

# PIER FISH 401K PLAN

## Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☐	✔	0.04	✔	
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	-1.93 84 1,390	13.10 71 1,254	10.97 55 1,121	12.76 62 831	✔	13.03	0.95	25.93	✔	☐	✔	0.32	✔	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	6.14 19 1,431	13.19 23 1,231	10.09 36 1,092	13.08 15 834	✔	10.50	0.84	22.26	✔	☐	✔	0.29	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	– – 226	✔	13.75	1.02	13.13	✔	☐	✔	0.07	✔	
State St Russell Sm Cap® Gr Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Small Growth	-9.68 64 664	9.72 67 585	8.99 63 521	12.14 58 401	✔	17.45	1.00	10.16	✔	☐	✔	–	–	
JPMorgan Small Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	JSVUX	Small Value	-9.28 52 420	3.99 71 384	5.72 40 341	10.13 38 235	✔	17.82	1.00	14.62	✔	☐	✔	0.74	✔	
<b>Non-US Stock</b>																
Invesco Oppenheimer Developing Mkts R6 <i>percentile rank</i> <i># of funds in category</i>	ODVIX	Diversified Emerging Mkts	2.65 26 838	8.05 11 701	2.83 29 569	– – 244	✔	13.47	0.93	12.42	✔	☐	✔	0.87	✔	
Ivy International Core Equity N <i>percentile rank</i> <i># of funds in category</i>	IINCX	Foreign Large Blend	-5.48 89 727	4.36 79 614	2.44 71 492	– – 372	✖	12.69	1.07	13.62	✔	☐	✔	0.79	✔	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-2.08 52 727	6.28 33 614	3.62 23 492	5.07 28 372	✔	11.44	0.99	6.61	✔	☐	✔	0.07	✔	
<b>Alternative</b>																
DFA Global Real Estate Securities Port <i>percentile rank</i> <i># of funds in category</i>	DFGEX	Global Real Estate	18.26 6 230	7.25 25 203	8.98 7 162	11.03 4 115	✔	10.93	0.98	9.59	✔	☐	✔	0.24	✔	
<b>Fixed Income</b>																
PIMCO Real Return Instl <i>percentile rank</i> <i># of funds in category</i>	PRRIX	Inflation-Protected Bond	6.54 37 225	2.27 16 194	2.13 33 165	3.52 10 108	✔	3.17	0.94	11.76	✔	–	–	0.98	✖	

**PIER FISH 401K PLAN**

## Screens Summary (Data as of 09-30-2019)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	10.43	2.90	3.35	3.69		3.45	1.03	6.61			—	0.05	
<i>percentile rank</i>			15	32	23	49									
<i># of funds in category</i>			403	356	312	238									
PIMCO Income Instl	PIMIX	Multisector Bond	6.16	5.29	5.12	8.88		1.90	0.20	12.51		—	—	1.05	
<i>percentile rank</i>			58	15	9	1									
<i># of funds in category</i>			326	293	238	122									
Federated Government Ultrashrt Dur R6	FGULX	Ultrashort Bond	2.35	1.61	—	—		0.27	0.02	22.24		—	—	0.24	
<i>percentile rank</i>			83	79	—	—									
<i># of funds in category</i>			198	154	133	61									
<b>Balanced</b>															
American Funds American Balanced R6	RLBGX	Moderate Allocation	5.43	9.09	7.91	10.37		6.98	1.00	20.76		—		0.28	
<i>percentile rank</i>			24	13	7	5									
<i># of funds in category</i>			716	659	582	427									