

ASSOCIATION OF STATE FLOODPLAIN

Screens Summary (Data as of 06-30-2023)

🔴 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management Style			Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk		
																	Updated	Updated	Updated	
US Stock																				
Vanguard Dividend Growth Inv	VDIGX	Large Blend	10.75	13.78	12.17	11.76	✔️	15.89	0.79	17.42	✔️	🇺🇸	✔️	0.30	✔️	4	2-Basic	Low Risk		
percentile rank			90	52	22	48														
# of funds in category			1,557	1,413	1,305	984												05-31-2023	02-15-2022	12-31-2022
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	19.54	14.56	12.27	12.82	✔️	18.19	1.00	7.18	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk		
percentile rank			26	27	19	10														
# of funds in category			1,557	1,413	1,305	984												05-31-2023	02-15-2022	12-31-2022
MFS Growth R6	MFEKX	Large Growth	21.10	8.26	11.99	14.32	✔️	20.70	0.94	21.26	✔️	🇺🇸	✔️	0.50	✔️	4	2-Basic	Low Risk		
percentile rank			64	72	45	26														
# of funds in category			1,321	1,215	1,126	879												05-31-2023	02-15-2022	12-31-2022
Invesco Diversified Dividend R6	LCEFX	Large Value	10.67	12.91	7.78	8.66	✔️	15.41	0.86	7.35	✔️	🇺🇸	✔️	0.46	✔️	2	-	Medium Risk		
percentile rank			54	75	60	67														
# of funds in category			1,309	1,223	1,168	886												05-31-2023	-	12-31-2022
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	16.23	5.90	9.48	12.32	✔️	20.07	0.91	14.62	✔️	🇺🇸	✔️	0.66	✔️	5	2-Basic	Low Risk		
percentile rank			64	66	36	11														
# of funds in category			605	562	528	418												05-31-2023	02-15-2022	12-31-2022
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	19.92	21.20	8.63	9.50	✔️	21.98	1.09	18.35	✔️	🇺🇸	✔️	0.38	✔️	4	-	Medium Risk		
percentile rank			6	12	21	27														
# of funds in category			436	418	399	305												05-31-2023	-	12-31-2022
PGIM Jennison Small Company R6	PJSQX	Small Growth	12.79	18.54	8.67	10.54	✔️	20.09	0.82	9.59	✔️	🇺🇸	✖️	0.71	✔️	3	-	Medium Risk		
percentile rank			67	3	19	25														
# of funds in category			619	588	552	419												05-31-2023	-	12-31-2022
DFA US Small Cap Value I	DFS VX	Small Value	15.43	24.56	6.54	8.65	✔️	22.94	0.99	11.34	✔️	🇺🇸	✔️	0.30	✔️	2	-	Medium Risk		
percentile rank			25	14	23	26														
# of funds in category			502	468	441	345												05-31-2023	-	12-31-2022
Non-US Stock																				
American Funds New World R6	RNWGX	Diversified Emerging Mkts	14.69	5.95	5.94	6.33	✔️	17.16	0.85	24.05	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Medium Risk		
percentile rank			8	29	4	1														
# of funds in category			844	751	672	412												05-31-2023	02-15-2022	12-31-2022
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	15.56	9.04	4.77	4.99	✔️	18.64	1.07	14.75	✔️	🇺🇸	✔️	0.54	✔️	2	1-Low	Low Risk		
percentile rank			56	31	25	58														
# of funds in category			796	744	680	460												05-31-2023	02-15-2022	12-31-2022
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	16.53	9.01	4.39	5.67	✔️	18.92	1.09	10.36	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk		
percentile rank			47	33	35	22														
# of funds in category			796	744	680	460												05-31-2023	02-15-2022	12-31-2022
Invesco Oppenheimer International Gr R6	OIGIX	Foreign Large Growth	21.16	5.89	4.26	5.48	✔️	21.03	1.07	27.28	✔️	🇺🇸	✔️	0.69	✔️	4	-	Low Risk		
percentile rank			15	39	53	61														
# of funds in category			474	431	371	257												05-31-2023	-	12-31-2022
Vanguard International Value Inv	VTRIX	Foreign Large Value	18.00	11.25	4.55	5.31	✔️	19.21	1.02	13.10	✔️	🇺🇸	✖️	0.38	✔️	2	-	Medium Risk		
percentile rank			35	43	27	18														
# of funds in category			394	358	328	210												05-31-2023	-	12-31-2022

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
American Funds Capital World Gr&Inc R6	RWIGX	Global Stock	18.38	9.72	6.99	8.44	✓	17.34	0.99	17.42	✓	—	✓	0.42	✓	2	1-Low	Low Risk
<i>percentile rank</i>			21	65	58	49												
<i># of funds in category</i>			386	359	308	202										05-31-2023	05-18-2022	12-31-2022

Alternative

PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-13.30	18.69	5.30	-0.62	✓	18.82	1.13	4.66	✓	—	—	0.76	✓	3	—	—
<i>percentile rank</i>			78	53	39	54												
<i># of funds in category</i>			116	111	101	68										05-31-2023	—	—
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-3.95	5.87	4.39	6.13	✓	20.22	1.00	27.15	✓	—	✓	0.12	✓	3	1-Low	Low Risk
<i>percentile rank</i>			62	71	49	49												
<i># of funds in category</i>			268	244	225	162										05-31-2023	02-15-2022	12-31-2022

