

# ALLIANCE COLLEGE READY PUBLIC

## Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

| Name  | Ticker | Asset Class               | Trailing Performance and Risk |                      |                      |                    | Management    |                   |                | Style  |               | Expense      |               |              |               |  |
|---|--------|---------------------------|-------------------------------|----------------------|----------------------|--------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|--|
|   |        |                           | 1 Yr                          | 3 Yr                 | 5 Yr                 | 10 Yr              | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |  |
| <b>US Stock</b>   |        |                           |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| American Funds Invmt Co of Amer R6<br><i>percentile rank</i><br><i># of funds in category</i>       | RICGX  | Large Blend               | 13.04<br>45<br>1,379          | 8.67<br>66<br>1,239  | 12.05<br>55<br>1,075 | 11.83<br>62<br>828 | ✔             | 16.26             | 0.91           | 28.60  | ✔             | ☐            | ✔             | 0.27         | ✔             |  |
| Vanguard 500 Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>               | VFIAX  | Large Blend Index         | 15.11<br>26<br>1,379          | 12.24<br>19<br>1,239 | 14.11<br>12<br>1,075 | 13.71<br>10<br>828 | ✔             | 17.74             | 1.00           | 4.43   | ✔             | ☐            | ✔             | 0.04         | ✔             |  |
| American Funds AMCAP R6<br><i>percentile rank</i><br><i># of funds in category</i>                  | RAFGX  | Large Growth              | 20.60<br>75<br>1,331          | 12.78<br>83<br>1,241 | 13.85<br>80<br>1,107 | 13.92<br>69<br>821 | ✔             | 17.32             | 0.88           | 14.43  | ✔             | ☐            | ✔             | 0.34         | ✔             |  |
| American Funds Washington Mutual R6<br><i>percentile rank</i><br><i># of funds in category</i>      | RWMGX  | Large Value               | 4.38<br>81<br>1,379           | 8.17<br>71<br>1,239  | 11.83<br>57<br>1,075 | 12.22<br>54<br>828 | ✔             | 15.92             | 0.88           | 23.27  | ✔             | ☐            | ✔             | 0.27         | ✔             |  |
| MFS Mid Cap Growth R6<br><i>percentile rank</i><br><i># of funds in category</i>                    | OTCKX  | Mid-Cap Growth            | 25.48<br>36<br>608            | 20.04<br>18<br>558   | 17.94<br>15<br>501   | –<br>–<br>381      | ✔             | 18.49             | 0.88           | 11.87  | ✔             | ☐            | ✔             | 0.74         | ✔             |  |
| Vanguard Mid-Cap Value Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>     | VMVAX  | Mid-Cap Value             | -7.75<br>32<br>418            | 1.08<br>20<br>390    | 6.41<br>21<br>347    | –<br>–<br>248      | ✔             | 21.38             | 0.99           | 14.13  | ✔             | ☐            | ✔             | 0.07         | ✔             |  |
| Vanguard Small Cap Growth Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>  | VSGAX  | Small Growth              | 18.68<br>46<br>626            | 12.85<br>39<br>581   | 13.69<br>42<br>515   | –<br>–<br>388      | ✔             | 22.68             | 0.97           | 15.76  | ✔             | ☐            | ✖             | 0.07         | ✔             |  |
| Vanguard Small Cap Value Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>   | VSIAX  | Small Value               | -12.30<br>25<br>416           | -2.67<br>15<br>387   | 4.80<br>16<br>356    | –<br>–<br>254      | ✔             | 23.45             | 0.99           | 4.43   | ✔             | ☐            | ✖             | 0.07         | ✔             |  |
| <b>Non-US Stock</b>   |        |                           |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| American Funds New World R6<br><i>percentile rank</i><br><i># of funds in category</i>              | RNWGX  | Diversified Emerging Mkts | 14.50<br>27<br>790            | 7.70<br>8<br>695     | 11.37<br>16<br>590   | 6.06<br>1<br>265   | ✔             | 17.07             | 0.85           | 21.30  | ✔             | ☐            | ✔             | 0.60         | ✔             |  |
| Vanguard Developed Markets Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i> | VTMGX  | Foreign Large Blend Index | 2.18<br>44<br>761             | 0.94<br>45<br>651    | 5.79<br>35<br>551    | 4.96<br>26<br>368  | ✔             | 16.43             | 1.00           | 7.61   | ✔             | ☐            | ✔             | 0.07         | ✔             |  |
| ⚠ Invesco Oppenheimer International Gr Y<br><i>percentile rank</i><br><i># of funds in category</i> | OIGYX  | Foreign Large Growth      | 19.59<br>30<br>469            | 4.67<br>71<br>420    | 7.70<br>75<br>336    | 7.28<br>37<br>246  | ✔             | 16.96             | 1.04           | 24.53  | ✔             | ☐            | ✔             | 0.85         | ✔             |  |
| Vanguard International Value Inv<br><i>percentile rank</i><br><i># of funds in category</i>         | VTRIX  | Foreign Large Value       | -4.07<br>30<br>342            | -1.62<br>16<br>314   | 4.45<br>9<br>275     | 3.54<br>23<br>180  | ✔             | 16.89             | 1.07           | 12.38  | ✔             | ☐            | ✖             | 0.37         | ✔             |  |
| MFS Global Equity R4<br><i>percentile rank</i><br><i># of funds in category</i>                     | MWELX  | World Stock               | 6.74<br>56<br>826             | 6.99<br>43<br>727    | 10.15<br>39<br>613   | 10.24<br>22<br>365 | ✔             | 16.42             | 0.97           | 11.01  | ✔             | ☐            | ✖             | 0.91         | ✔             |  |

