

# TKXS LLC 401K PLAN

## Screens Summary (Data as of 09-30-2019)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
DFA US Core Equity 1 I <i>percentile rank</i> <i># of funds in category</i>	DFEOX	Large Blend	0.21 78 1,431	12.00 53 1,231	9.53 47 1,092	12.82 27 834	✔	13.63	1.10	7.59	✔	☒	✔	0.19	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	4.22 34 1,431	13.36 19 1,231	10.80 14 1,092	13.21 9 834	✔	12.18	1.00	3.43	✔	☒	✔	0.04	✔	
JPMorgan Large Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	JLGMX	Large Growth	3.36 35 1,390	20.59 3 1,254	14.47 6 1,121	- - 831	✔	15.16	1.08	15.17	✔	☒	✔	0.43	✔	
Vanguard Value Index Adm <i>percentile rank</i> <i># of funds in category</i>	VVIAX	Large Value	3.54 39 1,220	11.45 12 1,093	9.33 14 947	12.08 16 701	✔	12.24	0.99	24.76	✔	☒	✔	0.05	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	4.85 34 603	12.55 58 544	9.98 54 487	- - 375	✔	13.54	0.93	6.61	✔	☒	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	2.30 21 418	8.83 21 366	8.37 16 324	- - 226	✔	13.75	1.02	13.13	✔	☒	✔	0.07	✔	
Vanguard Small Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSGAX	Small Growth	-2.03 18 664	12.29 44 585	9.75 52 521	- - 401	✔	16.07	0.91	14.76	✔	☒	✖	0.07	✔	
Vanguard Small Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSIAX	Small Value	-5.48 26 420	7.33 17 384	7.60 17 341	- - 235	✔	16.12	0.90	3.43	✔	☒	✖	0.07	✔	
<b>Non-US Stock</b>																
Vanguard Emerging Mkts Stock Idx Adm <i>percentile rank</i> <i># of funds in category</i>	VEMAX	Diversified Emerging Mkts	1.27 34 838	5.26 49 701	2.01 48 569	3.16 52 244	✔	13.34	0.94	11.09	✔	☒	✔	0.14	✔	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	-2.08 52 727	6.28 33 614	3.62 23 492	5.07 28 372	✔	11.44	0.99	6.61	✔	☒	✔	0.07	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	-1.93 75 467	10.34 10 396	7.20 15 340	7.84 13 250	✔	15.50	1.28	16.66	✔	☒	✔	0.32	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-2.60 25 344	6.18 10 297	2.22 18 249	4.22 28 162	✔	11.42	1.01	11.38	✔	☒	✖	0.38	✔	
Vanguard Total World Stock Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTWAX	World Stock	- - 870	- - 733	- - 604	- - 375	—	-	-	6.61	✔	☒	✔	-	—	

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<b>Alternative</b>																
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	-7.33	-2.72	-4.31	—	✔	8.28	0.86	8.84	✔	—	—	1.01	✔	
<i>percentile rank</i>			39	78	6	—										
<i># of funds in category</i>			131	106	91	34										
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	19.89	7.06	9.94	12.89	✔	12.87	0.98	23.40	✔	—	✔	0.11	✔	
<i>percentile rank</i>			28	50	36	35										
<i># of funds in category</i>			262	226	201	137										
<b>Fixed Income</b>																
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	10.53	3.64	3.97	5.05	✔	3.15	0.93	19.01	✔	—	—	0.30	✔	
<i>percentile rank</i>			13	20	10	15										
<i># of funds in category</i>			618	539	448	332										
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	8.13	5.84	5.49	7.47	✔	4.32	1.00	11.63	✔	—	—	0.13	✔	
<i>percentile rank</i>			7	22	7	19										
<i># of funds in category</i>			709	611	530	342										
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	7.03	2.04	2.38	3.39	✔	3.26	0.99	8.12	✔	—	—	0.10	✔	
<i>percentile rank</i>			24	41	20	19										
<i># of funds in category</i>			225	194	165	108										
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	10.43	2.90	3.35	3.69	✔	3.45	1.03	6.61	✔	🏠	—	0.05	✔	
<i>percentile rank</i>			15	32	23	49										
<i># of funds in category</i>			403	356	312	238										
Federated Government Ultrashrt Dur R6	FGULX	Ultrashort Bond	2.35	1.61	—	—	✔	0.27	0.02	22.24	✔	—	—	0.24	✔	
<i>percentile rank</i>			83	79	—	—										
<i># of funds in category</i>			198	154	133	61										
Templeton Global Bond R6	FBNRX	World Bond	1.16	3.99	0.94	—	✔	6.85	-0.81	17.76	✔	—	—	0.49	✔	
<i>percentile rank</i>			83	28	81	—										
<i># of funds in category</i>			308	279	213	76										
<b>Balanced</b>																
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	7.04	6.07	5.43	6.53	✔	4.75	1.00	25.02	✔	—	✔	0.12	✔	
<i>percentile rank</i>			17	22	14	29										
<i># of funds in category</i>			561	498	402	267										
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	3.10	8.75	6.93	9.05	✔	9.17	1.01	25.02	✔	—	✔	0.14	✔	
<i>percentile rank</i>			24	24	22	26										
<i># of funds in category</i>			339	311	279	198										
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	8.89	4.66	4.57	5.17	✔	3.16	0.34	25.02	✔	—	✔	0.11	✔	
<i>percentile rank</i>			5	19	10	42										
<i># of funds in category</i>			195	179	151	87										
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	5.09	7.44	6.23	7.88	✔	6.88	1.01	25.02	✔	—	✔	0.13	✔	
<i>percentile rank</i>			28	40	33	46										
<i># of funds in category</i>			716	659	582	427										