

TEKLA RESEARCH INC 401K PLAN

Screens Summary (Data as of 06-30-2024)

🔴 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
															Updated	Updated	Updated	
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	24.51	9.97	15.00	12.82	✔️	17.86	1.00	6.59	✔️	🏠	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			33	22	19	10												
<i># of funds in category</i>			1,582	1,450	1,331	993												
															05-31-2024	02-15-2022	12-31-2022	
Vanguard Growth Index Admiral	VIGAX	Large Growth	32.77	9.90	18.78	15.33	✔️	22.54	1.06	29.52	✔️	🏠	✔️	0.05	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			36	20	13	18												
<i># of funds in category</i>			1,279	1,200	1,122	884												
															05-31-2024	02-15-2022	12-31-2022	
Vanguard Value Index Adm	VVIAX	Large Value	15.84	7.99	10.50	9.88	✔️	15.76	0.94	29.52	✔️	🏠	✔️	0.05	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			40	25	37	15												
<i># of funds in category</i>			1,273	1,193	1,126	890												
															05-31-2024	02-15-2022	12-31-2022	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	11.80	2.21	9.38	9.10	✔️	19.41	0.99	1.37	❌	🏠	✔️	0.05	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			63	70	51	22												
<i># of funds in category</i>			483	448	411	282												
															05-31-2024	02-15-2022	12-31-2022	
Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	11.04	-2.47	6.76	5.65	✔️	16.06	0.79	25.05	✔️	🏠	✔️	0.57	✔️	3	1-Low	Medium Risk
<i>percentile rank</i>			61	35	15	2												
<i># of funds in category</i>			845	758	681	455												
															05-31-2024	02-15-2022	12-31-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	11.17	1.35	6.44	3.93	✔️	16.85	1.00	15.76	✔️	🏠	✔️	0.54	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			44	51	39	60												
<i># of funds in category</i>			831	769	718	473												
															05-31-2024	02-15-2022	12-31-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	10.59	1.79	6.52	4.51	✔️	18.07	1.09	11.36	✔️	🏠	✔️	0.08	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			58	43	37	31												
<i># of funds in category</i>			831	769	718	473												
															05-31-2024	02-15-2022	12-31-2022	
Alternative																		
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	4.75	-2.55	2.96	5.30	✔️	21.93	1.02	28.15	✔️	—	✔️	0.13	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			66	77	55	50												
<i># of funds in category</i>			253	240	220	163												
															05-31-2024	02-15-2022	12-31-2022	
Fixed Income																		
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	4.32	-2.30	0.65	2.07	✔️	7.42	0.99	23.77	✔️	—	—	0.30	✔️	3	1-Low	—
<i>percentile rank</i>			30	25	27	16												
<i># of funds in category</i>			692	625	587	416												
															05-31-2024	02-15-2022	—	
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	2.42	-1.52	1.86	1.67	✔️	7.38	1.02	22.60	✔️	—	—	0.31	✔️	3	—	—
<i>percentile rank</i>			64	51	57	52												
<i># of funds in category</i>			176	165	159	120												
															05-31-2024	—	—	
Vanguard Interm-Term Bond Index Adm	VBILX	Intermediate-Term Bond	3.10	-3.06	0.08	1.75	✔️	7.89	1.05	16.19	✔️	🏠	—	0.07	✔️	2	1-Low	—
<i>percentile rank</i>			44	50	32	12												
<i># of funds in category</i>			556	507	455	317												
															05-31-2024	02-15-2022	—	

