

# UPPER BAY 403(B) PLAN

## Screens Summary (Data as of 06-30-2023)

🔴 Fund Removed from Approved List    ⚠️ Fund on Watch List

| Name                                     | Ticker | Asset Class               | Trailing Performance and Risk |       |       |       | Management |                |             | Style  |            | Expense   |            | ESG Metrics |                 |               |             |             |
|--|--------|---------------------------|-------------------------------|-------|-------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|-------------|
|  |        |                           | 1 Yr                          | 3 Yr  | 5 Yr  | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio   | Sustain. Rating | Commit. Level | Carbon Risk |             |
|  |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| US Stock                                 |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| T. Rowe Price Dividend Growth            | PRDGX  | Large Blend               | 13.42                         | 13.46 | 11.93 | 12.12 | ✔️         | 16.28          | 0.87        | 23.26  | ✔️         | 🇺🇸        | ✔️         | 0.64        | ✔️              | 4             | –           | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 81                            | 61    | 29    | 37    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 1,557                         | 1,413 | 1,305 | 984   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| Vanguard 500 Index Admiral               | VFIAX  | Large Blend Index         | 19.54                         | 14.56 | 12.27 | 12.82 | ✔️         | 18.19          | 1.00        | 7.18   | ✔️         | 🇺🇸        | ✔️         | 0.04        | ✔️              | 3             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 26                            | 27    | 19    | 10    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 1,557                         | 1,413 | 1,305 | 984   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| Fidelity® Contrafund®                    | FCNTX  | Large Growth              | 25.07                         | 10.56 | 11.45 | 13.71 | ✔️         | 19.54          | 0.89        | 32.81  | ✔️         | 🇺🇸        | ✔️         | 0.55        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 40                            | 48    | 53    | 40    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 1,321                         | 1,215 | 1,126 | 879   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| American Funds Washington Mutual R6      | RWMGX  | Large Value               | 13.62                         | 14.64 | 10.78 | 11.54 | ✔️         | 15.77          | 0.82        | 26.01  | ✔️         | 🇺🇸        | ✖️         | 0.26        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 79                            | 24    | 60    | 55    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 1,557                         | 1,413 | 1,305 | 984   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| Neuberger Berman Mid Cap Growth Inst     | NBMLX  | Mid-Cap Growth            | 17.04                         | 6.24  | 8.54  | 10.68 | ✔️         | 20.72          | 0.95        | 3.45   | ✔️         | 🇺🇸        | ✔️         | 0.70        | ✔️              | 3             | –           | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 59                            | 63    | 53    | 52    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 605                           | 562   | 528   | 418   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| American Century Mid Cap Value I         | AVUAX  | Mid-Cap Value             | 10.37                         | 15.07 | 7.66  | 9.87  | ✔️         | 16.97          | 0.85        | 19.26  | ✔️         | 🇺🇸        | ✔️         | 0.77        | ✔️              | 4             | 1-Low       | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 69                            | 71    | 40    | 14    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 436                           | 418   | 399   | 305   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| MFS New Discovery R4                     | MNDJX  | Small