

TAKSEY NEFF & ASSOCIATES LLC 401K

Screens Summary (Data as of 06-30-2023)

⊖ Fund Removed from Approved List

! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk						Management Style			Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure Yr %	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating Updated	Commit. Level Updated	Carbon Risk Updated	
US Stock																		
Vanguard Dividend Growth Inv	VDIGX	Large Blend	10.75	13.78	12.17	11.76	✔	15.89	0.79	17.42	✔	☐	✔	0.30	✔	4	2-Basic	Low Risk
<i>percentile rank</i>			90	52	22	48												
<i># of funds in category</i>			1,557	1,413	1,305	984												
															05-31-2023	02-15-2022	12-31-2022	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	19.54	14.56	12.27	12.82	✔	18.19	1.00	7.18	✔	☐	✔	0.04	✔	3	1-Low	Low Risk
<i>percentile rank</i>			26	27	19	10												
<i># of funds in category</i>			1,557	1,413	1,305	984												
															05-31-2023	02-15-2022	12-31-2022	
MFS Growth R6	MFEKX	Large Growth	21.10	8.26	11.99	14.32	✔	20.70	0.94	21.26	✔	☐	✔	0.50	✔	4	2-Basic	Low Risk
<i>percentile rank</i>			64	72	45	26												
<i># of funds in category</i>			1,321	1,215	1,126	879												
															05-31-2023	02-15-2022	12-31-2022	
Vanguard Value Index Adm	VVIAX	Large Value	10.82	15.38	9.34	10.48	✔	16.78	0.95	28.52	✔	☐	✔	0.05	✔	2	1-Low	Medium Risk
<i>percentile rank</i>			53	37	27	15												
<i># of funds in category</i>			1,309	1,223	1,168	886												
															05-31-2023	02-15-2022	12-31-2022	
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	16.23	5.90	9.48	12.32	✔	20.07	0.91	14.62	✔	☐	✔	0.66	✔	5	2-Basic	Low Risk
<i>percentile rank</i>			64	66	36	11												
<i># of funds in category</i>			605	562	528	418												
															05-31-2023	02-15-2022	12-31-2022	
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	19.92	21.20	8.63	9.50	✔	21.98	1.09	18.35	✔	☐	✔	0.38	✔	4	-	Medium Risk
<i>percentile rank</i>			6	12	21	27												
<i># of funds in category</i>			436	418	399	305												
															05-31-2023	-	12-31-2022	
Franklin Small Cap Growth R6	FSMLX	Small Growth	20.77	3.33	6.15	9.55	✔	21.36	0.91	23.18	✔	☐	✔	0.64	✔	2	-	Medium Risk
<i>percentile rank</i>			12	78	57	56												
<i># of funds in category</i>			619	588	552	419												
															05-31-2023	-	12-31-2022	
Delaware Small Cap Value R6	DVZRX	Small Value	8.12	16.83	4.56	-	✔	22.82	0.98	11.00	✔	☐	✔	0.70	✔	3	-	Medium Risk
<i>percentile rank</i>			71	62	60	-												
<i># of funds in category</i>			502	468	441	345												
															05-31-2023	-	12-31-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	14.69	5.95	5.94	6.33	✔	17.16	0.85	24.05	✔	☐	✔	0.57	✔	3	1-Low	Medium Risk
<i>percentile rank</i>			8	29	4	1												
<i># of funds in category</i>			844	751	672	412												
															05-31-2023	02-15-2022	12-31-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	16.53	9.01	4.39	5.67	✔	18.92	1.09	10.36	✔	☐	✔	0.07	✔	2	1-Low	Low Risk
<i>percentile rank</i>			47	33	35	22												
<i># of funds in category</i>			796	744	680	460												
															05-31-2023	02-15-2022	12-31-2022	
Vanguard International Growth Adm	VWILX	Foreign Large Growth	14.83	3.49	6.57	9.12	✔	23.56	1.11	13.51	✔	☐	✔	0.34	✔	3	-	Low Risk
<i>percentile rank</i>			63	67	22	5												
<i># of funds in category</i>			474	431	371	257												
															05-31-2023	-	12-31-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	18.00	11.25	4.55	5.31	✔	19.21	1.02	13.10	✔	☐	✘	0.38	✔	2	-	Medium Risk
<i>percentile rank</i>			35	43	27	18												
<i># of funds in category</i>			394	358	328	210												
															05-31-2023	-	12-31-2022	
American Funds New Perspective R6	RNPGX	Global Stock	19.56	10.81	10.18	11.20	✔	20.05	1.14	22.59	✔	—	✔	0.41	✔	2	2-Basic	Low Risk
<i>percentile rank</i>			43	20	17	11												
<i># of funds in category</i>			367	324	283	188												
															05-31-2023	02-15-2022	12-31-2022	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating Updated	Commit. Level Updated	Carbon Risk Updated	
Alternative																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-13.30	18.69	5.30	-0.62	✔	18.82	1.13	4.66	✔	—	—	0.76	✔	3	—	—
<i>percentile rank</i>			78	53	39	54												
<i># of funds in category</i>			116	111	101	68									05-31-2023	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-3.95	5.87	4.39	6.13	✔	20.22	1.00	27.15	✔	—	✔	0.12	✔	3	1-Low	Low Risk
<i>percentile rank</i>			62	71	49	49												
<i># of funds in category</i>			268	244	225	162									05-31-2023	02-15-2022	12-31-2022	
Fixed Income																		
PGIM Global Total Return R6	PGTQX	Global Bond	2.04	-5.83	-1.05	1.43	✔	10.36	1.00	20.76	✔	—	—	0.53	✔	1	—	—
<i>percentile rank</i>			23	82	45	6												
<i># of funds in category</i>			207	199	182	148									09-30-2022	—	—	
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	9.46	4.19	3.69	—	✔	7.94	0.92	8.66	✔	—	—	0.66	✔	—	—	—
<i>percentile rank</i>			19	21	16	—												
<i># of funds in category</i>			725	662	620	451												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-1.78	-0.23	2.36	2.00	✔	6.89	0.99	1.64	✖	—	—	0.10	✔	3	—	—
<i>percentile rank</i>			70	58	44	17												
<i># of funds in category</i>			237	221	217	155									05-31-2023	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-0.25	-3.69	1.09	1.94	✔	6.27	1.01	22.76	✔	📊	—	0.30	✔	3	1-Low	—
<i>percentile rank</i>			17	34	18	9												
<i># of funds in category</i>			530	474	441	330									05-31-2023	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	5.34	1.85	2.60	4.24	✔	5.76	0.83	16.26	✔	—	—	0.51	✔	—	—	—
<i>percentile rank</i>			25	22	19	1												
<i># of funds in category</i>			365	325	278	175												
Lincoln Stable Value Account -Z416		Stable Value	—	—	—	—	—	—	—	40.19	✔	—	—	—	—	—	—	—
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			61	—	—	—												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	3.29	1.05	1.49	—	✔	0.63	0.04	25.99	✔	—	—	0.24	✔	—	—	—
<i>percentile rank</i>			72	57	69	—												
<i># of funds in category</i>			245	221	193	112												
Target Date																		
T. Rowe Price Retirement I 2010 I	TRPAX	Target Date	6.93	4.18	4.79	—	✔	9.78	1.01	7.76	✔	—	✔	0.34	✔	2	1-Low	—
<i>percentile rank</i>			6	1	1	—												
<i># of funds in category</i>			124	114	87	46									05-31-2023	02-15-2022	—	
T. Rowe Price Retirement I 2015 I	TRFGX	Target Date	7.43	4.80	5.11	—	✔	10.29	0.96	7.76	✔	—	✔	0.36	✔	2	1-Low	—
<i>percentile rank</i>			10	1	1	—												
<i># of funds in category</i>			130	119	99	46									05-31-2023	02-15-2022	—	
T. Rowe Price Retirement I 2020 I	TRBRX	Target Date	7.98	5.51	5.49	—	✔	10.86	0.93	7.76	✔	—	✔	0.37	✔	2	1-Low	—
<i>percentile rank</i>			9	3	6	—												
<i># of funds in category</i>			167	153	130	62									05-31-2023	02-15-2022	—	

