

LE BERNARDIN INC 401K PLAN

Screens Summary (Data as of 06-30-2023)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
																Updated	Updated	Updated
US Stock																		
DFA US Core Equity 1 I	DFEOX	Large Blend	18.54	15.70	10.78	11.88	✔️	18.28	0.99	11.34	✔️	🇺🇸	✔️	0.14	✔️	2	–	Medium Risk
<i>percentile rank</i>			45	12	60	45												
<i># of funds in category</i>			1,557	1,413	1,305	984												

Vanguard 500 Index Admiral	VFIAX	Large Blend Index	19.54	14.56	12.27	12.82	✔️	18.19	1.00	7.18	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			26	27	19	10												
<i># of funds in category</i>			1,557	1,413	1,305	984												

American Funds Growth Fund of Amer R6	RGAGX	Large Growth	22.74	9.78	10.21	12.98	✔️	20.69	0.93	29.68	✔️	🇺🇸	✔️	0.30	✔️	1	1-Low	Low Risk
<i>percentile rank</i>			53	57	72	55												
<i># of funds in category</i>			1,321	1,215	1,126	879												

DFA US Large Cap Value I	DFLVX	Large Value	11.54	15.97	7.05	9.43	✔️	19.26	1.09	11.34	✔️	🇺🇸	✔️	0.21	✔️	2	–	Medium Risk
<i>percentile rank</i>			45	29	77	39												
<i># of funds in category</i>			1,309	1,223	1,168	886												

Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	18.35	8.27	9.66	11.00	✔️	21.38	1.00	10.36	✔️	🇺🇸	✔️	0.07	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			48	44	29	45												
<i># of funds in category</i>			605	562	528	418												

Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	9.54	15.60	7.12	9.63	✔️	18.90	0.96	16.88	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			74	66	48	22												
<i># of funds in category</i>			436	418	399	305												

State St Russell Sm Cap® Gr Indx NL CI C		Small Growth	18.32	5.92	4.04	8.68	✔️	22.51	1.00	13.83	✔️	🇺🇸	✔️	0.05	✔️	2	–	Medium Risk
<i>percentile rank</i>			26	65	87	78												
<i># of funds in category</i>			619	588	552	419												

State St Russell Sm Cp® Val Indx NL CI C		Small Value	5.98	15.26	3.56	7.28	—	22.65	1.00	13.83	✔️	🇺🇸	✔️	0.05	✔️	3	–	Medium Risk
<i>percentile rank</i>			–	–	–	–												
<i># of funds in category</i>			502	468	441	345												

Non-US Stock																		
Vanguard Emerging Mkts Stock Idx Adm	VEMAX	Diversified Emerging Mkts	1.15	3.43	2.09	3.19	✔️	16.64	0.92	14.84	✔️	🇺🇸	✔️	0.14	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			81	48	39	41												
<i># of funds in category</i>			844	751	672	412												

Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	16.53	9.01	4.39	5.67	✔️	18.92	1.09	10.36	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			47	33	35	22												
<i># of funds in category</i>			796	744	680	460												

MFS International Equity R6	MIEIX	Foreign Large Growth	21.17	10.61	7.63	7.43	✔️	18.55	1.05	13.75	✔️	🇺🇸	✔️	0.68	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			7	9	4	4												
<i># of funds in category</i>			796	744	680	460												

DFA International Value I	DFIVX	Foreign Large Value	18.10	16.37	4.35	5.46	✔️	20.84	1.06	13.34	✔️	🇺🇸	✔️	0.28	✔️	1	–	Medium Risk
<i>percentile rank</i>			33	4	31	16												
<i># of funds in category</i>			394	358	328	210												

Vanguard Total World Stock Index Admiral	VTWAX	Global Stock	16.28	11.15	–	–	✔️	17.47	1.01	10.36	✔️	—	✔️	0.10	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			42	42	–	–												
<i># of funds in category</i>			386	359	308	202												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	11.28	7.21	6.35	7.82	✓	13.78	0.94	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			47	60	38	30													
<i># of funds in category</i>			260	230	207	122									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	12.56	8.35	6.88	8.33	✓	14.76	0.94	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			56	65	39	31													
<i># of funds in category</i>			250	218	196	118									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	13.93	9.53	7.46	8.69	✓	15.79	0.97	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			55	48	30	23													
<i># of funds in category</i>			252	225	203	122									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2050 Fund	VFIFX	Target Date	14.58	9.73	7.58	8.76	✓	16.06	0.97	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			49	49	27	28													
<i># of funds in category</i>			249	220	197	119									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	14.60	9.74	7.57	8.74	✓	16.07	0.96	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			57	53	33	28													
<i># of funds in category</i>			251	218	198	99									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2060 Fund	VTTSX	Target Date	14.62	9.75	7.58	8.74	✓	16.05	1.30	10.36	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			56	56	33	1													
<i># of funds in category</i>			239	204	177	8									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2065 Fund	VLXVX	Target Date	14.62	9.75	7.55	—	✘	16.05	1.30	5.97	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			65	65	70	—													
<i># of funds in category</i>			188	84	10	—									05-31-2023	02-15-2022	12-31-2022		
Vanguard Target Retirement 2070 Fund	VSVNX	Target Date	14.68	—	—	—	—	—	—	1.01	✘	—	✓	0.08	✓	3	—	Low Risk	
<i>percentile rank</i>			60	—	—	—													
<i># of funds in category</i>			188	84	10	—									05-31-2023	—	12-31-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	4.51	1.70	3.45	4.12	✓	8.05	0.89	10.36	✓	—	✓	0.08	✓	3	1-Low	—	
<i>percentile rank</i>			54	52	37	33													
<i># of funds in category</i>			203	183	160	104									05-31-2023	02-15-2022	—		
Balanced																			
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	5.95	2.13	3.86	4.91	✓	9.67	1.02	1.34	✘	—	✓	0.12	✓	3	1-Low	—	
<i>percentile rank</i>			35	79	39	22													
<i># of funds in category</i>			541	510	475	347									05-31-2023	06-20-2023	—		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	12.70	8.14	6.83	8.01	✓	14.73	1.02	1.34	✘	—	✓	0.14	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			31	53	29	27													
<i># of funds in category</i>			381	346	324	248									05-31-2023	06-20-2023	12-31-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	2.63	-0.87	2.24	3.25	✓	7.41	1.00	1.34	✘	—	✓	0.11	✓	3	1-Low	—	
<i>percentile rank</i>			71	85	56	43													
<i># of funds in category</i>			172	158	146	103									05-31-2023	06-20-2023	—		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	9.34	5.12	5.38	6.48	✓	12.15	1.00	1.34	✘	—	✓	0.13	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			42	79	54	48													
<i># of funds in category</i>			888	821	786	581									05-31-2023	06-20-2023	12-31-2022		