

# BAX ENGINEERING COMPANY 401K PLAN

## Screens Summary (Data as of 06-30-2023)

🔴 Fund Removed from Approved List    🟡 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management Style				Expense			ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating Updated	Commit. Level Updated	Carbon Risk Updated
<b>US Stock</b>																		
American Funds Invmt Co of Amer R6	RICGX	Large Blend	21.77	13.57	10.16	11.60	✔	16.71	0.90	31.35	✔	🇺🇸	✔	0.27	✔	1	-	Low Risk
<i>percentile rank</i>			8	59	69	54												
<i># of funds in category</i>			1,557	1,413	1,305	984									05-31-2023	-	12-31-2022	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	19.54	14.56	12.27	12.82	✔	18.19	1.00	7.18	✔	🇺🇸	✔	0.04	✔	3	1-Low	Low Risk
<i>percentile rank</i>			26	27	19	10												
<i># of funds in category</i>			1,557	1,413	1,305	984									05-31-2023	02-15-2022	12-31-2022	
American Funds Growth Fund of Amer R6	RGAGX	Large Growth	22.74	9.78	10.21	12.98	✔	20.69	0.93	29.68	✔	🇺🇸	✔	0.30	✔	1	1-Low	Low Risk
<i>percentile rank</i>			53	57	72	55												
<i># of funds in category</i>			1,321	1,215	1,126	879									05-31-2023	04-07-2022	12-31-2022	
American Funds Washington Mutual R6	RWMGX	Large Value	13.62	14.64	10.78	11.54	✔	15.77	0.82	26.01	✔	🇺🇸	✖	0.26	✔	2	1-Low	Low Risk
<i>percentile rank</i>			79	24	60	55												
<i># of funds in category</i>			1,557	1,413	1,305	984									05-31-2023	02-15-2022	12-31-2022	
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	16.23	5.90	9.48	12.32	✔	20.07	0.91	14.62	✔	🇺🇸	✔	0.66	✔	5	2-Basic	Low Risk
<i>percentile rank</i>			64	66	36	11												
<i># of funds in category</i>			605	562	528	418									05-31-2023	02-15-2022	12-31-2022	
American Century Mid Cap Value I	AVUAX	Mid-Cap Value	10.37	15.07	7.66	9.87	✔	16.97	0.85	19.26	✔	🇺🇸	✔	0.77	✔	4	1-Low	Medium Risk
<i>percentile rank</i>			69	71	40	14												
<i># of funds in category</i>			436	418	399	305									05-31-2023	02-15-2022	12-31-2022	
MFS New Discovery R4	MNDJX	Small Growth	13.86	3.04	6.89	9.03	✔	21.41	0.91	9.50	✔	🇺🇸	✔	0.99	✔	4	-	Medium Risk
<i>percentile rank</i>			60	79	47	68												
<i># of funds in category</i>			619	588	552	419									05-31-2023	-	12-31-2022	
Delaware Small Cap Value Instl	DEVIX	Small Value	7.96	16.64	4.38	7.95	✔	22.82	0.98	11.00	✔	🇺🇸	✔	0.86	✔	3	-	Medium Risk
<i>percentile rank</i>			73	65	62	42												
<i># of funds in category</i>			502	468	441	345									05-31-2023	-	12-31-2022	
<b>Non-US Stock</b>																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	14.69	5.95	5.94	6.33	✔	17.16	0.85	24.05	✔	🇺🇸	✔	0.57	✔	3	1-Low	Medium Risk
<i>percentile rank</i>			8	29	4	1												
<i># of funds in category</i>			844	751	672	412									05-31-2023	02-15-2022	12-31-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	15.56	9.04	4.77	4.99	✔	18.64	1.07	14.75	✔	🇺🇸	✔	0.54	✔	2	1-Low	Low Risk
<i>percentile rank</i>			56	31	25	58												
<i># of funds in category</i>			796	744	680	460									05-31-2023	02-15-2022	12-31-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	16.53	9.01	4.39	5.67	✔	18.92	1.09	10.36	✔	🇺🇸	✔	0.07	✔	2	1-Low	Low Risk
<i>percentile rank</i>			47	33	35	22												
<i># of funds in category</i>			796	744	680	460									05-31-2023	02-15-2022	12-31-2022	
MFS International Growth R4	MGRVX	Foreign Large Growth	18.39	8.31	6.75	7.45	✔	17.26	0.90	11.50	✔	🇺🇸	✔	0.82	✔	4	-	Low Risk
<i>percentile rank</i>			31	8	21	20												
<i># of funds in category</i>			474	431	371	257									05-31-2023	-	12-31-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	18.00	11.25	4.55	5.31	✔	19.21	1.02	13.10	✔	🇺🇸	✖	0.38	✔	2	-	Medium Risk
<i>percentile rank</i>			35	43	27	18												
<i># of funds in category</i>			394	358	328	210									05-31-2023	-	12-31-2022	