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																	Updated	Updated	Updated
T. Rowe Price Retirement I 2025 I	TRPHX	Target Date	8.95	6.41	6.01	—	✓	11.91	0.95	7.76	✓	—	✓	0.39	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			6	1	1	—													
<i># of funds in category</i>			263	235	209	125									05-31-2023	02-15-2022	12-31-2021		
T. Rowe Price Retirement I 2030 I	TRPCX	Target Date	10.56	7.40	6.52	—	✓	13.34	0.98	7.76	✓	—	✓	0.41	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			10	3	1	—													
<i># of funds in category</i>			259	228	199	121									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2035 I	TRPJX	Target Date	12.42	8.41	7.03	—	✓	14.62	0.99	7.76	✓	—	✓	0.42	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			11	8	6	—													
<i># of funds in category</i>			260	230	207	122									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2040 I	TRPDX	Target Date	13.78	9.25	7.47	—	✓	15.70	1.00	7.76	✓	—	✓	0.43	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			19	19	16	—													
<i># of funds in category</i>			250	218	196	118									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2045 I	TRPKX	Target Date	14.56	9.92	7.79	—	✓	16.23	0.99	7.76	✓	—	✓	0.44	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			26	25	11	—													
<i># of funds in category</i>			252	225	203	122									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2050 I	TRPMX	Target Date	14.92	9.98	7.83	—	✓	16.40	0.98	7.76	✓	—	✓	0.45	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			33	32	12	—													
<i># of funds in category</i>			249	220	197	119									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2055 I	TRPNX	Target Date	14.96	9.97	7.82	—	✓	16.41	0.98	7.76	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			38	41	12	—													
<i># of funds in category</i>			251	218	198	99									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2060 I	TRPLX	Target Date	14.96	9.96	7.84	—	✓	16.41	1.32	7.76	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			40	45	15	—													
<i># of funds in category</i>			239	204	177	8									05-31-2023	02-15-2022	12-31-2022		
T. Rowe Price Retirement I 2065 I	TRFKX	Target Date	15.01	—	—	—	—	—	—	2.71	✖	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			48	—	—	—													
<i># of funds in category</i>			188	84	10	—									05-31-2023	02-15-2022	12-31-2022		
Balanced																			
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	5.95	2.13	3.86	4.91	✓	9.67	1.02	1.34	✖	—	✓	0.12	✓	3	1-Low	—	
<i>percentile rank</i>			35	79	39	22													
<i># of funds in category</i>			541	510	475	347									05-31-2023	06-20-2023	—		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	12.70	8.14	6.83	8.01	✓	14.73	1.02	1.34	✖	—	✓	0.14	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			31	53	29	27													
<i># of funds in category</i>			381	346	324	248									05-31-2023	06-20-2023	12-31-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	2.63	-0.87	2.24	3.25	✓	7.41	1.00	1.34	✖	—	✓	0.11	✓	3	1-Low	—	
<i>percentile rank</i>			71	85	56	43													
<i># of funds in category</i>			172	158	146	103									05-31-2023	06-20-2023	—		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	9.34	5.12	5.38	6.48	✓	12.15	1.00	1.34	✖	—	✓	0.13	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			42	79	54	48													
<i># of funds in category</i>			888	821	786	581									05-31-2023	06-20-2023	12-31-2022		