

HARSCH AND OSBORNE MD PC 401K PLAN

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
American Funds Invmt Co of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RICGX	Large Blend	-0.22 79 1,431	9.79 84 1,231	8.39 68 1,092	11.43 66 834	✔	11.23	0.90	27.60	✔	☒	✔	0.29	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☒	✔	0.04	✔	
Vanguard Mid Cap Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VIMAX	Mid-Cap Blend	3.65 20 426	10.67 21 368	9.20 15 307	13.05 8 227	✔	13.30	0.99	21.38	✔	☒	✔	0.05	✔	
Vanguard Small Cap Index Adm <i>percentile rank</i> <i># of funds in category</i>	VSMAX	Small Blend	-3.81 20 723	9.62 13 640	8.62 22 508	12.38 10 371	✔	15.75	0.90	3.43	✔	☒	✖	0.05	✔	
Non-US Stock																
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGGX	Foreign Large Blend	1.14 41 467	7.38 39 396	5.02 44 340	6.07 56 250	✔	12.26	1.05	18.34	✔	☒	✔	0.49	✔	
Fixed Income																
American Century Inflation Adjs Bond R5 <i>percentile rank</i> <i># of funds in category</i>	AIANX	Inflation- Protected Bond	6.33 43 225	1.90 52 194	2.15 31 165	3.16 42 108	✔	3.25	0.98	17.84	✔	—	—	0.27	✔	
Vanguard Total Bond Market Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBTLX	Intermediate- Term Bond	10.43 15 403	2.90 32 356	3.35 23 312	3.69 49 238	✔	3.45	1.03	6.61	✔	☒	—	0.05	✔	
Federated Government Ultrashrt Dur Instl <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	2.33 83 198	1.59 80 154	1.03 83 133	0.76 78 61	✖	0.28	0.02	22.24	✔	—	—	0.26	✔	
Target Date																
Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i>	VTXVX	Target Date	6.08 21 129	6.18 42 95	5.33 34 68	7.26 29 47	✔	4.71	0.90	6.61	✔	—	✔	0.13	✔	
Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	5.29 38 246	7.08 17 205	5.97 5 158	7.96 9 99	✔	6.10	1.04	6.61	✔	—	✔	0.13	✔	
Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	4.89 39 232	7.77 18 191	6.39 8 147	8.48 11 81	✔	7.13	1.06	6.61	✔	—	✔	0.13	✔	

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Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHR	Target Date	4.15 44 241	8.29 31 201	6.67 21 154	8.92 16 95	✔	7.98	1.02	6.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHX	Target Date	3.37 46 229	8.78 32 188	6.92 27 144	9.35 15 78	✔	8.83	0.98	6.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFOR	Target Date	2.63 46 241	9.28 24 201	7.15 26 154	9.61 16 95	✔	9.71	0.98	6.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIV	Target Date	2.06 52 229	9.41 28 188	7.23 26 144	9.66 18 77	✔	10.32	1.00	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIF	Target Date	2.07 45 241	9.42 35 201	7.24 27 154	9.65 20 77	✔	10.34	0.99	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFV	Target Date	2.09 43 229	9.41 37 186	7.21 31 138	— — 13	✔	10.31	0.98	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTX	Target Date	2.07 44 216	9.41 48 133	7.20 60 30	— — —	✔	10.33	0.98	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLVX	Target Date	2.09 41 216	— — 133	— — 30	— — —	—	—	—	2.22	✖	—	✔	0.15	✔
Vanguard Target Retirement Income Inv <i>percentile rank</i> <i># of funds in category</i>	VTIN	Target Date	6.75 21 191	5.10 39 160	4.57 23 127	5.76 34 95	✔	3.72	0.86	6.61	✔	—	✔	0.12	✔

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSCGX	Target Risk	7.04 17 561	6.07 22 498	5.43 14 402	6.53 29 267	✔	4.75	1.00	25.02	✔	—	✔	0.12	✔
Vanguard LifeStrategy Growth Inv <i>percentile rank</i> <i># of funds in category</i>	VASGX	Target Risk	3.10 24 339	8.75 24 311	6.93 22 279	9.05 26 198	✔	9.17	1.01	25.02	✔	—	✔	0.14	✔
Vanguard LifeStrategy Income Inv <i>percentile rank</i> <i># of funds in category</i>	VASIX	Target Risk	8.89 5 195	4.66 19 179	4.57 10 151	5.17 42 87	✔	3.16	0.34	25.02	✔	—	✔	0.11	✔
Vanguard LifeStrategy Moderate Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSMGX	Target Risk	5.09 28 716	7.44 40 659	6.23 33 582	7.88 46 427	✔	6.88	1.01	25.02	✔	—	✔	0.13	✔