

# CONNECTICUT ECONOMIC RESOURCE

## Screens Summary (Data as of 06-30-2020)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

| Name  | Ticker | Asset Class                  | Trailing Performance and Risk |                      |                      |                    | Management    |                   |                | Style  |               | Expense      |               |              |               |  |
|---|--------|------------------------------|-------------------------------|----------------------|----------------------|--------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|--|
|   |        |                              | 1 Yr                          | 3 Yr                 | 5 Yr                 | 10 Yr              | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |  |
| <b>US Stock</b>   |        |                              |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| JPMorgan US Equity R6<br><i>percentile rank</i><br><i># of funds in category</i>                    | JUEMX  | Large Blend                  | 13.13<br>3<br>1,383           | 12.19<br>4<br>1,234  | 11.02<br>7<br>1,062  | -<br>-<br>811      | ✔             | 17.75             | 1.04           | 19.51  | ✔             | ⊠            | ✖             | 0.44         | ✔             |  |
| Fidelity® Contrafund®<br><i>percentile rank</i><br><i># of funds in category</i>                    | FCNTX  | Large Growth                 | 17.91<br>48<br>1,346          | 16.42<br>47<br>1,249 | 14.05<br>39<br>1,096 | 15.57<br>46<br>817 | ✔             | 17.82             | 1.00           | 29.81  | ✔             | ⊠            | ✔             | 0.85         | ✔             |  |
| American Funds Washington Mutual R6<br><i>percentile rank</i><br><i># of funds in category</i>      | RWMGX  | Large Value                  | 0.24<br>77<br>1,383           | 8.09<br>58<br>1,234  | 9.20<br>46<br>1,062  | 12.87<br>44<br>811 | ✔             | 15.69             | 0.91           | 23.01  | ✔             | ⊠            | ✔             | 0.27         | ✔             |  |
| Vanguard Mid Cap Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>           | VIMAX  | Mid-Cap Blend                | -0.20<br>12<br>410            | 6.45<br>8<br>370     | 6.99<br>10<br>296    | 12.47<br>5<br>216  | ✔             | 20.04             | 0.98           | 22.13  | ✔             | ⊠            | ✔             | 0.05         | ✔             |  |
| Wells Fargo Small Company Growth Inst<br><i>percentile rank</i><br><i># of funds in category</i>    | WSCGX  | Small Growth                 | -0.86<br>67<br>627            | 7.84<br>60<br>579    | 5.16<br>78<br>510    | 13.55<br>44<br>381 | ✔             | 24.40             | 1.05           | 25.65  | ✔             | ⊠            | ✔             | 0.94         | ✔             |  |
| Vanguard Small Cap Value Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>   | VSIAX  | Small Value                  | -16.48<br>34<br>436           | -2.56<br>18<br>412   | 2.00<br>16<br>372    | -<br>-<br>258      | ✔             | 23.30             | 0.98           | 4.18   | ✔             | ⊠            | ✖             | 0.07         | ✔             |  |
| <b>Non-US Stock</b>   |        |                              |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| American Funds New World R6<br><i>percentile rank</i><br><i># of funds in category</i>              | RNWXG  | Diversified<br>Emerging Mkts | 5.03<br>14<br>812             | 7.42<br>6<br>701     | 6.85<br>6<br>591     | 6.94<br>1<br>247   | ✔             | 16.60             | 0.85           | 21.05  | ✔             | ⊠            | ✔             | 0.60         | ✔             |  |
| American Funds Europacific Growth R6<br><i>percentile rank</i><br><i># of funds in category</i>     | REGGX  | Foreign Large<br>Blend       | 3.17<br>62<br>477             | 4.76<br>58<br>421    | 4.90<br>52<br>344    | 7.48<br>51<br>249  | ✔             | 16.90             | 1.08           | 19.09  | ✔             | ⊠            | ✔             | 0.46         | ✔             |  |
| Vanguard Developed Markets Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i> | VTMGX  | Foreign Large<br>Blend Index | -4.26<br>43<br>763            | 0.88<br>44<br>652    | 2.46<br>28<br>522    | 6.09<br>20<br>369  | ✔             | 16.20             | 1.00           | 7.36   | ✔             | ⊠            | ✔             | 0.07         | ✔             |  |
| <b>Alternative</b>  |        |                              |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| American Century Real Estate I<br><i>percentile rank</i><br><i># of funds in category</i>           | REAIX  | Real Estate                  | -9.03<br>49<br>253            | 2.21<br>38<br>225    | 4.70<br>47<br>199    | 9.66<br>35<br>140  | ✔             | 17.11             | 0.90           | 11.62  | ✔             | —            | ✔             | 0.96         | ✔             |  |
| <b>Fixed Income</b>   |        |                              |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| ⚠ PIMCO Real Return I2<br><i>percentile rank</i><br><i># of funds in category</i>                   | PRLPX  | Inflation-<br>Protected Bond | 8.27<br>24<br>212             | 4.84<br>32<br>196    | 3.63<br>26<br>170    | 3.39<br>22<br>115  | ✔             | 3.77              | 1.00           | 1.47   | ✖             | —            | —             | 1.08         | ✖             |  |