©2023 Morningstar Investment Management LLC. All Rights Reserved. Morningstar Investment Management LLC is a registered investment adviser and subsidiary of Morningstar, Inc. The services are either performed by Morningstar Investment Management or an affiliate. The data shown represents past performance which is no guarantee of future performance. The Morningstar name and logo are registered marks of Morningstar, Inc. This report is not complete without the accompanying disclosure. Please visit [https://fiduciaryservices.morningstar.com/Disclosures/Fiduciary\\_Services\\_Report\\_Disclosure.pdf](https://fiduciaryservices.morningstar.com/Disclosures/Fiduciary_Services_Report_Disclosure.pdf) to view these disclosures.



**BAX ENGINEERING COMPANY 401K PLAN**

## Screens Summary (Data as of 06-30-2023)

 Fund Removed from Approved List
  Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
American Funds New Perspective R6	RNPGX	Global Stock	19.56	10.81	10.18	11.20	✓	20.05	1.14	22.59	✓	—	✓	0.41	✓	2	2-Basic	Low Risk
<i>percentile rank</i>			43	20	17	11												
<i># of funds in category</i>			367	324	283	188									05-31-2023	02-15-2022	12-31-2022	

**Alternative**

PIMCO Commodity Real Return Strat I2	PCRFX	Commodities Broad Basket	-13.41	18.59	5.22	-0.71	✓	18.84	1.13	4.66	✓	—	—	0.86	✓	3	—	—
<i>percentile rank</i>			78	53	39	63												
<i># of funds in category</i>			116	111	101	68									05-31-2023	—	—	
American Century Real Estate I	REAIX	Real Estate	-4.79	6.61	4.57	6.15	✓	19.80	0.97	14.62	✓	—	✘	0.95	✓	4	—	Low Risk
<i>percentile rank</i>			74	54	41	48												
<i># of funds in category</i>			268	244	225	162									05-31-2023	—	12-31-2022	

**Fixed Income**

PIMCO International Bond (USD-Hdg) I-2	PFBPX	Global Bond	1.86	-1.53	0.95	3.02	✓	4.38	0.59	8.76	✓	—	—	0.61	✓	3	—	—
<i>percentile rank</i>			19	14	19	2												
<i># of funds in category</i>			135	124	102	63									05-31-2023	—	—	
Eaton Vance Income Fund of Boston I	EIBIX	High Yield Bond	9.35	4.10	3.60	4.33	✓	7.94	0.92	8.66	✓	—	—	0.75	✓	—	—	—
<i>percentile rank</i>			22	22	18	15												
<i># of funds in category</i>			725	662	620	451												
PIMCO Real Return I2	PRLPX	Inflation-Protected Bond	-1.44	-0.15	2.39	1.96	✓	7.13	1.02	4.47	✓	—	—	0.57	✓	3	—	—
<i>percentile rank</i>			57	50	42	24												
<i># of funds in category</i>			237	221	217	155									05-31-2023	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-0.25	-3.69	1.09	1.94	✓	6.27	1.01	22.76	✓	☒	—	0.30	✓	3	1-Low	—
<i>percentile rank</i>			17	34	18	9												
<i># of funds in category</i>			530	474	441	330									05-31-2023	02-15-2022	—	
PIMCO Income I2	PONPX	Multisector Bond	5.24	1.75	2.50	4.14	✓	5.76	0.83	16.26	✓	—	—	0.61	✓	—	—	—
<i>percentile rank</i>			25	22	22	1												
<i># of funds in category</i>			365	325	278	175												
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	3.27	1.03	1.47	1.02	✘	0.65	0.04	25.99	✓	—	—	0.26	✓	—	—	—
<i>percentile rank</i>			75	59	70	71												
<i># of funds in category</i>			245	221	193	112												

**Balanced**

American Funds American Balanced R6	RLBGX	Moderate Allocation	8.90	7.13	7.06	8.35	✓	11.80	0.95	24.51	✓	—	✓	0.25	✓	2	1-Low	Low Risk
<i>percentile rank</i>			51	31	22	11												
<i># of funds in category</i>			888	821	786	581									05-31-2023	02-15-2022	12-31-2022	