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Fund on Watch List

| Name                                     | Ticker | Asset Class                  | Trailing Performance and Risk |       |       |       | Management    |                   |                | Style  |               | Expense      |               |              |               |
|--|--------|------------------------------|-------------------------------|-------|-------|-------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
|  |        |                              | 1 Yr                          | 3 Yr  | 5 Yr  | 10 Yr | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |
| <b>Alternative</b>                       |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| Invesco Balanced-Risk Commodity Strat R6 | IBRFX  | Commodities<br>Broad Basket  | -3.26                         | -2.89 | -0.93 | —     |               | 14.80             | 1.00           | 9.84   |               | —            | —             | 1.11         |               |
| <i>percentile rank</i>                   |        |                              | 22                            | 23    | 16    | —     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 126                           | 109   | 97    | 49    |               |                   |                |        |               |              |               |              |               |
| Vanguard Real Estate Index Admiral       | VGSLX  | Real Estate                  | -12.19                        | 2.37  | 5.22  | 8.50  |               | 18.00             | 0.94           | 24.40  |               | —            |               | 0.12         |               |
| <i>percentile rank</i>                   |        |                              | 33                            | 44    | 31    | 38    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 252                           | 224   | 197   | 141   |               |                   |                |        |               |              |               |              |               |
| <b>Fixed Income</b>                      |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| Eaton Vance Income Fund of Boston I      | EIBIX  | High Yield Bond              | 1.44                          | 3.25  | 5.44  | 5.91  |               | 8.38              | 0.90           | 5.91   |               | —            | —             | 0.75         |               |
| <i>percentile rank</i>                   |        |                              | 58                            | 48    | 47    | 20    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 678                           | 622   | 538   | 351   |               |                   |                |        |               |              |               |              |               |
| American Century Inflation Adjs Bond R5  | AIANX  | Inflation-<br>Protected Bond | 9.09                          | 5.09  | 4.29  | 3.17  |               | 4.10              | 1.05           | 18.85  |               | —            | —             | 0.27         |               |
| <i>percentile rank</i>                   |        |                              | 49                            | 50    | 38    | 37    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 210                           | 200   | 172   | 116   |               |                   |                |        |               |              |               |              |               |
| Vanguard Interm-Term Bond Index Adm      | VBILX  | Intermediate-<br>Term Bond   | 8.90                          | 6.25  | 4.86  | 4.41  |               | 4.01              | 1.16           | 12.44  |               |              | —             | 0.07         |               |
| <i>percentile rank</i>                   |        |                              | 4                             | 1     | 7     | 4     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 418                           | 381   | 336   | 256   |               |                   |                |        |               |              |               |              |               |
| PIMCO Income I2                          | PONPX  | Multisector Bond             | 3.74                          | 3.52  | 5.39  | 6.93  |               | 5.59              | 0.83           | 13.52  |               | —            | —             | 1.19         |               |
| <i>percentile rank</i>                   |        |                              | 39                            | 39    | 14    | 1     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 329                           | 292   | 248   | 133   |               |                   |                |        |               |              |               |              |               |
| PIMCO Short-Term I2                      | PTSPX  | Ultrashort Bond              | 2.70                          | 2.29  | 2.40  | 1.76  |               | 2.36              | 0.12           | 9.75   |               | —            | —             | 0.65         |               |
| <i>percentile rank</i>                   |        |                              | 7                             | 27    | 4     | 8     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 209                           | 168   | 133   | 64    |               |                   |                |        |               |              |               |              |               |
| PIMCO International Bond (USD-Hdg) I-2   | PFBPX  | World Bond                   | 3.23                          | 4.87  | 4.91  | 5.14  |               | 3.28              | 0.57           | 6.02   |               | —            | —             | 0.70         |               |
| <i>percentile rank</i>                   |        |                              | 55                            | 39    | 19    | 5     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 108                           | 91    | 59    | 38    |               |                   |                |        |               |              |               |              |               |
| <b>Target Date</b>                       |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| American Funds 2010 Trgt Date Retire R6  | RFTTX  | Target Date                  | 7.07                          | 5.37  | 6.79  | 6.74  |               | 6.68              | 0.91           | 13.67  |               | —            |               | 0.31         |               |
| <i>percentile rank</i>                   |        |                              | 76                            | 62    | 29    | 14    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 121                           | 90    | 73    | 54    |               |                   |                |        |               |              |               |              |               |
| American Funds 2015 Trgt Date Retire R6  | RFJTX  | Target Date                  | 7.38                          | 5.67  | 7.11  | 7.25  |               | 7.35              | 0.91           | 13.67  |               | —            |               | 0.31         |               |
| <i>percentile rank</i>                   |        |                              | 68                            | 56    | 36    | 13    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 120                           | 93    | 68    | 46    |               |                   |                |        |               |              |               |              |               |
| American Funds 2020 Trgt Date Retire R6  | RRCTX  | Target Date                  | 8.56                          | 6.30  | 7.78  | 7.99  |               | 7.83              | 0.87           | 13.67  |               | —            |               | 0.31         |               |
| <i>percentile rank</i>                   |        |                              | 27                            | 28    | 24    | 2     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 199                           | 170   | 130   | 86    |               |                   |                |        |               |              |               |              |               |
| American Funds 2025 Trgt Date Retire R6  | RFDTX  | Target Date                  | 11.04                         | 7.34  | 8.91  | 9.13  |               | 9.32              | 0.91           | 13.67  |               | —            |               | 0.33         |               |
| <i>percentile rank</i>                   |        |                              | 1                             | 7     | 4     | 1     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>            |        |                              | 218                           | 186   | 146   | 89    |               |                   |                |        |               |              |               |              |               |