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| Name   | Ticker | Asset Class            | Trailing Performance and Risk |                   |                   |                   | Management    |                   |                | Style  |               | Expense      |               |              |               |
|--|--------|------------------------|-------------------------------|-------------------|-------------------|-------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
|  |        |                        | 1 Yr                          | 3 Yr              | 5 Yr              | 10 Yr             | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |
| Metropolitan West Total Return Bd I<br><i>percentile rank</i><br><i># of funds in category</i> | MWITX  | Intermediate-Term Bond | 9.07<br>11<br>601             | 5.59<br>10<br>534 | 4.33<br>34<br>455 | 4.87<br>13<br>333 | ✔             | 3.44              | 1.04           | 23.27  | ✔             | —            | —             | 0.44         | ✔             |
| PIMCO Income I2<br><i>percentile rank</i><br><i># of funds in category</i>                     | PONPX  | Multisector Bond       | 0.59<br>56<br>321             | 3.21<br>36<br>283 | 4.58<br>7<br>235  | 7.41<br>1<br>128  | ✔             | 5.52              | 0.87           | 13.26  | ✔             | —            | —             | 1.15         | ✔             |
| Federated Hermes Govt Ultrashort IS<br><i>percentile rank</i><br><i># of funds in category</i> | FGUSX  | Ultrashort Bond        | 2.16<br>36<br>205             | 1.88<br>69<br>164 | 1.26<br>85<br>127 | 0.83<br>77<br>60  | ⊕             | 0.46              | 0.07           | 22.99  | ✔             | —            | —             | 0.26         | ✔             |

