

SOLE PROPRIETOR INC

Screens Summary (Data as of 09-30-2019)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
US Stock															
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	11.25 4 1,431	13.70 14 1,231	11.71 4 1,092	12.88 25 834		10.24	0.81	19.51				0.91	
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	3.73 45 1,431	12.84 35 1,231	10.29 31 1,092	12.70 30 834		12.19	1.00	19.51				0.50	
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	-1.29 81 1,390	14.88 49 1,254	11.59 45 1,121	13.65 41 831		13.82	1.01	29.05				0.81	
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	5.77 21 1,431	12.80 37 1,231	9.71 43 1,092	12.69 31 834		10.48	0.84	22.26				0.64	
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	1.73 53 603	13.61 47 544	10.43 47 487	12.73 52 375		15.34	1.05	16.67				1.36	
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	2.66 18 418	7.97 43 366	8.59 12 324	12.26 12 226		13.71	1.00	15.51				0.96	
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	-12.13 81 664	8.21 82 585	7.48 82 521	11.57 71 401		17.79	0.99	23.76				1.32	
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	-5.10 23 420	7.16 19 384	6.73 26 341	11.18 16 235		17.90	0.99	22.43				1.15	
Non-US Stock															
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	11.18 2 838	- - 701	- - 569	- - 244	- - -	-	-	14.09				1.04	
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	-1.55 42 727	6.02 42 614	2.86 55 492	4.46 57 372		11.10	0.96	12.45				0.60	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162		11.42	1.01	11.38				0.38	
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	2.50 40 870	11.99 16 733	9.33 14 604	10.28 14 375		11.98	0.97	18.84				0.79	

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Alternative															
PIMCO Commodity Real Ret Strat A	PCRAX	Commodities Broad Basket	-7.54	-1.91	-7.75	-3.29	✔	8.88	1.09	11.76	✔	—	—	1.91	✖
<i>percentile rank</i>			47	61	68	27									
<i># of funds in category</i>			131	106	91	34									
T. Rowe Price Global Real Estate Adv	PAGEX	Global Real Estate	10.92	3.87	5.64	8.44	✔	10.73	0.94	4.50	✔	☐	✔	1.15	✔
<i>percentile rank</i>			68	94	65	44									
<i># of funds in category</i>			230	203	162	115									
Fixed Income															
Eaton Vance Income Fund of Boston A	EVIBX	High Yield Bond	5.91	4.98	4.63	7.19	✔	3.71	0.87	23.76	✔	—	—	0.99	✔
<i>percentile rank</i>			38	59	32	34									
<i># of funds in category</i>			709	611	530	342									
BlackRock Inflation Protected Bond Inv A	BPRAX	Inflation-Protected Bond	5.81	1.60	1.55	2.73	✔	3.18	0.96	2.62	✖	—	—	0.75	✔
<i>percentile rank</i>			58	73	67	63									
<i># of funds in category</i>			225	194	165	108									
Baird Aggregate Bond Inv	BAGSX	Intermediate-Term Bond	10.33	3.03	3.47	4.46	✔	3.29	0.98	19.01	✔	☐	—	0.55	✔
<i>percentile rank</i>			21	23	14	10									
<i># of funds in category</i>			403	356	312	238									
PIMCO Income A	PONAX	Multisector Bond	5.74	4.87	4.70	8.45	✔	1.90	0.20	12.51	✔	—	—	1.45	✖
<i>percentile rank</i>			65	24	13	2									
<i># of funds in category</i>			326	293	238	122									
Federated Government Ultrashrt Dur Svc	FEUSX	Ultrashort Bond	2.23	1.53	0.93	0.66	✖	0.27	0.03	22.24	✔	—	—	0.36	✔
<i>percentile rank</i>			89	82	87	83									
<i># of funds in category</i>			198	154	133	61									
Templeton Global Bond A	TPINX	World Bond	0.78	3.56	0.55	3.57	✔	6.83	-0.82	17.76	✔	—	—	0.86	✔
<i>percentile rank</i>			85	38	86	52									
<i># of funds in category</i>			308	279	213	76									
Target Date															
American Funds 2010 Trgt Date Retire R4	RDATX	Target Date	5.19	5.28	4.68	6.72	✔	4.45	0.91	12.67	✔	—	✔	0.69	✔
<i>percentile rank</i>			74	72	52	30									
<i># of funds in category</i>			138	96	76	60									
American Funds 2015 Trgt Date Retire R4	RDBTX	Target Date	5.33	5.71	4.97	7.14	✔	4.87	0.91	12.67	✔	—	✔	0.68	✔
<i>percentile rank</i>			52	69	53	37									
<i># of funds in category</i>			129	95	68	47									
American Funds 2020 Trgt Date Retire R4	RDCTX	Target Date	4.87	6.32	5.42	7.78	✔	5.38	0.90	12.67	✔	—	✔	0.69	✔
<i>percentile rank</i>			56	54	41	17									
<i># of funds in category</i>			246	205	158	99									
American Funds 2025 Trgt Date Retire R4	RDDTX	Target Date	4.22	7.15	5.97	8.70	✔	6.47	0.95	12.67	✔	—	✔	0.71	✔
<i>percentile rank</i>			63	47	30	4									
<i># of funds in category</i>			232	191	147	81									
American Funds 2030 Trgt Date Retire R4	RDETX	Target Date	3.43	8.27	6.77	9.36	✔	7.68	0.97	12.67	✔	—	✔	0.73	✔
<i>percentile rank</i>			63	31	14	5									
<i># of funds in category</i>			241	201	154	95									

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American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	2.66 63 229	9.23 10 188	7.38 6 144	9.67 5 78		9.15	1.01	12.67		—		0.74	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	2.25 54 241	9.53 11 201	7.57 4 154	9.83 7 95		9.63	0.96	12.67		—		0.75	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	2.21 44 229	9.73 11 188	7.71 4 144	9.90 7 77		9.80	0.93	12.67		—		0.75	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	2.02 46 241	9.81 11 201	7.76 3 154	9.93 7 77		9.98	0.94	12.67		—		0.77	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	2.07 44 229	9.80 15 186	7.75 4 138	— — 13		9.98	0.94	9.67		—		0.77	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	2.00 48 216	9.76 23 133	— — 30	— — —		9.97	0.94	4.52		—		0.79	

Balanced

BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	2.49 47 450	5.00 58 376	3.41 57 309	5.24 74 183		6.86	0.96	15.76				1.07	
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