

# DWL ARCHITECTS 401K RETIREMENT

## Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
JPMorgan US Equity R6 <i>percentile rank</i> <i># of funds in category</i>	JUEMX	Large Blend	23.08 2 1,379	14.04 4 1,239	14.96 4 1,075	- - 828	✔	18.62	1.04	19.76	✔	⊠	✖	0.44	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	15.11 26 1,379	12.24 19 1,239	14.11 12 1,075	13.71 10 828	✔	17.74	1.00	4.43	✔	⊠	✔	0.04	✔	
Vanguard Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VIGAX	Large Growth	38.24 25 1,331	21.02 31 1,241	19.24 29 1,107	16.73 24 821	✔	19.17	1.00	25.77	✔	⊠	✔	0.05	✔	
American Funds Washington Mutual R6 <i>percentile rank</i> <i># of funds in category</i>	RWMGX	Large Value	4.38 81 1,379	8.17 71 1,239	11.83 57 1,075	12.22 54 828	✔	15.92	0.88	23.27	✔	⊠	✔	0.27	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	22.58 44 608	14.83 49 558	14.03 52 501	- - 381	✔	20.01	0.97	7.61	✔	⊠	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	-7.75 32 418	1.08 20 390	6.41 21 347	- - 248	✔	21.38	0.99	14.13	✔	⊠	✔	0.07	✔	
Carillon Eagle Small Cap Growth I <i>percentile rank</i> <i># of funds in category</i>	HSIIX	Small Growth	21.34 40 626	9.28 56 581	11.83 58 515	12.22 59 388	✔	23.36	1.00	24.77	✔	⊠	✖	0.76	✔	
Victory Sycamore Small Company Opp I <i>percentile rank</i> <i># of funds in category</i>	VSOIX	Small Value	-12.98 29 416	-0.66 8 387	7.26 3 356	9.89 1 254	✔	19.90	0.83	22.35	✔	⊠	✖	0.92	✔	
<b>Non-US Stock</b>																
American Funds New World R6 <i>percentile rank</i> <i># of funds in category</i>	RNWGX	Diversified Emerging Mkts	14.50 27 790	7.70 8 695	11.37 16 590	6.06 1 265	✔	17.07	0.85	21.30	✔	⊠	✔	0.60	✔	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGGX	Foreign Large Blend	14.97 58 469	5.67 60 420	9.08 50 336	6.79 52 246	✔	17.32	1.09	19.35	✔	⊠	✔	0.46	✔	
Vanguard International Growth Adm <i>percentile rank</i> <i># of funds in category</i>	VWILX	Foreign Large Growth	49.32 4 469	16.07 4 420	18.58 4 336	10.93 4 246	✔	19.73	1.16	17.66	✔	⊠	✔	0.32	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-4.07 30 342	-1.62 16 314	4.45 9 275	3.54 23 180	✔	16.89	1.07	12.38	✔	⊠	✖	0.37	✔	
American Funds New Perspective R6 <i>percentile rank</i> <i># of funds in category</i>	RNPGX	World Stock	25.74 15 826	13.77 14 727	14.71 11 613	12.22 8 365	✔	17.67	1.05	19.84	✔	⊠	✔	0.42	✔	

