

ILLINOIS ELECTRIC WORKS 401(K) PLAN

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List
 ! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth <i>percentile rank</i> <i># of funds in category</i>	PRDGX	Large Blend	11.56 4 1,431	14.01 9 1,231	12.01 3 1,092	13.18 10 834	✓	10.23	0.81	19.51	✓	☐	✓	0.64	✓	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✓	12.18	1.00	3.43	✓	☐	✓	0.04	✓	
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	-1.29 81 1,390	14.88 49 1,254	11.59 45 1,121	13.65 41 831	✓	13.82	1.01	29.05	✓	☐	✓	0.81	✓	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	6.14 19 1,431	13.19 23 1,231	10.09 36 1,092	13.08 15 834	✓	10.50	0.84	22.26	✓	☐	✓	0.29	✓	
Neuberger Berman Mid Cap Growth Inst <i>percentile rank</i> <i># of funds in category</i>	NBMLX	Mid-Cap Growth	2.29 49 603	14.31 37 544	11.11 32 487	13.42 34 375	✓	15.32	1.05	16.67	✓	☐	✓	0.70	✓	
American Century Mid Cap Value I <i>percentile rank</i> <i># of funds in category</i>	AVUAX	Mid-Cap Value	2.92 17 418	8.20 37 366	8.82 9 324	12.50 8 226	✓	13.74	1.01	15.51	✓	☐	✓	0.76	✓	
Carillon Eagle Small Cap Growth I <i>percentile rank</i> <i># of funds in category</i>	HSIIX	Small Growth	-11.63 78 664	8.84 76 585	8.11 74 521	12.19 56 401	✓	17.81	0.99	23.76	✓	☐	✓	0.75	✓	
Delaware Small Cap Value Instl <i>percentile rank</i> <i># of funds in category</i>	DEVIX	Small Value	-4.87 20 420	7.42 17 384	7.00 23 341	11.46 10 235	✓	17.91	0.99	22.43	✓	☐	✗	0.90	✓	
Non-US Stock																
JPMorgan Emerging Markets Equity R5 <i>percentile rank</i> <i># of funds in category</i>	JEMOX	Diversified Emerging Mkts	11.36 1 838	10.25 2 701	– – 569	– – 244	✓	14.78	1.01	14.09	✓	☐	✓	0.89	✓	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-2.08 52 727	6.28 33 614	3.62 23 492	5.07 28 372	✓	11.44	0.99	6.61	✓	☐	✓	0.07	✓	
! Invesco Oppenheimer International Gr Y <i>percentile rank</i> <i># of funds in category</i>	OIGYX	Foreign Large Growth	-2.14 77 467	3.57 96 396	3.31 82 340	6.41 43 250	✗	13.24	1.12	23.53	✓	☐	✓	0.86	✓	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✓	11.42	1.01	11.38	✓	☐	✗	0.38	✓	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	2.88 36 870	12.38 14 733	9.72 11 604	10.67 9 375	✓	11.98	0.97	18.84	✓	☐	✓	0.44	✓	

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Alternative															
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	-7.08	-1.52	-7.41	-2.92	✔	8.89	1.09	11.76	✔	—	—	1.56	✘
<i>percentile rank</i>			34	52	57	10									
<i># of funds in category</i>			131	106	91	34									
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89	✔	12.87	0.98	23.40	✔	—	✔	0.11	✔
<i>percentile rank</i>			28	50	36	35									
<i># of funds in category</i>			262	226	201	137									
Fixed Income															
Eaton Vance Income Fund of Boston I	EIBIX	High Yield Bond	6.36	5.30	4.93	7.47	✔	3.72	0.87	23.76	✔	—	—	0.74	✔
<i>percentile rank</i>			29	46	22	19									
<i># of funds in category</i>			709	611	530	342									
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation-Protected Bond	6.15	1.85	1.84	3.03	✔	3.20	0.97	2.62	✘	—	—	0.50	✔
<i>percentile rank</i>			46	56	56	48									
<i># of funds in category</i>			225	194	165	108									
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	10.69	3.31	3.73	4.72	✔	3.30	0.98	19.01	✔	☒	—	0.30	✔
<i>percentile rank</i>			8	11	6	5									
<i># of funds in category</i>			403	356	312	238									
PIMCO Income I2	PONPX	Multisector Bond	6.05	5.18	5.01	8.78	✔	1.90	0.20	12.51	✔	—	—	1.15	✔
<i>percentile rank</i>			59	17	10	1									
<i># of funds in category</i>			326	293	238	122									
Federated Government Ultrashrt Dur Instl	FGUSX	Ultrashort Bond	2.33	1.59	1.03	0.76	✘	0.28	0.02	22.24	✔	—	—	0.26	✔
<i>percentile rank</i>			83	80	83	78									
<i># of funds in category</i>			198	154	133	61									
Templeton Global Bond Adv	TGBAX	World Bond	1.04	3.84	0.80	3.82	✔	6.85	-0.81	17.76	✔	—	—	0.61	✔
<i>percentile rank</i>			83	31	83	42									
<i># of funds in category</i>			308	279	213	76									
Target Date															
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	6.08	6.18	5.33	7.26	✔	4.71	0.90	6.61	✔	—	✔	0.13	✔
<i>percentile rank</i>			21	42	34	29									
<i># of funds in category</i>			129	95	68	47									
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	5.29	7.08	5.97	7.96	✔	6.10	1.04	6.61	✔	—	✔	0.13	✔
<i>percentile rank</i>			38	17	5	9									
<i># of funds in category</i>			246	205	158	99									
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	4.89	7.77	6.39	8.48	✔	7.13	1.06	6.61	✔	—	✔	0.13	✔
<i>percentile rank</i>			39	18	8	11									
<i># of funds in category</i>			232	191	147	81									
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	4.15	8.29	6.67	8.92	✔	7.98	1.02	6.61	✔	—	✔	0.14	✔
<i>percentile rank</i>			44	31	21	16									
<i># of funds in category</i>			241	201	154	95									

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Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTHX	Target Date	3.37 46 229	8.78 32 188	6.92 27 144	9.35 15 78	✔	8.83	0.98	6.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	2.63 46 241	9.28 24 201	7.15 26 154	9.61 16 95	✔	9.71	0.98	6.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	2.06 52 229	9.41 28 188	7.23 26 144	9.66 18 77	✔	10.32	1.00	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIFX	Target Date	2.07 45 241	9.42 35 201	7.24 27 154	9.65 20 77	✔	10.34	0.99	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	2.09 43 229	9.41 37 186	7.21 31 138	— — 13	✔	10.31	0.98	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	2.07 44 216	9.41 48 133	7.20 60 30	— — —	✔	10.33	0.98	6.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	2.09 41 216	— — 133	— — 30	— — —	—	—	—	2.22	✖	—	✔	0.15	✔
Vanguard Target Retirement Income Inv <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	6.75 21 191	5.10 39 160	4.57 23 127	5.76 34 95	✔	3.72	0.86	6.61	✔	—	✔	0.12	✔

Balanced

⚠ BlackRock Global Allocation Instl <i>percentile rank</i> <i># of funds in category</i>	MALOX	World Allocation	2.77 45 450	5.28 53 376	3.69 49 309	5.53 68 183	✔	6.86	0.96	15.76	✔	📅	✔	0.80	✔
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