

NUMERIX LLC 401K SAVINGS PLAN

Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
American Funds Invmt Co of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RICGX	Large Blend	-0.22 79 1,431	9.79 84 1,231	8.39 68 1,092	11.43 66 834	✔	11.23	0.90	27.60	✔	☒	✔	0.29	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☒	✔	0.04	✔	
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	-1.93 84 1,390	13.10 71 1,254	10.97 55 1,121	12.76 62 831	✔	13.03	0.95	25.93	✔	☒	✔	0.32	✔	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	6.14 19 1,431	13.19 23 1,231	10.09 36 1,092	13.08 15 834	✔	10.50	0.84	22.26	✔	☒	✔	0.29	✔	
MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	OTCKX	Mid-Cap Growth	9.23 8 603	17.90 6 544	13.94 8 487	- - 375	✔	13.30	0.89	10.87	✔	☒	✔	0.81	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	- - 226	✔	13.75	1.02	13.13	✔	☒	✔	0.07	✔	
Carillon Eagle Small Cap Growth I <i>percentile rank</i> <i># of funds in category</i>	HSIIX	Small Growth	-11.63 78 664	8.84 76 585	8.11 74 521	12.19 56 401	✔	17.81	0.99	23.76	✔	☒	✔	0.75	✔	
Delaware Small Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	DVZRX	Small Value	-4.70 20 420	7.62 15 384	- - 341	- - 235	✔	17.91	0.99	22.43	✔	☒	✖	0.72	✔	
Non-US Stock																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	7.87 6 838	9.57 3 701	5.49 3 569	6.40 2 244	✔	11.47	0.78	20.30	✔	☒	✔	0.62	✔	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-2.08 52 727	6.28 33 614	3.62 23 492	5.07 28 372	✔	11.44	0.99	6.61	✔	☒	✔	0.07	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	-1.93 75 467	10.34 10 396	7.20 15 340	7.84 13 250	✔	15.50	1.28	16.66	✔	☒	✔	0.32	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✔	11.42	1.01	11.38	✔	☒	✖	0.38	✔	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	2.88 36 870	12.38 14 733	9.72 11 604	10.67 9 375	✔	11.98	0.97	18.84	✔	☒	✔	0.44	✔	

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Alternative															
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	-7.08	-1.52	-7.41	-2.92		8.89	1.09	11.76		—	—	1.56	
<i>percentile rank</i>			34	52	57	10									
<i># of funds in category</i>			131	106	91	34									
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89		12.87	0.98	23.40		—		0.11	
<i>percentile rank</i>			28	50	36	35									
<i># of funds in category</i>			262	226	201	137									
Fixed Income															
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	6.34	6.46	5.07	8.39		4.33	1.02	11.76		—	—	0.61	
<i>percentile rank</i>			29	7	17	3									
<i># of funds in category</i>			709	611	530	342									
American Century Inflation Adjs Bond R5	AIANX	Inflation- Protected Bond	6.33	1.90	2.15	3.16		3.25	0.98	17.84		—	—	0.27	
<i>percentile rank</i>			43	52	31	42									
<i># of funds in category</i>			225	194	165	108									
Vanguard Interm-Term Bond Index Adm	VBILX	Intermediate- Term Bond	12.43	3.10	3.93	4.78		4.07	1.20	11.44			—	0.07	
<i>percentile rank</i>			1	19	1	5									
<i># of funds in category</i>			403	356	312	238									
PIMCO Income I2	PONPX	Multisector Bond	6.05	5.18	5.01	8.78		1.90	0.20	12.51		—	—	1.15	
<i>percentile rank</i>			59	17	10	1									
<i># of funds in category</i>			326	293	238	122									
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	1.85	2.27	1.86	1.74		0.70	-0.13	8.75		—	—	0.60	
<i>percentile rank</i>			95	17	12	24									
<i># of funds in category</i>			198	154	133	61									
Templeton Global Bond Adv	TGBAX	World Bond	1.04	3.84	0.80	3.82		6.85	-0.81	17.76		—	—	0.61	
<i>percentile rank</i>			83	31	83	42									
<i># of funds in category</i>			308	279	213	76									
Target Date															
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	6.08	6.18	5.33	7.26		4.71	0.90	6.61		—		0.13	
<i>percentile rank</i>			21	42	34	29									
<i># of funds in category</i>			129	95	68	47									
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	5.29	7.08	5.97	7.96		6.10	1.04	6.61		—		0.13	
<i>percentile rank</i>			38	17	5	9									
<i># of funds in category</i>			246	205	158	99									
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	4.89	7.77	6.39	8.48		7.13	1.06	6.61		—		0.13	
<i>percentile rank</i>			39	18	8	11									
<i># of funds in category</i>			232	191	147	81									
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	4.15	8.29	6.67	8.92		7.98	1.02	6.61		—		0.14	
<i>percentile rank</i>			44	31	21	16									
<i># of funds in category</i>			241	201	154	95									

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Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTHX	Target Date	3.37 46 229	8.78 32 188	6.92 27 144	9.35 15 78	✓	8.83	0.98	6.61	✓	—	✓	0.14	✓
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	2.63 46 241	9.28 24 201	7.15 26 154	9.61 16 95	✓	9.71	0.98	6.61	✓	—	✓	0.14	✓
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	2.06 52 229	9.41 28 188	7.23 26 144	9.66 18 77	✓	10.32	1.00	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIFX	Target Date	2.07 45 241	9.42 35 201	7.24 27 154	9.65 20 77	✓	10.34	0.99	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	2.09 43 229	9.41 37 186	7.21 31 138	— — 13	✓	10.31	0.98	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	2.07 44 216	9.41 48 133	7.20 60 30	— — —	✓	10.33	0.98	6.61	✓	—	✓	0.15	✓
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	2.09 41 216	— — 133	— — 30	— — —	—	—	—	2.22	✖	—	✓	0.15	✓
Vanguard Target Retirement Income Inv <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	6.75 21 191	5.10 39 160	4.57 23 127	5.76 34 95	✓	3.72	0.86	6.61	✓	—	✓	0.12	✓

Balanced

American Funds American Balanced R6 <i>percentile rank</i> <i># of funds in category</i>	RLBGX	Moderate Allocation	5.43 24 716	9.09 13 659	7.91 7 582	10.37 5 427	✓	6.98	1.00	20.76	✓	—	✖	0.28	✓
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