

CVPATH INSTITUTE INC DEFINED

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
American Funds Invmt Co of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RICGX	Large Blend	-0.22 79 1,431	9.79 84 1,231	8.39 68 1,092	11.43 66 834	✔	11.23	0.90	27.60	✔	☒	✔	0.29	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☒	✔	0.04	✔	
State St Russell Lg Cap Gr® Indx NL CI C <i>percentile rank</i> <i># of funds in category</i>		Large Growth	3.68 31 1,390	16.82 22 1,254	13.33 17 1,121	14.88 15 831	✔	13.29	1.00	20.35	✔	☒	✔	-	—	
DFA US Large Cap Value I <i>percentile rank</i> <i># of funds in category</i>	DFLVX	Large Value	-1.91 82 1,220	9.51 52 1,093	7.33 51 947	12.19 13 701	✔	14.27	1.14	7.59	✔	☒	✔	0.27	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	4.85 34 603	12.55 58 544	9.98 54 487	- - 375	✔	13.54	0.93	6.61	✔	☒	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	- - 226	✔	13.75	1.02	13.13	✔	☒	✔	0.07	✔	
State St Russell Sm Cap® Gr Indx NL CI C <i>percentile rank</i> <i># of funds in category</i>		Small Growth	-9.68 64 664	9.72 67 585	8.99 63 521	12.14 58 401	✔	17.45	1.00	10.16	✔	☒	✔	-	—	
State St Russell Sm Cp® Val Indx NL CI C <i>percentile rank</i> <i># of funds in category</i>		Small Value	-8.26 40 420	6.56 22 384	7.13 21 341	10.01 41 235	✔	17.64	1.00	10.16	✔	☒	✔	-	—	
Non-US Stock																
Vanguard Emerging Mkts Stock Idx Adm <i>percentile rank</i> <i># of funds in category</i>	VEMAX	Diversified Emerging Mkts	1.27 34 838	5.26 49 701	2.01 48 569	3.16 52 244	✔	13.34	0.94	11.09	✔	☒	✔	0.14	✔	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGGX	Foreign Large Blend	1.14 41 467	7.38 39 396	5.02 44 340	6.07 56 250	✔	12.26	1.05	18.34	✔	☒	✔	0.49	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	-1.93 75 467	10.34 10 396	7.20 15 340	7.84 13 250	✔	15.50	1.28	16.66	✔	☒	✔	0.32	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✔	11.42	1.01	11.38	✔	☒	✖	0.38	✔	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	2.88 36 870	12.38 14 733	9.72 11 604	10.67 9 375	✔	11.98	0.97	18.84	✔	☒	✔	0.44	✔	

CVPATH INSTITUTE INC DEFINED

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
Alternative															
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-6.95	-1.43	-7.32	-2.83	✔	8.88	1.09	11.76	✔	—	—	1.46	✔
<i>percentile rank</i>			34	51	55	8									
<i># of funds in category</i>			131	106	91	34									
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89	✔	12.87	0.98	23.40	✔	—	✔	0.11	✔
<i>percentile rank</i>			28	50	36	35									
<i># of funds in category</i>			262	226	201	137									
Fixed Income															
Western Asset Core Plus Bond IS	WAPSX	Core Plus Bond	11.78	4.51	4.74	5.94	✔	3.81	1.03	12.76	✔	—	—	0.42	✔
<i>percentile rank</i>			2	4	2	5									
<i># of funds in category</i>			618	539	448	332									
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	8.13	5.84	5.49	7.47	✔	4.32	1.00	11.63	✔	—	—	0.13	✔
<i>percentile rank</i>			7	22	7	19									
<i># of funds in category</i>			709	611	530	342									
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	7.03	2.04	2.38	3.39	✔	3.26	0.99	8.12	✔	—	—	0.10	✔
<i>percentile rank</i>			24	41	20	19									
<i># of funds in category</i>			225	194	165	108									
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	10.43	2.90	3.35	3.69	✔	3.45	1.03	6.61	✔	☒	—	0.05	✔
<i>percentile rank</i>			15	32	23	49									
<i># of funds in category</i>			403	356	312	238									
Federated Government Ultrashrt Dur R6	FGULX	Ultrashort Bond	2.35	1.61	—	—	✔	0.27	0.02	22.24	✔	—	—	0.24	✔
<i>percentile rank</i>			83	79	—	—									
<i># of funds in category</i>			198	154	133	61									
Templeton Global Bond R6	FBNRX	World Bond	1.16	3.99	0.94	—	✔	6.85	-0.81	17.76	✔	—	—	0.49	✔
<i>percentile rank</i>			83	28	81	—									
<i># of funds in category</i>			308	279	213	76									
Balanced															
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	7.04	6.07	5.43	6.53	✔	4.75	1.00	25.02	✔	—	✔	0.12	✔
<i>percentile rank</i>			17	22	14	29									
<i># of funds in category</i>			561	498	402	267									
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	3.10	8.75	6.93	9.05	✔	9.17	1.01	25.02	✔	—	✔	0.14	✔
<i>percentile rank</i>			24	24	22	26									
<i># of funds in category</i>			339	311	279	198									
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	8.89	4.66	4.57	5.17	✔	3.16	0.34	25.02	✔	—	✔	0.11	✔
<i>percentile rank</i>			5	19	10	42									
<i># of funds in category</i>			195	179	151	87									
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	5.09	7.44	6.23	7.88	✔	6.88	1.01	25.02	✔	—	✔	0.13	✔
<i>percentile rank</i>			28	40	33	46									
<i># of funds in category</i>			716	659	582	427									