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|--|--------|-------------|-------------------------------|------------------|-------------------|------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
|  |        |             | 1 Yr                          | 3 Yr             | 5 Yr              | 10 Yr            | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |
| American Funds 2030 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFETX  | Target Date | 11.76<br>3<br>228             | 7.93<br>3<br>194 | 9.96<br>1<br>153  | 9.84<br>1<br>91  | ✓             | 11.06             | 0.93           | 13.67  | ✓             | —            | ✓             | 0.35         | ✓             |
| American Funds 2035 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFCTX  | Target Date | 13.46<br>1<br>211             | 8.75<br>1<br>183 | 10.99<br>1<br>143 | 10.34<br>1<br>86 | ✓             | 13.37             | 0.98           | 13.67  | ✓             | —            | ✓             | 0.37         | ✓             |
| American Funds 2040 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFCTX  | Target Date | 14.07<br>1<br>222             | 9.05<br>1<br>194 | 11.34<br>1<br>153 | 10.56<br>1<br>91 | ✓             | 14.32             | 0.94           | 13.67  | ✓             | —            | ✓             | 0.38         | ✓             |
| American Funds 2045 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFHTX  | Target Date | 14.30<br>1<br>211             | 9.18<br>1<br>183 | 11.52<br>1<br>143 | 10.65<br>1<br>85 | ✓             | 14.62             | 0.91           | 13.67  | ✓             | —            | ✓             | 0.38         | ✓             |
| American Funds 2050 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFITX  | Target Date | 14.63<br>1<br>222             | 9.34<br>1<br>194 | 11.65<br>1<br>153 | 10.71<br>1<br>79 | ✓             | 14.86             | 0.91           | 13.67  | ✓             | —            | ✓             | 0.39         | ✓             |
| American Funds 2055 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFKTX  | Target Date | 14.56<br>1<br>211             | 9.30<br>1<br>183 | 11.63<br>1<br>140 | 10.69<br>1<br>35 | ✓             | 14.85             | 0.91           | 10.67  | ✓             | —            | ✓             | 0.40         | ✓             |
| American Funds 2060 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFUTX  | Target Date | 14.49<br>1<br>242             | 9.28<br>1<br>171 | 11.59<br>1<br>71  | —<br>—<br>—      | ✓             | 14.81             | 0.90           | 5.52   | ✓             | —            | ✓             | 0.41         | ✓             |
| American Funds 2065 Trgt Date Retire R6<br><i>percentile rank</i><br><i># of funds in category</i> | RFVTX  | Target Date | —<br>—<br>242                 | —<br>—<br>171    | —<br>—<br>71      | —<br>—<br>—      | —             | —                 | —              | 0.75   | *<br>—        | —            | *<br>—        | 0.41         | ✓             |

**Balanced**

|  |       |                        |                   |                   |                   |                   |   |       |      |       |   |   |   |      |   |
|--|-------|------------------------|-------------------|-------------------|-------------------|-------------------|---|-------|------|-------|---|---|---|------|---|
| American Funds American Balanced R6<br><i>percentile rank</i><br><i># of funds in category</i> | RLBGX | Moderate<br>Allocation | 9.42<br>32<br>676 | 8.00<br>22<br>638 | 9.82<br>13<br>582 | 10.16<br>4<br>414 | ✓ | 10.22 | 0.95 | 21.76 | ✓ | — | ✓ | 0.26 | ✓ |
|--|-------|------------------------|-------------------|-------------------|-------------------|-------------------|---|-------|------|-------|---|---|---|------|---|