

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 06-30-2022)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
US Stock																		
Vanguard Dividend Growth Inv	VDIGX	Large Blend	-0.01	10.44	12.13	12.63	✔️	15.91	0.79	16.42	✔️	🇺🇸	✔️	0.27	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			2	26	6	26												
<i># of funds in category</i>			1,369	1,236	1,119	819									05-31-2022	02-15-2022	03-31-2022	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-10.66	10.56	11.27	12.92	✔️	18.64	1.00	6.18	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			38	22	20	12												
<i># of funds in category</i>			1,369	1,236	1,119	819									05-31-2022	02-15-2022	03-31-2022	
MFS Growth R6	MFEKX	Large Growth	-22.60	8.17	12.79	14.20	✔️	19.71	0.92	20.26	✔️	🇺🇸	✔️	0.49	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			54	56	24	14												
<i># of funds in category</i>			1,252	1,142	1,060	793									05-31-2022	02-15-2022	03-31-2022	
Vanguard Value Index Adm	VVIAX	Large Value	-1.90	8.67	9.22	11.77	✔️	18.33	0.94	27.52	✔️	🇺🇸	✔️	0.05	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			26	36	24	12												
<i># of funds in category</i>			1,222	1,150	1,082	783									05-31-2022	02-15-2022	03-31-2022	
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-24.39	4.76	10.77	-	✔️	20.67	0.89	13.62	✔️	🇺🇸	✔️	0.65	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			31	54	16	-												
<i># of funds in category</i>			589	535	496	383									05-31-2022	02-15-2022	03-31-2022	
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	-8.68	8.28	5.91	10.24	✔️	26.83	1.16	17.35	✔️	🇺🇸	✔️	0.32	✔️	3	-	Medium Risk
<i>percentile rank</i>			58	40	63	43												
<i># of funds in category</i>			407	393	363	270									05-31-2022	-	03-31-2022	
Franklin Small Cap Growth R6	FSMLX	Small Growth	-38.54	0.91	7.27	-	✔️	25.72	1.00	22.18	✔️	🇺🇸	✔️	0.65	✔️	2	-	Medium Risk
<i>percentile rank</i>			81	85	59	-												
<i># of funds in category</i>			623	585	539	404									05-31-2022	-	03-31-2022	
Delaware Small Cap Value R6	DVZRX	Small Value	-10.12	6.49	5.08	-	✔️	25.73	0.99	25.18	✔️	🇺🇸	✔️	0.69	✔️	3	-	Medium Risk
<i>percentile rank</i>			35	60	51	-												
<i># of funds in category</i>			466	439	412	315									05-31-2022	-	03-31-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-27.15	2.89	5.15	6.03	✔️	18.74	0.95	23.05	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			58	16	5	4												
<i># of funds in category</i>			821	736	637	366									05-31-2022	02-15-2022	03-31-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-18.15	2.10	2.68	5.84	✔️	18.67	1.05	9.36	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			46	32	35	20												
<i># of funds in category</i>			757	704	612	418									05-31-2022	02-15-2022	03-31-2022	
Vanguard International Growth Adm	VWILX	Foreign Large Growth	-36.18	6.33	7.51	9.17	✔️	21.79	1.00	12.51	✔️	🇺🇸	✔️	0.32	✔️	3	-	Low Risk
<i>percentile rank</i>			91	6	6	3												
<i># of funds in category</i>			452	398	348	225									05-31-2022	-	03-31-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	-17.40	2.06	2.66	5.36	✔️	19.95	1.06	12.10	✔️	🇺🇸	✔️	0.36	✔️	2	-	Medium Risk
<i>percentile rank</i>			82	40	17	21												
<i># of funds in category</i>			346	318	294	177									05-31-2022	-	03-31-2022	
American Funds New Perspective R6	RNPGX	World Stock	-22.19	8.14	9.43	11.23	✔️	20.23	1.10	21.59	✔️	—	✔️	0.41	✔️	2	2-Basic	Low Risk
<i>percentile rank</i>			41	17	20	13												
<i># of funds in category</i>			363	307	268	176									05-31-2022	02-15-2022	03-31-2022	

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 06-30-2022)

