		—		0.66	
American Funds 2025 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDDTX	Target Date	13.35 35 214	8.59 29 187	9.48 37 151	8.88 4 87		10.17	0.90	9.01		—		0.68	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	14.77 21 224	9.46 20 197	10.68 25 158	9.70 3 89		12.08	0.93	9.01		—		0.70	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	17.11 8 207	10.79 3 184	12.04 8 148	10.39 2 84		14.53	0.97	9.01		—		0.72	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	18.37 8 218	11.37 1 197	12.61 1 158	10.70 2 89		15.58	0.94	9.01		—		0.73	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	18.80 5 207	11.57 1 184	12.83 3 148	10.83 2 83		15.89	0.91	9.01		—		0.74	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	19.03 7 217	11.75 1 196	12.98 1 157	10.90 3 78		16.13	0.90	9.01		—		0.74	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	19.00 6 207	11.73 1 184	12.96 5 145	10.88 4 44		16.15	0.90	9.01		—		0.74	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	19.02 4 257	11.71 1 181	12.95 5 93	— — —		16.13	0.90	5.77		—		0.75	
American Funds 2065 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDLTX	Target Date	— — 257	— — 181	— — 93	— — —	—	—	—	1.00		—		0.76	

Balanced

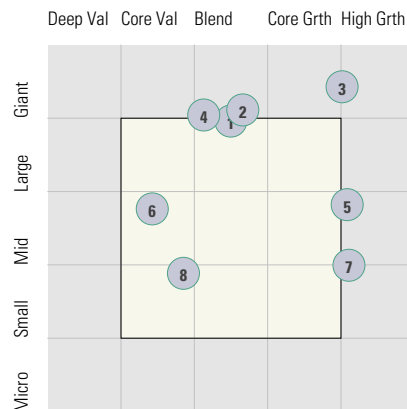
BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	20.79 4 475	9.36 3 415	8.98 15 362	6.51 31 220		12.41	1.05	4.00				1.08	
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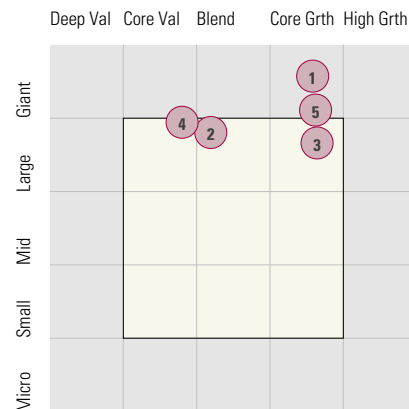
Style Analysis (Data as of 12-31-2020)

Style Analysis

Morningstar Style Box US Stock



Morningstar Style Box Non-US Stock



US Stock Funds

	Category	Super Sectors %			Asset Allocation %			Avg Mkt Cap M\$	
		Cyclical	Defensive	Sensitive	Cash	US Stock	Foreign		
1	T. Rowe Price Dividend Growth Advisor	Large Blend	30.97	33.13	35.90	3.98	89.46	6.56	47.20
2	BNY Mellon S&P 500 Index	Large Blend Index	28.61	27.32	44.07	0.31	98.38	1.26	71.20
3	Fidelity® Contrafund®	Large Growth	34.92	17.09	47.99	0.87	91.05	7.18	72.75
4	American Funds Washington Mutual R4	Large Value	25.88	30.98	43.14	5.07	86.70	7.96	92.42
5	Neuberger Berman Mid Cap Growth R3	Mid-Cap Growth	20.43	22.28	57.28	1.44	94.53	4.03	8.18
6	American Century Mid Cap Value Inv	Mid-Cap Value	37.38	32.74	29.88	3.36	84.34	12.30	11.80
7	Carillon Eagle Small Cap Growth R3	Small Growth	16.75	39.98	43.27	0.33	97.31	2.36	2.67
8	Delaware Small Cap Value A	Small Value	53.48	10.44	36.08	3.65	93.39	2.07	2.27

