

# TEKLA RESEARCH INC 401K PLAN

## Screens Summary (Data as of 06-30-2022)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
<b>US Stock</b>																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-10.66	10.56	11.27	12.92	✓	18.64	1.00	6.18	✓	🇺🇸	✓	0.04	✓	3	1-Low	Low Risk
<i>percentile rank</i>			38	22	20	12												
<i># of funds in category</i>			1,369	1,236	1,119	819									05-31-2022	02-15-2022	03-31-2022	
Vanguard Growth Index Admiral	VIGAX	Large Growth	-21.87	11.65	12.90	13.87	✓	21.58	1.02	27.52	✓	🇺🇸	✓	0.05	✓	4	1-Low	Low Risk
<i>percentile rank</i>			50	18	23	22												
<i># of funds in category</i>			1,252	1,142	1,060	793									05-31-2022	02-15-2022	03-31-2022	
Vanguard Value Index Adm	VVIAX	Large Value	-1.90	8.67	9.22	11.77	✓	18.33	0.94	27.52	✓	🇺🇸	✓	0.05	✓	2	1-Low	Medium Risk
<i>percentile rank</i>			26	36	24	12												
<i># of funds in category</i>			1,222	1,150	1,082	783									05-31-2022	02-15-2022	03-31-2022	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	-16.00	7.19	8.28	11.48	✓	21.25	0.98	24.13	✓	🇺🇸	✓	0.05	✓	4	1-Low	Medium Risk
<i>percentile rank</i>			69	40	24	14												
<i># of funds in category</i>			408	368	326	218									05-31-2022	02-15-2022	03-31-2022	
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	-31.76	2.34	6.80	9.81	✓	23.80	0.94	17.51	✓	🇺🇸	✖️	0.07	✓	4	1-Low	Low Risk
<i>percentile rank</i>			62	72	66	64												
<i># of funds in category</i>			623	585	539	404									05-31-2022	02-15-2022	03-31-2022	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	-12.07	6.76	6.15	10.49	✓	24.54	0.95	6.18	✓	🇺🇸	✓	0.07	✓	4	1-Low	Medium Risk
<i>percentile rank</i>			55	55	29	17												
<i># of funds in category</i>			466	439	412	315									05-31-2022	02-15-2022	03-31-2022	
<b>Non-US Stock</b>																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-27.15	2.89	5.15	6.03	✓	18.74	0.95	23.05	✓	🇺🇸	✓	0.57	✓	3	1-Low	Medium Risk
<i>percentile rank</i>			58	16	5	4												
<i># of funds in category</i>			821	736	637	366									05-31-2022	02-15-2022	03-31-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	-18.96	2.08	3.08	5.29	✓	19.25	1.08	13.75	✓	🇺🇸	✓	0.54	✓	2	1-Low	Low Risk
<i>percentile rank</i>			54	32	20	41												
<i># of funds in category</i>			757	704	612	418									05-31-2022	02-15-2022	03-31-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-18.15	2.10	2.68	5.84	✓	18.67	1.05	9.36	✓	🇺🇸	✓	0.07	✓	2	1-Low	Low Risk
<i>percentile rank</i>			46	32	35	20												
<i># of funds in category</i>			757	704	612	418									05-31-2022	02-15-2022	03-31-2022	
<b>Alternative</b>																		
Vanguard Real Estate Index Admiral	VGSIX	Real Estate	-8.05	4.77	5.71	7.48	✓	20.14	0.95	26.15	✓	—	✓	0.12	✓	3	1-Low	Medium Risk
<i>percentile rank</i>			73	49	52	33												
<i># of funds in category</i>			252	232	206	147									05-31-2022	02-15-2022	03-31-2022	
<b>Fixed Income</b>																		
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	-11.03	-0.49	1.29	2.33	✓	5.25	1.07	21.76	✓	—	—	0.30	✓	3	1-Low	—
<i>percentile rank</i>			44	33	23	23												
<i># of funds in category</i>			608	567	505	362									05-31-2022	02-15-2022	—	