🔴 Fund Removed from Approved List 🟡 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style			Expense		ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
Alternative																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	22.96	16.88	10.25	-0.36	✔	20.91	1.14	3.66	✔	—	—	0.82	✔	3	—	—
<i>percentile rank</i>			64	22	31	50												
<i># of funds in category</i>			115	110	92	60									05-31-2022	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-8.05	4.77	5.71	7.48	✔	20.14	0.95	26.15	✔	—	✔	0.12	✔	3	1-Low	Medium Risk
<i>percentile rank</i>			73	49	52	33												
<i># of funds in category</i>			252	232	206	147									05-31-2022	02-15-2022	03-31-2022	
Fixed Income																		
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	-9.77	0.77	2.17	—	✔	9.25	0.90	7.66	✔	—	—	0.66	✔	—	—	—
<i>percentile rank</i>			23	24	23	—												
<i># of funds in category</i>			678	635	581	393												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-5.03	2.99	3.12	1.65	✔	4.75	0.94	0.64	✖	—	—	0.10	✔	3	—	—
<i>percentile rank</i>			44	45	31	21												
<i># of funds in category</i>			208	199	186	126									05-31-2022	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-11.02	-0.73	1.07	2.13	✔	5.02	1.08	21.76	✔	☒	—	0.30	✔	3	1-Low	—
<i>percentile rank</i>			61	28	20	8												
<i># of funds in category</i>			443	399	362	267									05-31-2022	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	-8.48	0.33	2.04	5.07	✔	6.55	0.85	15.26	✔	—	—	0.62	✔	—	—	—
<i>percentile rank</i>			30	31	22	1												
<i># of funds in category</i>			342	285	253	146												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	-0.44	0.66	1.12	—	✔	0.55	0.06	24.99	✔	—	—	0.24	✔	—	—	—
<i>percentile rank</i>			20	40	57	—												
<i># of funds in category</i>			237	216	177	97												
PGIM Global Total Return R6	PGTQX	World Bond	-22.09	-5.30	-0.95	1.40	✔	9.96	1.18	19.76	✔	—	—	0.52	✔	—	—	—
<i>percentile rank</i>			93	73	44	7												
<i># of funds in category</i>			205	194	173	135												
Target Date																		
T. Rowe Price Retirement I 2010 I	TRPAX	Target Date	-11.74	3.41	4.41	—	✔	10.09	1.13	6.76	✔	—	✔	0.34	✔	2	1-Low	—
<i>percentile rank</i>			89	6	9	—												
<i># of funds in category</i>			130	116	86	54									05-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2015 I	TRFGX	Target Date	-12.10	3.80	4.83	—	✔	10.86	1.10	6.76	✔	—	✔	0.36	✔	2	1-Low	—
<i>percentile rank</i>			67	6	4	—												
<i># of funds in category</i>			128	117	94	46									05-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2020 I	TRBRX	Target Date	-12.41	4.26	5.33	—	✔	11.87	1.09	6.76	✔	—	✔	0.37	✔	2	1-Low	—
<i>percentile rank</i>			55	6	1	—												
<i># of funds in category</i>			170	159	134	65									05-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2025 I	TRPHX	Target Date	-13.34	4.80	5.84	—	✔	13.18	1.10	6.76	✔	—	✔	0.39	✔	2	1-Low	Low Risk
<i>percentile rank</i>			60	3	3	—												
<i># of funds in category</i>			229	203	176	90									05-31-2022	02-15-2022	12-31-2021	

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 06-30-2022)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management			Style		Expense		ESG Metrics		
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
T. Rowe Price Retirement I 2030 I	TRPCX	Target Date	-14.73	5.20	6.23	-	✔	14.55	1.09	6.76	✔	—	✔	0.41	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			79	4	3	-													
<i># of funds in category</i>			230	202	175	95									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2035 I	TRPJX	Target Date	-15.99	5.49	6.55	-	✔	15.68	1.05	6.76	✔	—	✔	0.42	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			81	13	12	-													
<i># of funds in category</i>			222	200	173	87									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2040 I	TRPDX	Target Date	-16.98	5.83	6.85	-	✔	16.70	1.02	6.76	✔	—	✔	0.43	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			83	18	15	-													
<i># of funds in category</i>			224	202	175	95									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2045 I	TRPKX	Target Date	-17.30	6.15	7.07	-	✔	17.30	1.00	6.76	✔	—	✔	0.44	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			82	19	10	-													
<i># of funds in category</i>			222	200	173	86									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2050 I	TRPMX	Target Date	-17.50	6.10	7.04	-	✔	17.36	0.98	6.76	✔	—	✔	0.45	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			82	19	12	-													
<i># of funds in category</i>			224	202	175	91									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2055 I	TRPNX	Target Date	-17.54	6.07	7.03	-	✔	17.38	0.98	6.76	✔	—	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			77	19	15	-													
<i># of funds in category</i>			222	200	171	70									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2060 I	TRPLX	Target Date	-17.50	6.08	7.04	-	✔	17.38	1.45	6.76	✔	—	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			75	24	21	-													
<i># of funds in category</i>			222	192	153	1									05-31-2022	02-15-2022	03-31-2022		
T. Rowe Price Retirement I 2065 I	TRFKX	Target Date	-17.27	-	-	-	—	-	-	1.71	✖	—	✔	0.46	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			59	-	-	-													
<i># of funds in category</i>			151	37	-	-									05-31-2022	02-15-2022	03-31-2022		
Balanced																			
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-12.51	2.13	3.68	5.00	✔	8.58	1.00	0.34	✖	—	✔	0.12	✔	3	1-Low	-	
<i>percentile rank</i>			76	53	34	28													
<i># of funds in category</i>			466	428	395	284										05-31-2022	02-15-2022	-	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-14.91	5.16	6.19	8.21	✔	14.98	1.01	0.34	✖	—	✔	0.14	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			68	44	31	29													
<i># of funds in category</i>			330	306	280	223										05-31-2022	02-15-2022	03-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-11.33	0.43	2.27	3.28	✔	5.85	0.97	0.34	✖	—	✔	0.11	✔	3	1-Low	-	
<i>percentile rank</i>			69	71	47	40													
<i># of funds in category</i>			141	132	126	89										05-31-2022	02-15-2022	-	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-13.73	3.66	4.95	6.63	✔	11.71	0.98	0.34	✖	—	✔	0.13	✔	3	1-Low	Low Risk	
<i>percentile rank</i>			79	70	58	52													
<i># of funds in category</i>			756	710	646	481										05-31-2022	02-15-2022	03-31-2022	