Non-US Stock Funds

	Category	Super Sectors %			Regional Exposure %			Market Maturity %			
		Cyclical	Defensive	Sensitive	Americas	Greater Asia	Greater Europe	Developed	EM%	Avg Mkt Cap M\$	
1	JPMorgan Emerging Markets Equity R4	Diversified Emerging Mkts	50.82	20.07	29.10	16.84	74.58	8.58	31.23	68.77	—
2	BNY Mellon International Stock Index Inv	Foreign Large Blend Index	35.84	31.39	32.77	0.83	37.57	61.61	99.57	0.43	35.74
3	Invesco Oppenheimer International Gr R	Foreign Large Growth	23.21	31.00	45.78	13.26	25.86	60.87	94.66	5.34	15.13
4	Vanguard International Value Inv	Foreign Large Value	33.27	21.85	44.88	7.63	37.88	54.50	89.21	10.79	33.22
5	American Funds New Perspective R4	World Stock	35.61	24.35	40.04	59.55	13.28	27.16	95.76	4.24	55.05

Fixed Income Funds

	Category	Super Sectors %				Other Stats		Credit Quality %		
		US Govt Debt	Mtg	US Credit	Non US	Avg Eff Duration	Inv Grade	Below Inv Grade	NR/NA	
1	Eaton Vance Income Fund of Boston A	High Yield Bond	0.00	0.00	88.06	0.00	2.55	8.04	89.77	2.19
2	BlackRock Inflation Protected Bond Inv A	Inflation-Protected Bond	95.14	0.34	1.52	0.00	6.90	99.78	0.22	0.00
3	Baird Aggregate Bond Inv	Intermediate-Term Bond	22.40	26.93	42.38	0.00	5.69	99.40	0.60	0.00
4	PIMCO Income A	Multisector Bond	23.42	14.10	18.31	0.00	0.91	81.00	19.00	0.00
5	Federated Hermes Govt Ultrashort Svc	Ultrashort Bond	15.26	56.99	0.00	0.00	0.38	100.00	0.00	0.00
6	Templeton Global Bond A	World Bond	82.53	0.00	0.06	0.00	-1.01	85.16	17.29	-2.44

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Target Date Analysis (Data as of 12-31-2020)

Target Date Allocation

● Stocks ● Cash ● Bonds ● Other



Target Date Portfolio Data

Asset Allocation %

	Total Stock	US Stock	Non-US Stock	Total Bond	Infl Prot Bond	HY Bond	Cash	Other	Avg Mkt Cap \$M
American Funds 2010 Trgt Date Retire R4	39.39	27.19	12.20	53.60	13.10	5.20	6.30	0.71	61.97
American Funds 2015 Trgt Date Retire R4	43.90	30.32	13.58	49.11	14.13	5.18	6.31	0.68	60.10
American Funds 2020 Trgt Date Retire R4	45.29	32.06	13.23	47.74	14.41	4.93	6.33	0.64	54.76
American Funds 2025 Trgt Date Retire R4	55.13	37.45	17.68	38.17	13.93	1.03	6.19	0.52	47.62
American Funds 2030 Trgt Date Retire R4	64.55	43.17	21.38	28.78	14.41	0.88	6.21	0.46	45.00
American Funds 2035 Trgt Date Retire R4	78.12	51.14	26.98	15.71	12.53	0.38	5.75	0.41	43.38
American Funds 2040 Trgt Date Retire R4	83.10	54.34	28.76	10.76	4.79	0.34	5.75	0.40	43.20
American Funds 2045 Trgt Date Retire R4	84.55	55.31	29.24	9.30	4.22	0.22	5.76	0.39	43.56
American Funds 2050 Trgt Date Retire R4	85.79	56.69	29.10	8.03	4.16	0.13	5.80	0.37	43.58
American Funds 2055 Trgt Date Retire R4	85.85	56.74	29.11	7.99	4.18	0.13	5.80	0.37	43.54
American Funds 2060 Trgt Date Retire R4	85.84	56.71	29.13	7.99	4.18	0.13	5.80	0.37	—
American Funds 2065 Trgt Date Retire R4	83.30	55.74	27.56	8.27	4.03	0.11	7.83	0.60	—