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																	Updated	Updated	Updated
American Century Inflation Adjs Bond R5 <i>percentile rank</i> <i># of funds in category</i>	AIANX	Inflation-Protected Bond	-4.98 43 208	2.94 52 199	2.97 45 186	1.48 51 126	✓	5.25	1.03	20.59	✓	—	—	0.27	✓	—	—	—	
Vanguard Interm-Term Bond Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBILX	Intermediate-Term Bond	-11.03 62 443	-0.65 25 399	1.24 12 362	1.94 14 267	✓	5.29	1.14	14.19	✓	☒	—	0.07	✓	3	1-Low	—	
Federated Hermes Govt Ultrashort IS <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	-0.46 21 237	0.67 37 216	1.10 60 177	0.71 70 97	✖	0.55	0.06	24.99	✓	—	—	0.26	✓	—	—	—	

### Target Date

Vanguard Target Retirement 2020 Fund <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	-11.65 38 170	3.36 41 159	4.64 32 134	6.51 24 65	✓	9.81	0.92	9.36	✓	—	✓	0.08	✓	3	1-Low	—
Vanguard Target Retirement 2025 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	-13.21 51 229	3.74 42 203	5.07 37 176	7.10 19 90	✓	11.54	0.98	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2030 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	-13.94 47 230	4.22 47 202	5.50 46 175	7.68 25 95	✓	12.82	0.97	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2035 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHX	Target Date	-14.36 37 222	4.80 48 200	5.96 45 173	8.27 27 87	✓	14.06	0.94	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2040 Fund <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	-14.82 32 224	5.35 43 202	6.42 39 175	8.74 21 95	✓	15.30	0.93	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2045 Fund <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	-15.29 33 222	5.89 28 200	6.80 30 173	8.97 19 86	✓	16.55	0.96	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2050 Fund <i>percentile rank</i> <i># of funds in category</i>	VVIFX	Target Date	-15.45 31 224	5.91 26 202	6.81 32 175	8.97 22 91	✓	16.64	0.95	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2055 Fund <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	-15.45 30 222	5.89 32 200	6.80 34 171	8.95 24 70	✓	16.65	0.94	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2060 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	-15.44 29 222	5.89 35 192	6.79 43 153	8.96 1 1	✓	16.62	1.39	9.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2065 Fund <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	-15.40 20 151	5.86 50 37	— — —	— — —	✖	16.64	1.39	4.97	✓	—	✓	0.08	✓	3	1-Low	Low Risk
Vanguard Target Retirement Income Fund <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	-10.14 47 168	2.09 33 149	3.34 30 128	4.12 36 79	✓	6.89	0.85	9.36	✓	—	✓	0.08	✓	3	1-Low	—

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															Updated	Updated	Updated	
<b>Balanced</b>																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-12.51	2.13	3.68	5.00	✔	8.58	1.00	0.34	✖	—	✔	0.12	✔	3	1-Low	—
<i>percentile rank</i>			76	53	34	28												
<i># of funds in category</i>			466	428	395	284												
															05-31-2022	02-15-2022	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-14.91	5.16	6.19	8.21	✔	14.98	1.01	0.34	✖	—	✔	0.14	✔	3	1-Low	Low Risk
<i>percentile rank</i>			68	44	31	29												
<i># of funds in category</i>			330	306	280	223												
															05-31-2022	02-15-2022	03-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-11.33	0.43	2.27	3.28	✔	5.85	0.97	0.34	✖	—	✔	0.11	✔	3	1-Low	—
<i>percentile rank</i>			69	71	47	40												
<i># of funds in category</i>			141	132	126	89												
															05-31-2022	02-15-2022	—	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-13.73	3.66	4.95	6.63	✔	11.71	0.98	0.34	✖	—	✔	0.13	✔	3	1-Low	Low Risk
<i>percentile rank</i>			79	70	58	52												
<i># of funds in category</i>			756	710	646	481												
															05-31-2022	02-15-2022	03-31-2022	