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																	Updated	Updated	Updated
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	5.56	2.76	2.15	1.54	🔴	0.95	0.06	26.99	🟢	—	—	0.26	🟢	—	—	—	
<i>percentile rank</i>			70	61	66	71													
<i># of funds in category</i>			258	212	194	122													
Target Date																			
Vanguard Target Retirement 2020 Fund	VTWNX	Target Date	9.24	0.94	5.15	5.35	🟢	10.20	0.86	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	—	
<i>percentile rank</i>			60	45	43	30													
<i># of funds in category</i>			186	165	141	81									05-31-2024	02-15-2022	—		
Vanguard Target Retirement 2025 Fund	VTTVX	Target Date	11.02	1.51	6.12	6.03	🟢	11.79	0.92	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			23	24	29	14													
<i># of funds in category</i>			276	253	209	133									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2030 Fund	VTHRX	Target Date	12.39	2.07	6.95	6.58	🟢	12.88	0.94	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			28	28	35	20													
<i># of funds in category</i>			270	249	199	134									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	13.52	2.65	7.77	7.12	🟢	13.72	0.93	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			47	32	44	38													
<i># of funds in category</i>			265	237	200	128									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	14.74	3.23	8.59	7.65	🟢	14.55	0.94	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			61	47	50	42													
<i># of funds in category</i>			258	237	200	134									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	15.81	3.78	9.40	8.12	🟢	15.41	0.96	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			65	46	42	35													
<i># of funds in category</i>			268	244	204	130									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2050 Fund	VFIX	Target Date	16.63	4.15	9.68	8.26	🟢	15.82	0.96	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			56	36	38	29													
<i># of funds in category</i>			268	243	202	133									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	16.61	4.15	9.67	8.24	🟢	15.83	0.96	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			63	40	43	34													
<i># of funds in category</i>			265	242	205	122									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2060 Fund	VITSX	Target Date	16.60	4.16	9.68	8.23	🟢	15.81	1.25	11.36	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			66	41	45	50													
<i># of funds in category</i>			250	228	186	16									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2065 Fund	VLVX	Target Date	16.63	4.19	9.66	—	🟢	15.81	1.25	6.97	🟢	—	🟢	0.08	🟢	3	1-Low	Low Risk	
<i>percentile rank</i>			66	44	61	—													
<i># of funds in category</i>			252	168	32	—									05-31-2024	02-15-2022	12-31-2022		
Vanguard Target Retirement 2070 Fund	VSVNX	Target Date	16.65	—	—	—	—	—	—	2.01	🔴	—	🟢	0.08	🟢	3	—	Low Risk	
<i>percentile rank</i>			63	—	—	—													
<i># of funds in category</i>			252	168	32	—									05-31-2024	—	12-31-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	7.96	0.46	3.72	3.95	🟢	8.66	0.93	11.36	🟢	—	🟢	0.08	🟢	4	1-Low	—	
<i>percentile rank</i>			58	43	48	31													
<i># of funds in category</i>			207	188	159	102									05-31-2024	02-15-2022	—		

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															Updated	Updated	Updated	
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	9.01	0.35	4.23	4.62	✔	10.30	1.03	2.35	✖	—	✔	0.12	✔	3	1-Low	—
<i>percentile rank</i>			46	58	53	24												
<i># of funds in category</i>			536	519	479	365									05-31-2024	07-19-2023	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	15.08	3.34	8.57	7.55	✔	14.69	1.03	2.35	✖	—	✔	0.14	✔	3	1-Low	Low Risk
<i>percentile rank</i>			37	46	29	27												
<i># of funds in category</i>			357	349	322	263									05-31-2024	07-19-2023	12-31-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	6.03	-1.18	1.97	3.05	✔	8.34	1.02	2.35	✖	—	✔	0.11	✔	4	1-Low	—
<i>percentile rank</i>			69	76	73	48												
<i># of funds in category</i>			177	165	157	119									05-31-2024	07-19-2023	—	
Vanguard LifeStrategy Mod Gr Inv Shrs	VSMGX	Target Risk	11.99	1.84	6.41	6.11	✔	12.46	1.00	2.35	✖	—	✔	0.13	✔	3	1-Low	Low Risk
<i>percentile rank</i>			55	67	63	50												
<i># of funds in category</i>			893	843	795	604									05-31-2024	07-19-2023	12-31-2022	