

HIGHPOINT SOLUTIONS LLC

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
JPMorgan US Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JUEMX	Large Blend	1.56 68 1,431	12.91 33 1,231	10.12 36 1,092	- - 834	✔	13.24	1.08	18.76	✔	☒	✖	0.44	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☒	✔	0.04	✔	
Vanguard Value Index Adm <i>percentile rank</i> <i># of funds in category</i>	VVIAX	Large Value	3.54 39 1,220	11.45 12 1,093	9.33 14 947	12.08 16 701	✔	12.24	0.99	24.76	✔	☒	✔	0.05	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	4.85 34 603	12.55 58 544	9.98 54 487	- - 375	✔	13.54	0.93	6.61	✔	☒	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	- - 226	✔	13.75	1.02	13.13	✔	☒	✔	0.07	✔	
Carillon Eagle Small Cap Growth I <i>percentile rank</i> <i># of funds in category</i>	HSIIX	Small Growth	-11.63 78 664	8.84 76 585	8.11 74 521	12.19 56 401	✔	17.81	0.99	23.76	✔	☒	✔	0.75	✔	
Vanguard Small Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSIAX	Small Value	-5.48 26 420	7.33 17 384	7.60 17 341	- - 235	✔	16.12	0.90	3.43	✔	☒	✖	0.07	✔	
Non-US Stock																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	7.87 6 838	9.57 3 701	5.49 3 569	6.40 2 244	✔	11.47	0.78	20.30	✔	☒	✔	0.62	✔	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-2.08 52 727	6.28 33 614	3.62 23 492	5.07 28 372	✔	11.44	0.99	6.61	✔	☒	✔	0.07	✔	
⚠ Invesco Oppenheimer International Gr Y <i>percentile rank</i> <i># of funds in category</i>	OIGYX	Foreign Large Growth	-2.14 77 467	3.57 96 396	3.31 82 340	6.41 43 250	✖	13.24	1.12	23.53	✔	☒	✔	0.86	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✔	11.42	1.01	11.38	✔	☒	✖	0.38	✔	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	2.88 36 870	12.38 14 733	9.72 11 604	10.67 9 375	✔	11.98	0.97	18.84	✔	☒	✔	0.44	✔	

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Alternative															
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	-7.33	-2.72	-4.31	—	✓	8.28	0.86	8.84	✓	—	—	1.01	✓
<i>percentile rank</i>			39	78	6	—									
<i># of funds in category</i>			131	106	91	34									
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89	✓	12.87	0.98	23.40	✓	—	✓	0.11	✓
<i>percentile rank</i>			28	50	36	35									
<i># of funds in category</i>			262	226	201	137									
Fixed Income															
PIMCO High Yield I2	PHLPX	High Yield Bond	7.38	5.67	5.27	7.30	✓	4.20	0.98	9.73	✓	—	—	0.69	✓
<i>percentile rank</i>			15	30	12	27									
<i># of funds in category</i>			709	611	530	342									
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	6.33	1.90	2.15	3.16	✓	3.25	0.98	17.84	✓	—	—	0.27	✓
<i>percentile rank</i>			43	52	31	42									
<i># of funds in category</i>			225	194	165	108									
Vanguard Intern-Term Bond Index Adm	VBILX	Intermediate-Term Bond	12.43	3.10	3.93	4.78	✓	4.07	1.20	11.44	✓	☐	—	0.07	✓
<i>percentile rank</i>			1	19	1	5									
<i># of funds in category</i>			403	356	312	238									
PIMCO Income I2	PONPX	Multisector Bond	6.05	5.18	5.01	8.78	✓	1.90	0.20	12.51	✓	—	—	1.15	✓
<i>percentile rank</i>			59	17	10	1									
<i># of funds in category</i>			326	293	238	122									
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	1.85	2.27	1.86	1.74	✓	0.70	-0.13	8.75	✓	—	—	0.60	✗
<i>percentile rank</i>			95	17	12	24									
<i># of funds in category</i>			198	154	133	61									
AB Global Bond I	ANAIK	World Bond	8.87	3.25	3.84	4.69	✓	2.34	0.60	27.53	✓	—	—	0.54	✓
<i>percentile rank</i>			77	59	37	35									
<i># of funds in category</i>			96	69	58	36									
Target Date															
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	6.08	6.18	5.33	7.26	✓	4.71	0.90	6.61	✓	—	✓	0.13	✓
<i>percentile rank</i>			21	42	34	29									
<i># of funds in category</i>			129	95	68	47									
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	5.29	7.08	5.97	7.96	✓	6.10	1.04	6.61	✓	—	✓	0.13	✓
<i>percentile rank</i>			38	17	5	9									
<i># of funds in category</i>			246	205	158	99									
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	4.89	7.77	6.39	8.48	✓	7.13	1.06	6.61	✓	—	✓	0.13	✓
<i>percentile rank</i>			39	18	8	11									
<i># of funds in category</i>			232	191	147	81									
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	4.15	8.29	6.67	8.92	✓	7.98	1.02	6.61	✓	—	✓	0.14	✓
<i>percentile rank</i>			44	31	21	16									
<i># of funds in category</i>			241	201	154	95									
Vanguard Target Retirement 2035 Inv	VTTX	Target Date	3.37	8.78	6.92	9.35	✓	8.83	0.98	6.61	✓	—	✓	0.14	✓
<i>percentile rank</i>			46	32	27	15									
<i># of funds in category</i>			229	188	144	78									