Fixed Income

PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	1.97	-1.44	1.05	3.12	✓	4.38	0.59	8.76	✓	—	—	0.51	✓	3	—	—
<i>percentile rank</i>			18	14	17	1												
<i># of funds in category</i>			135	124	102	63										05-31-2023	—	—
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	9.46	4.19	3.69	—	✓	7.94	0.92	8.66	✓	—	—	0.66	✓	—	—	—
<i>percentile rank</i>			19	21	16	—												
<i># of funds in category</i>			725	662	620	451												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-1.78	-0.23	2.36	2.00	✓	6.89	0.99	1.64	✘	—	—	0.10	✓	3	—	—
<i>percentile rank</i>			70	58	44	17												
<i># of funds in category</i>			237	221	217	155										05-31-2023	—	—
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-0.25	-3.69	1.09	1.94	✓	6.27	1.01	22.76	✓	☒	—	0.30	✓	3	1-Low	—
<i>percentile rank</i>			17	34	18	9												
<i># of funds in category</i>			530	474	441	330										05-31-2023	02-15-2022	—
PIMCO Income Instl	PIMIX	Multisector Bond	5.34	1.85	2.60	4.24	✓	5.76	0.83	16.26	✓	—	—	0.51	✓	—	—	—
<i>percentile rank</i>			25	22	19	1												
<i># of funds in category</i>			365	325	278	175												
Lincoln Stable Value Account -LNGPA		Stable Value	—	—	—	—	—	—	—	40.19	✓	—	—	—	—	—	—	—
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			61	—	—	—												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	3.29	1.05	1.49	—	✓	0.63	0.04	25.99	✓	—	—	0.24	✓	—	—	—
<i>percentile rank</i>			72	57	69	—												
<i># of funds in category</i>			245	221	193	112												

Target Date

American Funds 2010 Trgt Date Retire R6	RFTTX	Target Date	4.24	3.95	4.59	5.38	✓	8.61	0.88	11.50	✓	—	✓	0.28	✓	1	—	—
<i>percentile rank</i>			67	4	3	1												
<i># of funds in category</i>			124	114	87	46										05-31-2023	—	—
American Funds 2015 Trgt Date Retire R6	RFJTX	Target Date	5.03	4.38	4.89	5.83	✓	9.35	0.87	11.50	✓	—	✘	0.30	✓	1	—	—
<i>percentile rank</i>			87	5	4	1												
<i># of funds in category</i>			130	119	99	46										05-31-2023	—	—

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																	Updated	Updated	Updated
American Funds 2020 Trgt Date Retire R6	RRCTX	Target Date	5.77	4.55	5.10	6.34	✔	9.80	0.84	11.50	✔	—	✔	0.30	✔	2	—	—	
percentile rank			79	14	10	3													
# of funds in category			167	153	130	62									05-31-2023	—	—		
American Funds 2025 Trgt Date Retire R6	RFDTX	Target Date	7.13	5.20	5.73	7.23	✔	10.81	0.86	11.50	✔	—	✔	0.32	✔	2	—	Low Risk	
percentile rank			63	25	4	1													
# of funds in category			263	235	209	125									05-31-2023	—	06-30-2022		
American Funds 2030 Trgt Date Retire R6	RFETX	Target Date	9.30	6.44	6.38	8.10	✔	12.24	0.90	11.50	✔	—	✔	0.33	✔	2	—	Low Risk	
percentile rank			45	24	5	1													
# of funds in category			259	228	199	121									05-31-2023	—	12-31-2022		
American Funds 2035 Trgt Date Retire R6	RFFTX	Target Date	11.80	7.99	7.36	8.97	✔	13.90	0.95	11.50	✔	—	✔	0.35	✔	2	—	Low Risk	
percentile rank			26	19	1	1													
# of funds in category			260	230	207	122									05-31-2023	—	12-31-2022		
American Funds 2040 Trgt Date Retire R6	RFGTK	Target Date	14.15	8.96	7.89	9.39	✔	15.17	0.97	11.50	✔	—	✔	0.36	✔	2	—	Low Risk	
percentile rank			12	32	1	1													
# of funds in category			250	218	196	118									05-31-2023	—	12-31-2022		
American Funds 2045 Trgt Date Retire R6	RFHTX	Target Date	14.87	9.12	7.99	9.52	✔	15.58	0.95	11.50	✔	—	✔	0.37	✔	2	—	Low Risk	
percentile rank			19	64	4	1													
# of funds in category			252	225	203	122									05-31-2023	—	12-31-2022		
American Funds 2050 Trgt Date Retire R6	RFITX	Target Date	15.38	9.06	7.98	9.55	✔	15.84	0.95	11.50	✔	—	✔	0.38	✔	2	—	Low Risk	
percentile rank			19	79	5	1													
# of funds in category			249	220	197	119									05-31-2023	—	12-31-2022		
American Funds 2055 Trgt Date Retire R6	RFKTX	Target Date	15.89	8.93	7.90	9.50	✔	16.02	0.95	11.50	✔	—	✖	0.38	✔	2	—	Low Risk	
percentile rank			13	85	9	1													
# of funds in category			251	218	198	99									05-31-2023	—	12-31-2022		
American Funds 2060 Trgt Date Retire R6	RFUTX	Target Date	15.94	8.88	7.85	—	✔	16.04	1.28	8.27	✔	—	✖	0.38	✔	2	—	Low Risk	
percentile rank			13	88	12	—													
# of funds in category			239	204	177	8									05-31-2023	—	12-31-2022		
American Funds 2065 Trgt Date Retire R6	RFVTX	Target Date	16.04	8.91	—	—	—	16.04	1.28	3.50	✔	—	✖	0.38	✔	2	—	Low Risk	
percentile rank			18	85	—	—													
# of funds in category			188	84	10	—									05-31-2023	—	12-31-2022		

Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	8.90	7.13	7.06	8.35	✔	11.80	0.95	24.51	✔	—	✔	0.25	✔	2	1-Low	Low Risk
percentile rank			51	31	22	11												
# of funds in category			888	821	786	581									05-31-2023	02-15-2022	12-31-2022	