

CGC INC

Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
American Funds Invmt Co of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RICGX	Large Blend	13.04 45 1,379	8.67 66 1,239	12.05 55 1,075	11.83 62 828		16.26	0.91	28.60				0.27		
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	15.11 26 1,379	12.24 19 1,239	14.11 12 1,075	13.71 10 828		17.74	1.00	4.43				0.04		
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	32.55 45 1,331	16.84 59 1,241	17.22 49 1,107	15.07 52 821		18.40	0.95	26.93				0.31		
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	4.38 81 1,379	8.17 71 1,239	11.83 57 1,075	12.22 54 828		15.92	0.88	23.27				0.27		
Neuberger Berman Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i>	NRMGX	Mid-Cap Growth	25.24 37 608	16.50 33 558	14.37 46 501	– – 381		20.80	1.00	17.68				0.61		
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	-7.75 32 418	1.08 20 390	6.41 21 347	– – 248		21.38	0.99	14.13				0.07		
State St Russell Sm Cap® Gr Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Small Growth	15.27 55 626	7.96 63 581	11.24 62 515	12.21 59 388		23.10	1.00	11.08				0.05		
Delaware Small Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	DVZRX	Small Value	-18.56 78 416	-5.76 48 387	– – 356	– – 254		24.64	1.03	23.43				0.72		
Non-US Stock																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	14.50 27 790	7.70 8 695	11.37 16 590	6.06 1 265		17.07	0.85	21.30				0.60		
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGX	Foreign Large Blend	14.97 58 469	5.67 60 420	9.08 50 336	6.79 52 246		17.32	1.09	19.35				0.46		
Invesco Oppenheimer International Gr R6 <i>percentile rank</i> <i># of funds in category</i>	OIGIX	Foreign Large Growth	19.79 30 469	4.84 71 420	7.88 71 336	– – 246		16.94	1.04	24.53				0.69		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-4.07 30 342	-1.62 16 314	4.45 9 275	3.54 23 180		16.89	1.07	12.38				0.37		
American Funds Capital World Gr&Inc R6 <i>percentile rank</i> <i># of funds in category</i>	RWIGX	World Stock	10.47 44 826	6.33 48 727	9.73 43 613	8.63 46 365		15.71	0.94	14.67				0.42		

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Alternative																
PIMCO Commodity Real Ret Strat Instl <i>percentile rank</i> <i># of funds in category</i>	PCRIX	Commodities Broad Basket	-4.86 29 126	-2.97 27 109	-1.85 34 97	-5.07 27 49	✔	17.17	1.22	5.75	✔	—	—	1.33	✔	
Vanguard Real Estate Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VGSLX	Real Estate	-12.19 33 252	2.37 44 224	5.22 31 197	8.50 38 141	✔	18.00	0.94	24.40	✔	—	✔	0.12	✔	
Fixed Income																
Eaton Vance Income Fund of Boston R6 <i>percentile rank</i> <i># of funds in category</i>	EIBRX	High Yield Bond	1.72 51 678	3.40 39 622	5.57 40 538	— — 351	✔	8.39	0.90	5.91	✔	—	—	0.66	✔	
Vanguard Inflation-Protected Secs Adm <i>percentile rank</i> <i># of funds in category</i>	VAIPX	Inflation- Protected Bond	9.80 34 210	5.63 34 200	4.46 31 172	3.46 20 116	✔	3.59	0.94	9.12	✔	—	—	0.10	✔	
Baird Aggregate Bond Instl <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate- Term Bond	7.80 14 418	5.62 13 381	4.69 12 336	4.44 4 256	✔	3.78	1.08	20.02	✔	☒	—	0.30	✔	
PIMCO Income Instl <i>percentile rank</i> <i># of funds in category</i>	PIMIX	Multisector Bond	3.84 35 329	3.63 36 292	5.49 12 248	7.03 1 133	✔	5.59	0.83	13.52	✔	—	—	1.09	✔	
Federated Hermes Govt Ultrashort R6 <i>percentile rank</i> <i># of funds in category</i>	FGULX	Ultrashort Bond	1.79 49 209	1.90 64 168	— — 133	— — 64	✔	0.46	0.06	23.24	✔	—	—	0.24	✔	
Templeton Global Bond R6 <i>percentile rank</i> <i># of funds in category</i>	FBNRX	World Bond	-3.29 84 310	-1.37 90 264	1.86 80 235	— — 87	✖	6.16	-0.48	18.76	✔	—	—	0.56	✔	
Target Date																
Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i>	VTXVX	Target Date	7.68 62 120	6.09 35 93	7.04 51 68	7.04 32 46	✔	6.94	0.86	7.61	✔	—	✔	0.13	✔	
Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	8.51 34 199	6.54 20 170	7.96 18 130	7.81 10 86	✔	9.14	1.02	7.61	✔	—	✔	0.13	✔	
Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	9.04 38 218	6.89 23 186	8.60 18 146	8.38 12 89	✔	10.72	1.05	7.61	✔	—	✔	0.13	✔	
Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	9.38 34 228	7.04 25 194	9.07 29 153	8.84 19 91	✔	11.99	1.02	7.61	✔	—	✔	0.14	✔	