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Vanguard Target Retirement 2040 Inv	VFORX	Target Date	2.63	9.28	7.15	9.61	✓	9.71	0.98	6.61	✓	—	✓	0.14	✓
<i>percentile rank</i>			46	24	26	16									
<i># of funds in category</i>			241	201	154	95									
Vanguard Target Retirement 2045 Inv	VTIVX	Target Date	2.06	9.41	7.23	9.66	✓	10.32	1.00	6.61	✓	—	✓	0.15	✓
<i>percentile rank</i>			52	28	26	18									
<i># of funds in category</i>			229	188	144	77									
Vanguard Target Retirement 2050 Inv	VFIFX	Target Date	2.07	9.42	7.24	9.65	✓	10.34	0.99	6.61	✓	—	✓	0.15	✓
<i>percentile rank</i>			45	35	27	20									
<i># of funds in category</i>			241	201	154	77									
Vanguard Target Retirement 2055 Inv	VFFVX	Target Date	2.09	9.41	7.21	—	✓	10.31	0.98	6.61	✓	—	✓	0.15	✓
<i>percentile rank</i>			43	37	31	—									
<i># of funds in category</i>			229	186	138	13									
Vanguard Target Retirement 2060 Inv	VTTSX	Target Date	2.07	9.41	7.20	—	✓	10.33	0.98	6.61	✓	—	✓	0.15	✓
<i>percentile rank</i>			44	48	60	—									
<i># of funds in category</i>			216	133	30	—									
Vanguard Target Retirement 2065 Inv	VLVX	Target Date	2.09	—	—	—	—	—	—	2.22	✖	—	✓	0.15	✓
<i>percentile rank</i>			41	—	—	—									
<i># of funds in category</i>			216	133	30	—									
Vanguard Target Retirement Income Inv	VTINX	Target Date	6.75	5.10	4.57	5.76	✓	3.72	0.86	6.61	✓	—	✓	0.12	✓
<i>percentile rank</i>			21	39	23	34									
<i># of funds in category</i>			191	160	127	95									

Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	5.43	9.09	7.91	10.37	✓	6.98	1.00	20.76	✓	—	✖	0.28	✓
<i>percentile rank</i>			24	13	7	5									
<i># of funds in category</i>			716	659	582	427									