**DWL ARCHITECTS 401K RETIREMENT**

## Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
<b>Alternative</b>															
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	-4.94	-3.06	-1.94	-5.15		17.18	1.22	5.75		—	—	1.43	
<i>percentile rank</i>			29	28	36	28									
<i># of funds in category</i>			126	109	97	49									
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-12.19	2.37	5.22	8.50		18.00	0.94	24.40		—		0.12	
<i>percentile rank</i>			33	44	31	38									
<i># of funds in category</i>			252	224	197	141									
<b>Fixed Income</b>															
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	2.21	4.00	6.13	6.54		9.55	1.03	12.76		—	—	0.62	
<i>percentile rank</i>			42	21	16	5									
<i># of funds in category</i>			678	622	538	351									
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation- Protected Bond	11.16	5.78	4.47	3.21		4.05	1.05	3.63		—	—	0.65	
<i>percentile rank</i>			12	19	30	33									
<i># of funds in category</i>			210	200	172	116									
Vanguard Interm-Term Bond Index Adm	VBILX	Intermediate- Term Bond	8.90	6.25	4.86	4.41		4.01	1.16	12.44			—	0.07	
<i>percentile rank</i>			4	1	7	4									
<i># of funds in category</i>			418	381	336	256									
PIMCO Income I2	PONPX	Multisector Bond	3.74	3.52	5.39	6.93		5.59	0.83	13.52		—	—	1.19	
<i>percentile rank</i>			39	39	14	1									
<i># of funds in category</i>			329	292	248	133									
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	2.70	2.29	2.40	1.76		2.36	0.12	9.75		—	—	0.65	
<i>percentile rank</i>			7	27	4	8									
<i># of funds in category</i>			209	168	133	64									
Templeton Global Bond Adv	TGBAX	World Bond	-3.42	-1.50	1.71	2.06		6.16	-0.48	18.76		—	—	0.67	
<i>percentile rank</i>			85	91	81	70									
<i># of funds in category</i>			310	264	235	87									
<b>Target Date</b>															
American Funds 2010 Trgt Date Retire R6	RFTTX	Target Date	7.07	5.37	6.79	6.74		6.68	0.91	13.67		—		0.31	
<i>percentile rank</i>			76	62	29	14									
<i># of funds in category</i>			121	90	73	54									
American Funds 2015 Trgt Date Retire R6	RFJTX	Target Date	7.38	5.67	7.11	7.25		7.35	0.91	13.67		—		0.31	
<i>percentile rank</i>			68	56	36	13									
<i># of funds in category</i>			120	93	68	46									
American Funds 2020 Trgt Date Retire R6	RRCTX	Target Date	8.56	6.30	7.78	7.99		7.83	0.87	13.67		—		0.31	
<i>percentile rank</i>			27	28	24	2									
<i># of funds in category</i>			199	170	130	86									
American Funds 2025 Trgt Date Retire R6	RFDTX	Target Date	11.04	7.34	8.91	9.13		9.32	0.91	13.67		—		0.33	
<i>percentile rank</i>			1	7	4	1									
<i># of funds in category</i>			218	186	146	89									

**DWL ARCHITECTS 401K RETIREMENT**

## Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
American Funds 2030 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFETX	Target Date	11.76 3 228	7.93 3 194	9.96 1 153	9.84 1 91		11.06	0.93	13.67		—		0.35	
American Funds 2035 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFCTX	Target Date	13.46 1 211	8.75 1 183	10.99 1 143	10.34 1 86		13.37	0.98	13.67		—		0.37	
American Funds 2040 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFCTX	Target Date	14.07 1 222	9.05 1 194	11.34 1 153	10.56 1 91		14.32	0.94	13.67		—		0.38	
American Funds 2045 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFHTX	Target Date	14.30 1 211	9.18 1 183	11.52 1 143	10.65 1 85		14.62	0.91	13.67		—		0.38	
American Funds 2050 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFITX	Target Date	14.63 1 222	9.34 1 194	11.65 1 153	10.71 1 79		14.86	0.91	13.67		—		0.39	
American Funds 2055 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFKTX	Target Date	14.56 1 211	9.30 1 183	11.63 1 140	10.69 1 35		14.85	0.91	10.67		—		0.40	
American Funds 2060 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFUTX	Target Date	14.49 1 242	9.28 1 171	11.59 1 71	— — —		14.81	0.90	5.52		—		0.41	
American Funds 2065 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFVTX	Target Date	— — 242	— — 171	— — 71	— — —	—	—	—	0.75		—		0.41	

**Balanced**

Invesco Equity and Income Y <i>percentile rank</i> <i># of funds in category</i>	ACETX	Moderate Allocation	-0.19 86 676	2.15 87 638	6.41 74 582	7.98 39 414		14.39	1.31	17.43		—		0.54	
--	-------	------------------------	--------------------	-------------------	-------------------	-------------------	--	-------	------	-------	--	---	--	------	--