

PIONEER SPINE AND SPORTS PHYSICIANS

Screens Summary (Data as of 06-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth <i>percentile rank</i> <i># of funds in category</i>	PRDGX	Large Blend	3.04 62 1,383	10.05 33 1,234	10.46 18 1,062	13.48 28 811		14.63	0.84	20.26				0.62		
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	7.47 23 1,383	10.69 18 1,234	10.69 11 1,062	13.95 8 811		16.96	1.00	4.18				0.04		
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	16.83 53 1,346	14.69 61 1,249	13.21 50 1,096	15.04 55 817		17.43	0.97	26.68				0.31		
Invesco Growth and Income Y <i>percentile rank</i> <i># of funds in category</i>	ACGMX	Large Value	-13.72 92 1,198	-1.57 92 1,114	2.67 81 975	9.11 72 710		20.94	1.14	17.35				0.57		
MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	OTCKX	Mid-Cap Growth	12.50 34 603	17.73 17 559	13.93 12 501	– – 382		18.13	0.88	11.62				0.74		
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	-11.82 34 420	-0.02 25 386	3.61 27 346	– – 245		21.16	0.99	13.88				0.07		
Vanguard Small Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSGAX	Small Growth	7.78 36 627	11.80 38 579	9.26 37 510	– – 381		22.50	0.97	15.51				0.07		
Victory Sycamore Small Company Opp I <i>percentile rank</i> <i># of funds in category</i>	VSOIX	Small Value	-12.97 18 436	1.01 4 412	5.61 1 372	10.50 1 258		19.92	0.83	22.10				0.92		
Non-US Stock																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	5.03 14 812	7.42 6 701	6.85 6 591	6.94 1 247		16.60	0.85	21.05				0.60		
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGX	Foreign Large Blend	3.17 62 477	4.76 58 421	4.90 52 344	7.48 51 249		16.90	1.08	19.09				0.46		
Invesco Oppenheimer International Gr Y <i>percentile rank</i> <i># of funds in category</i>	OIGYX	Foreign Large Growth	5.41 46 477	3.25 82 421	3.85 71 344	7.84 39 249		16.65	1.04	24.28				0.85		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-8.90 26 331	-0.77 11 303	0.69 13 259	4.85 20 171		16.78	1.09	12.13				0.37		
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	11.14 17 866	11.30 15 765	10.52 13 630	12.37 12 372		16.50	0.98	19.59				0.42		

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Alternative															
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	-17.33	-5.58	-7.41	-4.93	✓	15.86	1.23	5.50	✓	—	—	1.56	✗
<i>percentile rank</i>			53	40	60	30									
<i># of funds in category</i>			130	112	97	49									
American Century Real Estate I	REAIX	Real Estate	-9.03	2.21	4.70	9.66	✓	17.11	0.90	11.62	✓	—	✓	0.96	✓
<i>percentile rank</i>			49	38	47	35									
<i># of funds in category</i>			253	225	199	140									
Fixed Income															
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	-0.61	3.20	4.22	6.66	✓	9.14	1.03	12.51	✓	—	—	0.62	✓
<i>percentile rank</i>			35	17	18	6									
<i># of funds in category</i>			703	641	550	347									
American Century Inflation Adjs Bond R5	AIANX	Inflation- Protected Bond	7.04	4.34	3.42	3.12	✓	3.95	1.05	18.59	✓	—	—	0.27	✓
<i>percentile rank</i>			46	49	35	41									
<i># of funds in category</i>			212	196	170	115									
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate- Term Bond	8.96	5.34	4.31	3.77	✓	3.32	1.01	7.36	✓	🇺🇸	—	0.05	✓
<i>percentile rank</i>			20	20	23	47									
<i># of funds in category</i>			421	380	331	249									
PIMCO Income I2	PONPX	Multisector Bond	0.59	3.21	4.58	7.41	✓	5.52	0.87	13.26	✓	—	—	1.15	✓
<i>percentile rank</i>			56	36	7	1									
<i># of funds in category</i>			321	283	235	128									
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	2.77	2.24	2.12	1.79	✓	2.37	0.12	9.50	✓	—	—	0.60	✗
<i>percentile rank</i>			10	29	9	10									
<i># of funds in category</i>			205	164	127	60									
Templeton Global Bond Adv	TGBAX	World Bond	-6.08	-0.92	0.56	2.86	✓	6.23	-0.53	18.51	✓	—	—	0.67	✓
<i>percentile rank</i>			85	84	84	50									
<i># of funds in category</i>			313	276	238	86									
Target Date															
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	5.45	5.78	5.47	7.55	✓	6.76	0.88	7.36	✓	—	✓	0.13	✓
<i>percentile rank</i>			27	26	29	35									
<i># of funds in category</i>			123	98	72	48									
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	5.09	6.09	5.96	8.31	✓	8.88	1.03	7.36	✓	—	✓	0.13	✓
<i>percentile rank</i>			24	18	12	14									
<i># of funds in category</i>			224	196	150	95									
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	4.82	6.33	6.29	8.88	✓	10.41	1.06	7.36	✓	—	✓	0.13	✓
<i>percentile rank</i>			24	22	14	17									
<i># of funds in category</i>			230	201	160	94									
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	4.40	6.42	6.47	9.37	✓	11.65	1.02	7.36	✓	—	✓	0.14	✓
<i>percentile rank</i>			30	26	22	21									
<i># of funds in category</i>			239	210	165	103									