Growth              | 13.86                         | 3.04  | 6.89  | 9.03  | ✔️         | 21.41          | 0.91        | 9.50   | ✔️         | 🇺🇸        | ✔️         | 0.99        | ✔️              | 4             | –           | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 60                            | 79    | 47    | 68    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 619                           | 588   | 552   | 419   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| Delaware Small Cap Value Instl           | DEVIX  | Small Value               | 7.96                          | 16.64 | 4.38  | 7.95  | ✔️         | 22.82          | 0.98        | 11.00  | ✔️         | 🇺🇸        | ✔️         | 0.86        | ✔️              | 3             | –           | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 73                            | 65    | 62    | 42    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 502                           | 468   | 441   | 345   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| Non-US Stock                             |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Funds New World R6              | RNWGX  | Diversified Emerging Mkts | 14.69                         | 5.95  | 5.94  | 6.33  | ✔️         | 17.16          | 0.85        | 24.05  | ✔️         | 🇺🇸        | ✔️         | 0.57        | ✔️              | 3             | 1-Low       | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 8                             | 29    | 4     | 1     |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 844                           | 751   | 672   | 412   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| Vanguard Developed Markets Index Admiral | VTMGX  | Foreign Large Blend Index | 16.53                         | 9.01  | 4.39  | 5.67  | ✔️         | 18.92          | 1.09        | 10.36  | ✔️         | 🇺🇸        | ✔️         | 0.07        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 47                            | 33    | 35    | 22    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 796                           | 744   | 680   | 460   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |
| Invesco Oppenheimer International Gr Y   | OIGYX  | Foreign Large Growth      | 20.99                         | 5.74  | 4.10  | 5.30  | ✔️         | 21.02          | 1.07        | 27.28  | ✔️         | 🇺🇸        | ✔️         | 0.83        | ✔️              | 4             | –           | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 16                            | 41    | 55    | 69    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 474                           | 431   | 371   | 257   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| Vanguard International Value Inv         | VTRIX  | Foreign Large Value       | 18.00                         | 11.25 | 4.55  | 5.31  | ✔️         | 19.21          | 1.02        | 13.10  | ✔️         | 🇺🇸        | ✖️         | 0.38        | ✔️              | 2             | –           | Medium Risk |
| <i>percentile rank</i>                   |        |                           | 35                            | 43    | 27    | 18    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 394                           | 358   | 328   | 210   |            |                |             |        |            |           |            |             | 05-31-2023      | –             | 12-31-2022  |             |
| American Funds New Perspective R6        | RNPGX  | Global Stock              | 19.56                         | 10.81 | 10.18 | 11.20 | ✔️         | 20.05          | 1.14        | 22.59  | ✔️         | —         | ✔️         | 0.41        | ✔️              | 2             | 2-Basic     | Low Risk    |
| <i>percentile rank</i>                   |        |                           | 43                            | 20    | 17    | 11    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>            |        |                           | 367                           | 324   | 283   | 188   |            |                |             |        |            |           |            |             | 05-31-2023      | 02-15-2022    | 12-31-2022  |             |

