

# LIGHTING ASSOCIATES 401K PLAN

## Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
JPMorgan US Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JUEMX	Large Blend	1.56 68 1,431	12.91 33 1,231	10.12 36 1,092	— — 834	✔	13.24	1.08	18.76	✔	⊠	✖	0.44	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	⊠	✔	0.04	✔	
State St Russell Lg Cap Gr® Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Large Growth	3.68 31 1,390	16.82 22 1,254	13.33 17 1,121	14.88 15 831	✔	13.29	1.00	20.35	✔	⊠	✔	—	—	
DFA US Large Cap Value I <i>percentile rank</i> <i># of funds in category</i>	DFLVX	Large Value	-1.91 82 1,220	9.51 52 1,093	7.33 51 947	12.19 13 701	✔	14.27	1.14	7.59	✔	⊠	✔	0.27	✔	
MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	OTCKX	Mid-Cap Growth	9.23 8 603	17.90 6 544	13.94 8 487	— — 375	✔	13.30	0.89	10.87	✔	⊠	✔	0.81	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	— — 226	✔	13.75	1.02	13.13	✔	⊠	✔	0.07	✔	
State St Russell Sm Cap® Gr Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Small Growth	-9.68 64 664	9.72 67 585	8.99 63 521	12.14 58 401	✔	17.45	1.00	10.16	✔	⊠	✔	—	—	
Vanguard Small Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSIAX	Small Value	-5.48 26 420	7.33 17 384	7.60 17 341	— — 235	✔	16.12	0.90	3.43	✔	⊠	✖	0.07	✔	
<b>Non-US Stock</b>																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	7.87 6 838	9.57 3 701	5.49 3 569	6.40 2 244	✔	11.47	0.78	20.30	✔	⊠	✔	0.62	✔	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGGX	Foreign Large Blend	1.14 41 467	7.38 39 396	5.02 44 340	6.07 56 250	✔	12.26	1.05	18.34	✔	⊠	✔	0.49	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	-1.93 75 467	10.34 10 396	7.20 15 340	7.84 13 250	✔	15.50	1.28	16.66	✔	⊠	✔	0.32	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✔	11.42	1.01	11.38	✔	⊠	✖	0.38	✔	
DFA Global Equity I <i>percentile rank</i> <i># of funds in category</i>	DGEIX	World Stock	-1.42 71 870	9.24 45 733	6.95 41 604	9.71 23 375	✔	12.58	0.95	15.78	✔	⊠	✔	0.30	✔	

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<b>Alternative</b>																
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	-6.95	-1.43	-7.32	-2.83	✔	8.88	1.09	11.76	✔	—	—	1.46	✔	
<i>percentile rank</i>			34	51	55	8										
<i># of funds in category</i>			131	106	91	34										
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89	✔	12.87	0.98	23.40	✔	—	✔	0.11	✔	
<i>percentile rank</i>			28	50	36	35										
<i># of funds in category</i>			262	226	201	137										
<b>Fixed Income</b>																
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	10.53	3.64	3.97	5.05	✔	3.15	0.93	19.01	✔	—	—	0.30	✔	
<i>percentile rank</i>			13	20	10	15										
<i># of funds in category</i>			618	539	448	332										
AB High Income Z	AGDZX	High Yield Bond	5.26	4.88	4.53	—	✔	4.27	0.94	17.09	✔	—	—	0.52	✔	
<i>percentile rank</i>			53	64	35	—										
<i># of funds in category</i>			709	611	530	342										
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation- Protected Bond	7.03	2.04	2.38	3.39	✔	3.26	0.99	8.12	✔	—	—	0.10	✔	
<i>percentile rank</i>			24	41	20	19										
<i># of funds in category</i>			225	194	165	108										
BlackRock Total Return K	MPHQX	Intermediate- Term Bond	10.30	3.35	3.66	5.07	✔	3.18	0.93	9.16	✔	—	—	0.64	✔	
<i>percentile rank</i>			22	40	24	13										
<i># of funds in category</i>			618	539	448	332										
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	1.95	2.37	1.96	1.85	✔	0.70	-0.13	8.75	✔	—	—	0.50	✔	
<i>percentile rank</i>			93	9	9	20										
<i># of funds in category</i>			198	154	133	61										
Templeton Global Bond R6	FBNRX	World Bond	1.16	3.99	0.94	—	✔	6.85	-0.81	17.76	✔	—	—	0.49	✔	
<i>percentile rank</i>			83	28	81	—										
<i># of funds in category</i>			308	279	213	76										
<b>Target Date</b>																
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	6.08	6.18	5.33	7.26	✔	4.71	0.90	6.61	✔	—	✔	0.13	✔	
<i>percentile rank</i>			21	42	34	29										
<i># of funds in category</i>			129	95	68	47										
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	5.29	7.08	5.97	7.96	✔	6.10	1.04	6.61	✔	—	✔	0.13	✔	
<i>percentile rank</i>			38	17	5	9										
<i># of funds in category</i>			246	205	158	99										
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	4.89	7.77	6.39	8.48	✔	7.13	1.06	6.61	✔	—	✔	0.13	✔	
<i>percentile rank</i>			39	18	8	11										
<i># of funds in category</i>			232	191	147	81										
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	4.15	8.29	6.67	8.92	✔	7.98	1.02	6.61	✔	—	✔	0.14	✔	
<i>percentile rank</i>			44	31	21	16										
<i># of funds in category</i>			241	201	154	95										

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Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTHX	Target Date	3.37 46 229	8.78 32 188	6.92 27 144	9.35 15 78	✓	8.83	0.98	6.61	✓	—	✓	0.14	✓
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	2.63 46 241	9.28 24 201	7.15 26 154	9.61 16 95	✓	9.71	0.98	6.61	✓	—	✓	0.14	✓
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	2.06 52 229	9.41 28 188	7.23 26 144	9.66 18 77	✓	10.32	1.00	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIFX	Target Date	2.07 45 241	9.42 35 201	7.24 27 154	9.65 20 77	✓	10.34	0.99	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	2.09 43 229	9.41 37 186	7.21 31 138	— — 13	✓	10.31	0.98	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	2.07 44 216	9.41 48 133	7.20 60 30	— — —	✓	10.33	0.98	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	2.09 41 216	— — 133	— — 30	— — —	—	—	—	2.22	✖	—	✓	0.15	✓
Vanguard Target Retirement Income Inv <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	6.75 21 191	5.10 39 160	4.57 23 127	5.76 34 95	✓	3.72	0.86	6.61	✓	—	✓	0.12	✓

### Balanced

American Funds American Balanced R6 <i>percentile rank</i> <i># of funds in category</i>	RLBGX	Moderate Allocation	5.43 24 716	9.09 13 659	7.91 7 582	10.37 5 427	✓	6.98	1.00	20.76	✓	—	✖	0.28	✓
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