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Vanguard Target Retirement 2035 Inv	VTTHX	Target Date	3.94	6.45	6.62	9.84		12.87	0.97	7.36		—		0.14	
<i>percentile rank</i>			26	27	24	19									
<i># of funds in category</i>			227	198	157	91									
Vanguard Target Retirement 2040 Inv	VFORX	Target Date	3.46	6.50	6.76	10.08		14.10	0.96	7.36		—		0.14	
<i>percentile rank</i>			26	20	22	20									
<i># of funds in category</i>			239	210	165	103									
Vanguard Target Retirement 2045 Inv	VTIVX	Target Date	2.97	6.41	6.79	10.10		15.15	0.98	7.36		—		0.15	
<i>percentile rank</i>			38	25	23	19									
<i># of funds in category</i>			227	198	157	90									
Vanguard Target Retirement 2050 Inv	VFIFX	Target Date	3.02	6.42	6.79	10.10		15.15	0.96	7.36		—		0.15	
<i>percentile rank</i>			34	24	22	24									
<i># of funds in category</i>			239	210	165	83									
Vanguard Target Retirement 2055 Inv	VFFVX	Target Date	2.95	6.40	6.77	—		15.14	0.96	7.36		—		0.15	
<i>percentile rank</i>			33	26	24	—									
<i># of funds in category</i>			227	196	154	36									
Vanguard Target Retirement 2060 Inv	VTTSX	Target Date	2.95	6.39	6.76	—		15.14	0.95	7.36		—		0.15	
<i>percentile rank</i>			31	30	44	—									
<i># of funds in category</i>			254	165	63	—									
Vanguard Target Retirement 2065 Inv	VLXVX	Target Date	2.84	—	—	—	—	—	—	2.97		—		0.15	
<i>percentile rank</i>			35	—	—	—									
<i># of funds in category</i>			254	165	63	—									
Vanguard Target Retirement Income Inv	VTINX	Target Date	5.69	5.39	4.93	5.84		5.55	0.86	7.36		—		0.12	
<i>percentile rank</i>			22	20	20	23									
<i># of funds in category</i>			181	157	134	96									
Balanced															
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	5.95	6.01	5.65	6.70		7.07	1.00	25.77		—		0.12	
<i>percentile rank</i>			17	10	7	23									
<i># of funds in category</i>			552	514	428	277									
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	3.65	6.37	6.61	9.44		13.50	1.00	25.77		—		0.14	
<i>percentile rank</i>			21	22	17	24									
<i># of funds in category</i>			335	309	279	194									
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	6.74	5.64	4.99	5.21		4.30	0.36	25.77		—		0.11	
<i>percentile rank</i>			9	5	10	34									
<i># of funds in category</i>			193	179	160	96									
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	4.87	6.23	6.18	8.16		10.25	1.00	25.77		—		0.13	
<i>percentile rank</i>			32	33	31	44									
<i># of funds in category</i>			685	636	559	412									