

AD TAPE AND LABEL EMPLOYEES

Screens Summary (Data as of 09-30-2019)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	4.22	13.36	10.80	13.21		12.18	1.00	3.43				0.04		
<i>percentile rank</i>			34	19	14	9										
<i># of funds in category</i>			1,431	1,231	1,092	834										
Fidelity® Contrafund®	FCNTX	Large Growth	-1.29	14.88	11.59	13.65		13.82	1.01	29.05				0.81		
<i>percentile rank</i>			81	49	45	41										
<i># of funds in category</i>			1,390	1,254	1,121	831										
Vanguard Value Index Adm	VVIAX	Large Value	3.54	11.45	9.33	12.08		12.24	0.99	24.76				0.05		
<i>percentile rank</i>			39	12	14	16										
<i># of funds in category</i>			1,220	1,093	947	701										
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	3.65	10.67	9.20	13.05		13.30	0.99	21.38				0.05		
<i>percentile rank</i>			20	21	15	8										
<i># of funds in category</i>			426	368	307	227										
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	-2.03	12.29	9.75	—		16.07	0.91	14.76				0.07		
<i>percentile rank</i>			18	44	52	—										
<i># of funds in category</i>			664	585	521	401										
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	-5.48	7.33	7.60	—		16.12	0.90	3.43				0.07		
<i>percentile rank</i>			26	17	17	—										
<i># of funds in category</i>			420	384	341	235										
Non-US Stock																
Delaware Emerging Markets Instl	DEMIX	Diversified Emerging Mkts	-0.70	6.81	3.06	4.99		15.54	1.06	13.02				1.38		
<i>percentile rank</i>			52	25	23	15										
<i># of funds in category</i>			838	701	569	244										
American Funds Europacific Growth R6	REGGX	Foreign Large Blend	1.14	7.38	5.02	6.07		12.26	1.05	18.34				0.49		
<i>percentile rank</i>			41	39	44	56										
<i># of funds in category</i>			467	396	340	250										
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-2.08	6.28	3.62	5.07		11.44	0.99	6.61				0.07		
<i>percentile rank</i>			52	33	23	28										
<i># of funds in category</i>			727	614	492	372										
Alternative																
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89		12.87	0.98	23.40		—		0.11		
<i>percentile rank</i>			28	50	36	35										
<i># of funds in category</i>			262	226	201	137										
Fixed Income																
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	6.33	1.90	2.15	3.16		3.25	0.98	17.84		—	—	0.27		
<i>percentile rank</i>			43	52	31	42										
<i># of funds in category</i>			225	194	165	108										

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Metropolitan West Total Return Bd I	MWTIX	Intermediate-Term Bond	10.55	3.17	3.32	5.27		3.22	0.96	22.52		—	—	0.44	
<i>percentile rank</i>			<i>13</i>	<i>51</i>	<i>53</i>	<i>11</i>									
<i># of funds in category</i>			<i>618</i>	<i>539</i>	<i>448</i>	<i>332</i>									
PIMCO Income I2	PONPX	Multisector Bond	6.05	5.18	5.01	8.78		1.90	0.20	12.51		—	—	1.15	
<i>percentile rank</i>			<i>59</i>	<i>17</i>	<i>10</i>	<i>1</i>									
<i># of funds in category</i>			<i>326</i>	<i>293</i>	<i>238</i>	<i>122</i>									
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	1.85	2.27	1.86	1.74		0.70	-0.13	8.75		—	—	0.60	
<i>percentile rank</i>			<i>95</i>	<i>17</i>	<i>12</i>	<i>24</i>									
<i># of funds in category</i>			<i>198</i>	<i>154</i>	<i>133</i>	<i>61</i>									

Balanced

American Funds Capital Income Bldr R6	RIRGX	World Allocation	5.39	5.60	4.76	7.22		7.03	0.94	27.76				0.29	
<i>percentile rank</i>			<i>13</i>	<i>43</i>	<i>19</i>	<i>10</i>									
<i># of funds in category</i>			<i>450</i>	<i>376</i>	<i>309</i>	<i>183</i>									