

SARPY COUNTY 401A PLAN

Screens Summary (Data as of 06-30-2023)

🔴 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
US Stock																		
JPMorgan US Equity R6	JUEMX	Large Blend	18.75	15.10	13.61	13.53	✔️	18.23	0.99	8.87	✔️	🇺🇸	✔️	0.44	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			43	19	3	3												
<i># of funds in category</i>			1,557	1,413	1,305	984												

Vanguard 500 Index Admiral	VFIAX	Large Blend Index	19.54	14.56	12.27	12.82	✔️	18.19	1.00	7.18	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			26	27	19	10												
<i># of funds in category</i>			1,557	1,413	1,305	984												

Fidelity® Contrafund®	FCNTX	Large Growth	25.07	10.56	11.45	13.71	✔️	19.54	0.89	32.81	✔️	🇺🇸	✔️	0.55	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			40	48	53	40												
<i># of funds in category</i>			1,321	1,215	1,126	879												

American Funds Washington Mutual R6	RWMGX	Large Value	13.62	14.64	10.78	11.54	✔️	15.77	0.82	26.01	✔️	🇺🇸	❌	0.26	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			79	24	60	55												
<i># of funds in category</i>			1,557	1,413	1,305	984												

MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	16.23	5.90	9.48	12.32	✔️	20.07	0.91	14.62	✔️	🇺🇸	✔️	0.66	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			64	66	36	11												
<i># of funds in category</i>			605	562	528	418												

American Century Mid Cap Value I	AVUAX	Mid-Cap Value	10.37	15.07	7.66	9.87	✔️	16.97	0.85	19.26	✔️	🇺🇸	✔️	0.77	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			69	71	40	14												
<i># of funds in category</i>			436	418	399	305												

Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	17.44	5.31	6.10	9.19	✔️	21.40	0.93	18.51	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			30	71	58	65												
<i># of funds in category</i>			619	588	552	419												

Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	13.00	18.07	6.24	9.27	✔️	21.36	0.93	7.18	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			37	49	28	14												
<i># of funds in category</i>			502	468	441	345												

Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	14.69	5.95	5.94	6.33	✔️	17.16	0.85	24.05	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			8	29	4	1												
<i># of funds in category</i>			844	751	672	412												

American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	15.56	9.04	4.77	4.99	✔️	18.64	1.07	14.75	✔️	🇺🇸	✔️	0.54	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			56	31	25	58												
<i># of funds in category</i>			796	744	680	460												

Invesco Oppenheimer International Gr Y	OIGYX	Foreign Large Growth	20.99	5.74	4.10	5.30	✔️	21.02	1.07	27.28	✔️	🇺🇸	✔️	0.83	✔️	4	—	Low Risk
<i>percentile rank</i>			16	41	55	69												
<i># of funds in category</i>			474	431	371	257												

Vanguard International Value Inv	VTRIX	Foreign Large Value	18.00	11.25	4.55	5.31	✔️	19.21	1.02	13.10	✔️	🇺🇸	❌	0.38	✔️	2	—	Medium Risk
<i>percentile rank</i>			35	43	27	18												
<i># of funds in category</i>			394	358	328	210												

American Funds New Perspective R6	RNPGX	Global Stock	19.56	10.81	10.18	11.20	✔️	20.05	1.14	22.59	✔️	—	✔️	0.41	✔️	2	2-Basic	Low Risk
<i>percentile rank</i>			43	20	17	11												
<i># of funds in category</i>			367	324	283	188												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
Alternative																		
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	-13.41	18.59	5.22	-0.71	✔️	18.84	1.13	4.66	✔️	—	—	0.86	✔️	3	—	—
<i>percentile rank</i>			78	53	39	63												
<i># of funds in category</i>			116	111	101	68									05-31-2023	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-3.95	5.87	4.39	6.13	✔️	20.22	1.00	27.15	✔️	—	✔️	0.12	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			62	71	49	49												
<i># of funds in category</i>			268	244	225	162									05-31-2023	02-15-2022	12-31-2022	
Fixed Income																		
PIMCO International Bond (USD-Hdg) I-2	PFBPX	Global Bond	1.86	-1.53	0.95	3.02	✔️	4.38	0.59	8.76	✔️	—	—	0.61	✔️	3	—	—
<i>percentile rank</i>			19	14	19	2												
<i># of funds in category</i>			135	124	102	63									05-31-2023	—	—	
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	9.63	3.77	3.45	4.55	✔️	8.60	1.01	15.51	✔️	—	—	0.60	✔️	—	2-Basic	—
<i>percentile rank</i>			16	29	23	10												
<i># of funds in category</i>			725	662	620	451									—	02-15-2022	—	
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	-1.87	0.00	2.23	1.83	✔️	7.06	1.02	21.59	✔️	—	—	0.26	✔️	3	—	—
<i>percentile rank</i>			72	46	60	41												
<i># of funds in category</i>			237	221	217	155									05-31-2023	—	—	
Vanguard Interm-Term Bond Index Adm	VBILX	Intermediate-Term Bond	-0.70	-4.10	1.38	1.95	✔️	6.78	1.09	15.19	✔️	📊	—	0.07	✔️	3	1-Low	—
<i>percentile rank</i>			35	69	7	9												
<i># of funds in category</i>			530	474	441	330									05-31-2023	02-15-2022	—	
PIMCO Income I2	PONPX	Multisector Bond	5.24	1.75	2.50	4.14	✔️	5.76	0.83	16.26	✔️	—	—	0.61	✔️	—	—	—
<i>percentile rank</i>			25	22	22	1												
<i># of funds in category</i>			365	325	278	175									—	—	—	
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	3.27	1.03	1.47	1.02	⚠️	0.65	0.04	25.99	✔️	—	—	0.26	✔️	—	—	—
<i>percentile rank</i>			75	59	70	71												
<i># of funds in category</i>			245	221	193	112									—	—	—	
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	5.95	2.13	3.86	4.91	✔️	9.67	1.02	1.34	⚠️	—	✔️	0.12	✔️	3	1-Low	—
<i>percentile rank</i>			35	79	39	22												
<i># of funds in category</i>			541	510	475	347									05-31-2023	06-20-2023	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	12.70	8.14	6.83	8.01	✔️	14.73	1.02	1.34	⚠️	—	✔️	0.14	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			31	53	29	27												
<i># of funds in category</i>			381	346	324	248									05-31-2023	06-20-2023	12-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	2.63	-0.87	2.24	3.25	✔️	7.41	1.00	1.34	⚠️	—	✔️	0.11	✔️	3	1-Low	—
<i>percentile rank</i>			71	85	56	43												
<i># of funds in category</i>			172	158	146	103									05-31-2023	06-20-2023	—	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	9.34	5.12	5.38	6.48	✔️	12.15	1.00	1.34	⚠️	—	✔️	0.13	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			42	79	54	48												
<i># of funds in category</i>			888	821	786	581									05-31-2023	06-20-2023	12-31-2022	