

HUNTLEIGH SECURITIES CORP 401K PLAN

Screens Summary (Data as of 06-30-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
US Stock															
JPMorgan US Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JUEMX	Large Blend	41.98 42 1,395	20.79 6 1,265	19.27 4 1,107	15.43 3 825		19.30	1.03	6.87				0.44	
Vanguard FTSE Social Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFTAX	Large Blend Index	42.06 41 1,395	— — 1,265	— — 1,107	— — 825	—	—	—	5.53				0.14	
JPMorgan Large Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	JLGMX	Large Growth	41.70 43 1,244	28.39 8 1,143	28.46 6 1,033	18.85 9 765		21.68	1.06	16.92				0.44	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	36.43 80 1,395	14.75 81 1,265	14.75 80 1,107	13.25 59 825		17.08	0.90	24.01				0.27	
MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	OTCKX	Mid-Cap Growth	35.16 93 581	21.41 41 548	21.13 29 491	— — 381		19.30	0.86	12.62				0.70	
Wells Fargo Special Mid Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	WFPRX	Mid-Cap Value	49.35 76 416	13.73 19 397	12.47 42 348	— — 248		22.95	0.97	12.44				0.71	
MFS New Discovery R6 <i>percentile rank</i> <i># of funds in category</i>	MNDKX	Small Growth	50.59 62 614	24.40 18 578	24.67 22 512	— — 388		23.83	0.91	7.50				0.93	
Vanguard Small Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSIAX	Small Value	65.67 62 431	10.85 27 407	12.80 37 381	— — 285		26.15	0.96	5.18				0.07	
Non-US Stock															
JPMorgan Emerging Markets Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JEMWX	Diversified Emerging Mkts	43.65 37 791	18.73 7 701	17.96 4 602	— — 308		19.88	1.02	15.84				0.79	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGX	Foreign Large Growth	40.10 22 445	13.79 42 384	14.50 34 323	8.33 38 229		18.69	1.01	20.09				0.46	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	51.23 1 445	23.33 3 384	23.66 4 323	12.55 4 229		21.12	1.04	18.41				0.33	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	41.27 24 352	8.63 10 317	10.59 5 271	5.81 14 182		19.97	1.10	11.10				0.35	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	46.25 13 352	20.40 30 307	19.64 26 263	13.70 23 166		18.91	1.04	20.59		—		0.42	

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Alternative															
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	47.99	5.27	3.19	—	✔	16.74	1.00	10.59	✔	—	—	1.15	✔
<i>percentile rank</i>			58	26	48	—									
<i># of funds in category</i>			115	105	88	55									
Neuberger Berman Real Estate R6	NRREX	Real Estate	31.34	14.83	9.77	—	✔	15.80	0.77	15.51	✔	—	✖	0.76	✔
<i>percentile rank</i>			88	8	5	—									
<i># of funds in category</i>			247	229	200	144									
Fixed Income															
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	2.36	6.31	4.55	—	✔	3.88	1.03	24.51	✔	—	—	0.38	✔
<i>percentile rank</i>			56	26	15	—									
<i># of funds in category</i>			587	550	478	341									
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	11.64	7.07	6.47	6.24	✔	8.34	0.87	13.38	✔	—	—	0.13	✔
<i>percentile rank</i>			83	29	44	17									
<i># of funds in category</i>			687	638	560	364									
PIMCO Real Return Instl	PRRIX	Inflation- Protected Bond	7.49	6.78	4.56	3.45	✔	4.14	1.03	2.47	✖	—	—	0.53	✔
<i>percentile rank</i>			20	10	11	11									
<i># of funds in category</i>			206	202	176	123									
Western Asset Core Bond IS	WACSX	Intermediate- Term Bond	1.63	6.22	4.01	4.30	✔	4.23	1.08	14.51	✔	☒	—	0.43	✔
<i>percentile rank</i>			21	11	3	2									
<i># of funds in category</i>			439	405	350	266									
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	1.24	2.04	2.32	1.81	✔	2.37	0.12	10.50	✔	—	—	0.55	✔
<i>percentile rank</i>			30	34	5	11									
<i># of funds in category</i>			234	204	163	85									
PIMCO International Bond (USD-Hdg) Instl	PFORX	World Bond	3.02	4.26	3.82	5.37	✔	3.44	0.61	6.76	✔	—	—	0.60	✔
<i>percentile rank</i>			28	50	14	1									
<i># of funds in category</i>			117	95	64	39									
Balanced															
American Funds American Balanced R6	RLBGX	Moderate Allocation	22.98	12.06	11.28	10.70	✔	10.75	0.94	22.51	✔	—	✔	0.26	✔
<i>percentile rank</i>			80	31	30	6									
<i># of funds in category</i>			686	660	602	429									