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Vanguard Target Retirement 2035 Inv	VTTHX	Target Date	9.71	7.16	9.53	9.29		13.26	0.98	7.61		—		0.14	
<i>percentile rank</i>			<i>33</i>	<i>25</i>	<i>29</i>	<i>16</i>									
<i># of funds in category</i>			<i>211</i>	<i>183</i>	<i>143</i>	<i>86</i>									
Vanguard Target Retirement 2040 Inv	VFORX	Target Date	9.96	7.27	9.97	9.58		14.53	0.97	7.61		—		0.14	
<i>percentile rank</i>			<i>32</i>	<i>22</i>	<i>27</i>	<i>16</i>									
<i># of funds in category</i>			<i>222</i>	<i>194</i>	<i>153</i>	<i>91</i>									
Vanguard Target Retirement 2045 Inv	VTIVX	Target Date	10.27	7.32	10.13	9.66		15.64	0.98	7.61		—		0.15	
<i>percentile rank</i>			<i>34</i>	<i>23</i>	<i>23</i>	<i>16</i>									
<i># of funds in category</i>			<i>211</i>	<i>183</i>	<i>143</i>	<i>85</i>									
Vanguard Target Retirement 2050 Inv	VFIFX	Target Date	10.26	7.32	10.13	9.65		15.65	0.97	7.61		—		0.15	
<i>percentile rank</i>			<i>35</i>	<i>25</i>	<i>27</i>	<i>22</i>									
<i># of funds in category</i>			<i>222</i>	<i>194</i>	<i>153</i>	<i>79</i>									
Vanguard Target Retirement 2055 Inv	VFFVX	Target Date	10.25	7.31	10.12	9.68		15.63	0.96	7.61		—		0.15	
<i>percentile rank</i>			<i>36</i>	<i>27</i>	<i>32</i>	<i>26</i>									
<i># of funds in category</i>			<i>211</i>	<i>183</i>	<i>140</i>	<i>35</i>									
Vanguard Target Retirement 2060 Inv	VTTSX	Target Date	10.25	7.31	10.12	—		15.63	0.96	7.61		—		0.15	
<i>percentile rank</i>			<i>38</i>	<i>29</i>	<i>48</i>	<i>—</i>									
<i># of funds in category</i>			<i>242</i>	<i>171</i>	<i>71</i>	<i>—</i>									
Vanguard Target Retirement 2065 Inv	VLXVX	Target Date	10.11	7.25	—	—		15.64	0.96	3.22		—		0.15	
<i>percentile rank</i>			<i>41</i>	<i>34</i>	<i>—</i>	<i>—</i>									
<i># of funds in category</i>			<i>242</i>	<i>171</i>	<i>71</i>	<i>—</i>									
Vanguard Target Retirement Income Inv	VTINX	Target Date	7.35	5.79	6.03	5.60		5.73	0.86	7.61		—		0.12	
<i>percentile rank</i>			<i>28</i>	<i>17</i>	<i>29</i>	<i>17</i>									
<i># of funds in category</i>			<i>177</i>	<i>151</i>	<i>128</i>	<i>90</i>									

Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	9.42	8.00	9.82	10.16		10.22	0.95	21.76		—		0.26	
<i>percentile rank</i>			<i>32</i>	<i>22</i>	<i>13</i>	<i>4</i>									
<i># of funds in category</i>			<i>676</i>	<i>638</i>	<i>582</i>	<i>414</i>									