

## INGERSOLL AUTO OF DANBURY 401K PLAN

## Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List
 ! Fund on Watch List

| Name   | Ticker | Asset Class               | Trailing Performance and Risk |                      |                      |                    | Management    |                   |                | Style  |               | Expense      |               |              |               |  |
|--|--------|---------------------------|-------------------------------|----------------------|----------------------|--------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|--|
|  |        |                           | 1 Yr                          | 3 Yr                 | 5 Yr                 | 10 Yr              | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |  |
| <b>US Stock</b>  |        |                           |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| DFA US Core Equity 1 I<br><i>percentile rank</i><br><i># of funds in category</i>  | DFEOX  | Large Blend               | 9.14<br>65<br>1,379           | 8.65<br>66<br>1,239  | 11.80<br>58<br>1,075 | 12.51<br>47<br>828 | ✔             | 19.74             | 1.10           | 8.59   | ✔             | ☒            | ✔             | 0.17         | ✔             |  |
| Vanguard 500 Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>  | VFIAX  | Large Blend Index         | 15.11<br>26<br>1,379          | 12.24<br>19<br>1,239 | 14.11<br>12<br>1,075 | 13.71<br>10<br>828 | ✔             | 17.74             | 1.00           | 4.43   | ✔             | ☒            | ✔             | 0.04         | ✔             |  |
| JPMorgan Large Cap Growth R6<br><i>percentile rank</i><br><i># of funds in category</i>                                      | JLGMX  | Large Growth              | 53.92<br>8<br>1,331           | 28.58<br>5<br>1,241  | 23.52<br>5<br>1,107  | –<br>–<br>821      | ✔             | 21.40             | 1.10           | 16.17  | ✔             | ☒            | ✔             | 0.44         | ✔             |  |
| Vanguard Equity-Income Adm<br><i>percentile rank</i><br><i># of funds in category</i>  | VEIRX  | Large Value               | -2.77<br>37<br>1,209          | 4.66<br>26<br>1,133  | 9.45<br>17<br>996    | 11.39<br>5<br>718  | ✔             | 16.08             | 0.87           | 16.76  | ✔             | ☒            | ✔             | 0.18         | ✔             |  |
| MFS Mid Cap Growth R6<br><i>percentile rank</i><br><i># of funds in category</i>   | OTCKX  | Mid-Cap Growth            | 25.48<br>36<br>608            | 20.04<br>18<br>558   | 17.94<br>15<br>501   | –<br>–<br>381      | ✔             | 18.49             | 0.88           | 11.87  | ✔             | ☒            | ✔             | 0.74         | ✔             |  |
| <span style="color: yellow;">!</span> Vanguard Selected Value Inv<br><i>percentile rank</i><br><i># of funds in category</i> | VASVX  | Mid-Cap Value             | -12.08<br>66<br>418           | -3.49<br>83<br>390   | 4.17<br>70<br>347    | 8.33<br>51<br>248  | ✔             | 25.16             | 1.16           | 15.61  | ✔             | ☒            | ✔             | 0.33         | ✔             |  |
| Vanguard Small Cap Growth Index Admiral<br><i>percentile rank</i><br><i># of funds in category</i>                           | VSGAX  | Small Growth              | 18.68<br>46<br>626            | 12.85<br>39<br>581   | 13.69<br>42<br>515   | –<br>–<br>388      | ✔             | 22.68             | 0.97           | 15.76  | ✔             | ☒            | ✖             | 0.07         | ✔             |  |
| DFA US Small Cap Value I<br><i>percentile rank</i><br><i># of funds in category</i>  | DFSVX  | Small Value               | -15.86<br>57<br>416           | -7.29<br>74<br>387   | 1.60<br>68<br>356    | 6.80<br>43<br>254  | ✔             | 26.52             | 1.12           | 8.59   | ✔             | ☒            | ✔             | 0.51         | ✔             |  |
| <b>Non-US Stock</b>  |        |                           |                               |                      |                      |                    |               |                   |                |        |               |              |               |              |               |  |
| Invesco Developing Mkts R6<br><i>percentile rank</i><br><i># of funds in category</i>  | ODVIX  | Diversified Emerging Mkts | 10.60<br>41<br>790            | 4.51<br>21<br>695    | 10.56<br>22<br>590   | –<br>–<br>265      | ✔             | 17.95             | 0.93           | 13.43  | ✔             | ☒            | ✔             | 0.83         | ✔             |  |
| American Funds Intl Gr and Inc R6<br><i>percentile rank</i><br><i># of funds in category</i>                                 | RIGGX  | Foreign Large Blend       | -0.01<br>69<br>761            | 1.04<br>43<br>651    | 5.21<br>52<br>551    | 4.79<br>30<br>368  | ✔             | 17.01             | 1.03           | 12.01  | ✔             | ☒            | ✔             | 0.54         | ✔             |  |
| Vanguard International Growth Adm<br><i>percentile rank</i><br><i># of funds in category</i>                                 | VWILX  | Foreign Large Growth      | 49.32<br>4<br>469             | 16.07<br>4<br>420    | 18.58<br>4<br>336    | 10.93<br>4<br>246  | ✔             | 19.73             | 1.16           | 17.66  | ✔             | ☒            | ✔             | 0.32         | ✔             |  |
| Vanguard International Value Inv<br><i>percentile rank</i><br><i># of funds in category</i>                                  | VTRIX  | Foreign Large Value       | -4.07<br>30<br>342            | -1.62<br>16<br>314   | 4.45<br>9<br>275     | 3.54<br>23<br>180  | ✔             | 16.89             | 1.07           | 12.38  | ✔             | ☒            | ✖             | 0.37         | ✔             |  |
| American Funds New Perspective R6<br><i>percentile rank</i><br><i># of funds in category</i>                                 | RNPGX  | World Stock               | 25.74<br>15<br>826            | 13.77<br>14<br>727   | 14.71<br>11<br>613   | 12.22<br>8<br>365  | ✔             | 17.67             | 1.05           | 19.84  | ✔             | ☒            | ✔             | 0.42         | ✔             |  |