### Target Date

|  |       |             |                   |                   |                  |                   |   |       |      |       |   |   |   |      |   |
|--|-------|-------------|-------------------|-------------------|------------------|-------------------|---|-------|------|-------|---|---|---|------|---|
| American Funds 2010 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFITX | Target Date | 4.58<br>59<br>123 | 5.17<br>50<br>93  | 5.39<br>10<br>76 | 7.22<br>10<br>59  | ✔ | 6.47  | 0.91 | 13.42 | ✔ | — | ✔ | 0.31 | ✔ |
| American Funds 2015 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFJTX | Target Date | 4.66<br>48<br>123 | 5.45<br>46<br>98  | 5.63<br>10<br>72 | 7.79<br>13<br>48  | ✔ | 7.11  | 0.91 | 13.42 | ✔ | — | ✔ | 0.31 | ✔ |
| American Funds 2020 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RRCTX | Target Date | 5.35<br>16<br>224 | 6.05<br>19<br>196 | 6.11<br>4<br>150 | 8.62<br>1<br>95   | ✔ | 7.59  | 0.87 | 13.42 | ✔ | — | ✔ | 0.31 | ✔ |
| American Funds 2025 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFDTX | Target Date | 6.71<br>2<br>230  | 7.04<br>2<br>201  | 6.89<br>1<br>160 | 9.83<br>1<br>94   | ✔ | 9.02  | 0.91 | 13.42 | ✔ | — | ✔ | 0.33 | ✔ |
| American Funds 2030 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFETX | Target Date | 6.31<br>6<br>239  | 7.52<br>6<br>210  | 7.41<br>1<br>165 | 10.53<br>1<br>103 | ✔ | 10.71 | 0.93 | 13.42 | ✔ | — | ✔ | 0.35 | ✔ |
| American Funds 2035 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFFTX | Target Date | 6.71<br>4<br>227  | 8.23<br>2<br>198  | 8.07<br>1<br>157 | 10.95<br>1<br>91  | ✔ | 12.99 | 0.97 | 13.42 | ✔ | — | ✔ | 0.37 | ✔ |
| American Funds 2040 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFCTX | Target Date | 6.53<br>2<br>239  | 8.38<br>2<br>210  | 8.24<br>1<br>165 | 11.11<br>1<br>103 | ✔ | 13.89 | 0.94 | 13.42 | ✔ | — | ✔ | 0.38 | ✔ |
| American Funds 2045 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFHTX | Target Date | 6.56<br>2<br>227  | 8.50<br>2<br>198  | 8.37<br>1<br>157 | 11.19<br>1<br>90  | ✔ | 14.18 | 0.91 | 13.42 | ✔ | — | ✔ | 0.38 | ✔ |
| American Funds 2050 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFITX | Target Date | 6.67<br>2<br>239  | 8.63<br>2<br>210  | 8.47<br>1<br>165 | 11.24<br>1<br>83  | ✔ | 14.41 | 0.91 | 13.42 | ✔ | — | ✔ | 0.39 | ✔ |
| American Funds 2055 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFKTX | Target Date | 6.73<br>2<br>227  | 8.62<br>2<br>196  | 8.47<br>1<br>154 | 11.24<br>1<br>36  | ✔ | 14.41 | 0.90 | 10.42 | ✔ | — | ✔ | 0.40 | ✔ |
| American Funds 2060 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFUTX | Target Date | 6.66<br>2<br>254  | 8.59<br>2<br>165  | 8.45<br>1<br>63  | —<br>—<br>—       | ✔ | 14.37 | 0.90 | 5.27  | ✔ | — | ✔ | 0.41 | ✔ |

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## Screens Summary (Data as of 06-30-2020)

🚫 Fund Removed from Approved List

⚠️ Fund on Watch List

| Name                                | Ticker | Asset Class         | Trailing Performance and Risk |            |            |            |               |                   |                | Management |               | Style        |               | Expense      |               |
|-------------------------------------|--------|---------------------|-------------------------------|------------|------------|------------|---------------|-------------------|----------------|------------|---------------|--------------|---------------|--------------|---------------|
|                                     |        |                     | 1 Yr                          | 3 Yr       | 5 Yr       | 10 Yr      | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure     | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |
| <b>Balanced</b>                     |        |                     |                               |            |            |            |               |                   |                |            |               |              |               |              |               |
| American Funds American Balanced R6 | RLBGX  | Moderate Allocation | 6.62                          | 7.81       | 8.15       | 10.69      | ✔️            | 9.99              | 0.97           | 21.51      | ✔️            | —            | ✔️            | 0.26         | ✔️            |
| <i>percentile rank</i>              |        |                     | <i>17</i>                     | <i>15</i>  | <i>7</i>   | <i>3</i>   |               |                   |                |            |               |              |               |              |               |
| <i># of funds in category</i>       |        |                     | <i>685</i>                    | <i>636</i> | <i>559</i> | <i>412</i> |               |                   |                |            |               |              |               |              |               |