# UPPER BAY 403(B) PLAN

## Screens Summary (Data as of 06-30-2023)

🔴 Fund Removed from Approved List    ⚠️ Fund on Watch List

| Name                                     | Ticker | Asset Class                  | Trailing Performance and Risk |       |      |       | Management    |                   |                | Style  |               | Expense      |               | ESG Metrics  |               |                                      |                                    |                                  |
|--|--------|------------------------------|-------------------------------|-------|------|-------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|--------------------------------------|------------------------------------|----------------------------------|
|  |        |                              | 1 Yr                          | 3 Yr  | 5 Yr | 10 Yr | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail | Sustain.<br>Rating<br><i>Updated</i> | Commit.<br>Level<br><i>Updated</i> | Carbon<br>Risk<br><i>Updated</i> |
| <b>Alternative</b>                       |        |                              |                               |       |      |       |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| PIMCO Commodity Real Return Strat I2     | PCRPX  | Commodities<br>Broad Basket  | -13.41                        | 18.59 | 5.22 | -0.71 | ✔️            | 18.84             | 1.13           | 4.66   | ✔️            | —            | —             | 0.86         | ✔️            | 3                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 78                            | 53    | 39   | 63    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 116                           | 111   | 101  | 68    |               |                   |                |        |               |              |               |              | 05-31-2023    | —                                    | —                                  |                                  |
| Vanguard Real Estate Index Admiral       | VGSLX  | Real Estate                  | -3.95                         | 5.87  | 4.39 | 6.13  | ✔️            | 20.22             | 1.00           | 27.15  | ✔️            | —            | ✔️            | 0.12         | ✔️            | 3                                    | 1-Low                              | Low Risk                         |
| <i>percentile rank</i>                   |        |                              | 62                            | 71    | 49   | 49    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 268                           | 244   | 225  | 162   |               |                   |                |        |               |              |               |              | 05-31-2023    | 02-15-2022                           | 12-31-2022                         |                                  |
| <b>Fixed Income</b>                      |        |                              |                               |       |      |       |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| PIMCO International Bond (USD-Hdg) I-2   | PFBPX  | Global Bond                  | 1.86                          | -1.53 | 0.95 | 3.02  | ✔️            | 4.38              | 0.59           | 8.76   | ✔️            | —            | —             | 0.61         | ✔️            | 3                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 19                            | 14    | 19   | 2     |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 135                           | 124   | 102  | 63    |               |                   |                |        |               |              |               |              | 05-31-2023    | —                                    | —                                  |                                  |
| Eaton Vance Income Fund of Boston I      | EIBIX  | High Yield Bond              | 9.35                          | 4.10  | 3.60 | 4.33  | ✔️            | 7.94              | 0.92           | 8.66   | ✔️            | —            | —             | 0.75         | ✔️            | —                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 22                            | 22    | 18   | 15    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 725                           | 662   | 620  | 451   |               |                   |                |        |               |              |               |              | —             | —                                    | —                                  |                                  |
| BlackRock Inflation Protected Bond Instl | BPRIX  | Inflation-<br>Protected Bond | -0.80                         | 0.29  | 2.61 | 1.93  | ✔️            | 7.13              | 1.02           | 6.38   | ✔️            | —            | —             | 0.56         | ✔️            | 3                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 32                            | 42    | 19   | 30    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 237                           | 221   | 217  | 155   |               |                   |                |        |               |              |               |              | 09-30-2022    | —                                    | —                                  |                                  |
| Baird Aggregate Bond Inst                | BAGIX  | Intermediate-<br>Term Bond   | -0.25                         | -3.69 | 1.09 | 1.94  | ✔️            | 6.27              | 1.01           | 22.76  | ✔️            | 🗄️           | —             | 0.30         | ✔️            | 3                                    | 1-Low                              | —                                |
| <i>percentile rank</i>                   |        |                              | 17                            | 34    | 18   | 9     |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 530                           | 474   | 441  | 330   |               |                   |                |        |               |              |               |              | 05-31-2023    | 02-15-2022                           | —                                  |                                  |
| PIMCO Income I2                          | PONPX  | Multisector<br>Bond          | 5.24                          | 1.75  | 2.50 | 4.14  | ✔️            | 5.76              | 0.83           | 16.26  | ✔️            | —            | —             | 0.61         | ✔️            | —                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 25                            | 22    | 22   | 1     |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 365                           | 325   | 278  | 175   |               |                   |                |        |               |              |               |              | —             | —                                    | —                                  |                                  |
| Federated Hermes Govt Ultrashort IS      | FGUSX  | Ultrashort Bond              | 3.27                          | 1.03  | 1.47 | 1.02  | ⚠️            | 0.65              | 0.04           | 25.99  | ✔️            | —            | —             | 0.26         | ✔️            | —                                    | —                                  | —                                |
| <i>percentile rank</i>                   |        |                              | 75                            | 59    | 70   | 71    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 245                           | 221   | 193  | 112   |               |                   |                |        |               |              |               |              | —             | —                                    | —                                  |                                  |
| <b>Balanced</b>                          |        |                              |                               |       |      |       |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| BlackRock Global Allocation Instl        | MALOX  | Global<br>Allocation         | 7.46                          | 4.99  | 5.17 | 5.24  | ✔️            | 12.70             | 0.97           | 6.50   | ✔️            | 🗄️           | ✔️            | 0.84         | ✔️            | 3                                    | —                                  | Low Risk                         |
| <i>percentile rank</i>                   |        |                              | 47                            | 62    | 18   | 27    |               |                   |                |        |               |              |               |              |               |                                      |                                    |                                  |
| <i># of funds in category</i>            |        |                              | 436                           | 412   | 393  | 290   |               |                   |                |        |               |              |               |              | 05-31-2023    | —                                    | 09-30-2022                         |                                  |