## INGERSOLL AUTO OF DANBURY 401K PLAN

## Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

| Name                                  | Ticker | Asset Class                  | Trailing Performance and Risk |       |       |       | Management    |                   |                | Style  |               | Expense      |               |              |               |
|---------------------------------------|--------|------------------------------|-------------------------------|-------|-------|-------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
|                                       |        |                              | 1 Yr                          | 3 Yr  | 5 Yr  | 10 Yr | Pass/<br>Fail | Std Dev<br>3 Yr % | Beta 3<br>Yr % | Tenure | Pass/<br>Fail | Style<br>Box | Pass/<br>Fail | Exp<br>Ratio | Pass/<br>Fail |
| <b>Alternative</b>                    |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| PIMCO Commodity Real Ret Strat Instl  | PCRIX  | Commodities<br>Broad Basket  | -4.86                         | -2.97 | -1.85 | -5.07 |               | 17.17             | 1.22           | 5.75   |               | —            | —             | 1.33         |               |
| <i>percentile rank</i>                |        |                              | 29                            | 27    | 34    | 27    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 126                           | 109   | 97    | 49    |               |                   |                |        |               |              |               |              |               |
| Vanguard Real Estate Index Admiral    | VGSLX  | Real Estate                  | -12.19                        | 2.37  | 5.22  | 8.50  |               | 18.00             | 0.94           | 24.40  |               | —            |               | 0.12         |               |
| <i>percentile rank</i>                |        |                              | 33                            | 44    | 31    | 38    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 252                           | 224   | 197   | 141   |               |                   |                |        |               |              |               |              |               |
| <b>Fixed Income</b>                   |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| BlackRock High Yield Bond K           | BRHYX  | High Yield Bond              | 2.46                          | 4.16  | 6.27  | 6.64  |               | 9.49              | 1.02           | 12.76  |               | —            | —             | 0.51         |               |
| <i>percentile rank</i>                |        |                              | 36                            | 17    | 13    | 4     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 678                           | 622   | 538   | 351   |               |                   |                |        |               |              |               |              |               |
| Vanguard Inflation-Protected Secs Adm | VAIPX  | Inflation-<br>Protected Bond | 9.80                          | 5.63  | 4.46  | 3.46  |               | 3.59              | 0.94           | 9.12   |               | —            | —             | 0.10         |               |
| <i>percentile rank</i>                |        |                              | 34                            | 34    | 31    | 20    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 210                           | 200   | 172   | 116   |               |                   |                |        |               |              |               |              |               |
| BlackRock Total Return K              | MPHQX  | Intermediate-<br>Term Bond   | 7.59                          | 5.34  | 4.60  | 4.58  |               | 4.26              | 1.09           | 10.16  |               | —            | —             | 0.44         |               |
| <i>percentile rank</i>                |        |                              | 28                            | 30    | 39    | 16    |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 604                           | 537   | 459   | 341   |               |                   |                |        |               |              |               |              |               |
| PIMCO Income Instl                    | PIMIX  | Multisector Bond             | 3.84                          | 3.63  | 5.49  | 7.03  |               | 5.59              | 0.83           | 13.52  |               | —            | —             | 1.09         |               |
| <i>percentile rank</i>                |        |                              | 35                            | 36    | 12    | 1     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 329                           | 292   | 248   | 133   |               |                   |                |        |               |              |               |              |               |
| PIMCO Short-Term Instl                | PTSHX  | Ultrashort Bond              | 2.80                          | 2.39  | 2.50  | 1.86  |               | 2.36              | 0.12           | 9.75   |               | —            | —             | 0.55         |               |
| <i>percentile rank</i>                |        |                              | 4                             | 22    | 3     | 6     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 209                           | 168   | 133   | 64    |               |                   |                |        |               |              |               |              |               |
| AB Global Bond Z                      | ANAZX  | World Bond                   | 2.83                          | 3.79  | 3.99  | —     |               | 4.30              | 0.80           | 28.53  |               | —            | —             | 0.50         |               |
| <i>percentile rank</i>                |        |                              | 60                            | 71    | 55    | —     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 108                           | 91    | 59    | 38    |               |                   |                |        |               |              |               |              |               |
| <b>Balanced</b>                       |        |                              |                               |       |       |       |               |                   |                |        |               |              |               |              |               |
| American Funds American Balanced R6   | RLBGX  | Moderate<br>Allocation       | 9.42                          | 8.00  | 9.82  | 10.16 |               | 10.22             | 0.95           | 21.76  |               | —            |               | 0.26         |               |
| <i>percentile rank</i>                |        |                              | 32                            | 22    | 13    | 4     |               |                   |                |        |               |              |               |              |               |
| <i># of funds in category</i>         |        |                              | 676                           | 638   | 582   | 414   |               |                   |                |        |               |              |               |              |               |