

THE INSTITUTE OF WORLD POLITICS 403

Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
Columbia Contrarian Core Inst3 <i>percentile rank</i> <i># of funds in category</i>	COFYX	Large Blend	17.10 13 1,379	11.11 43 1,239	13.23 35 1,075	– – 828	✔	18.00	1.01	15.51	✔	☒	✔	0.64	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	15.11 26 1,379	12.24 19 1,239	14.11 12 1,075	13.71 10 828	✔	17.74	1.00	4.43	✔	☒	✔	0.04	✔	
MFS Growth R6 <i>percentile rank</i> <i># of funds in category</i>	MFEKX	Large Growth	32.47 45 1,331	22.52 18 1,241	20.27 16 1,107	– – 821	✔	17.60	0.91	18.51	✔	☒	✔	0.57	✔	
⚠ Invesco Growth and Income R6 <i>percentile rank</i> <i># of funds in category</i>	GIFFX	Large Value	-9.61 81 1,209	-1.29 89 1,133	5.87 75 996	– – 718	✔	21.06	1.14	17.60	✔	☒	✔	0.39	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	22.58 44 608	14.83 49 558	14.03 52 501	– – 381	✔	20.01	0.97	7.61	✔	☒	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	-7.75 32 418	1.08 20 390	6.41 21 347	– – 248	✔	21.38	0.99	14.13	✔	☒	✔	0.07	✔	
Vanguard Small Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VSGAX	Small Growth	18.68 46 626	12.85 39 581	13.69 42 515	– – 388	✔	22.68	0.97	15.76	✔	☒	✖	0.07	✔	
⚠ JPMorgan Small Cap Value R6 <i>percentile rank</i> <i># of funds in category</i>	JSVUX	Small Value	-14.51 43 416	-5.85 51 387	2.61 44 356	6.93 40 254	✔	23.15	0.98	9.92	✔	☒	✔	0.76	✔	
Non-US Stock																
DFA Emerging Markets I <i>percentile rank</i> <i># of funds in category</i>	DFEMX	Diversified Emerging Mkts	4.91 67 790	0.59 61 695	7.66 54 590	2.24 53 265	✔	19.49	1.02	10.59	✔	☒	✔	0.43	✔	
American Funds Europacific Growth R6 <i>percentile rank</i> <i># of funds in category</i>	REGGX	Foreign Large Blend	14.97 58 469	5.67 60 420	9.08 50 336	6.79 52 246	✔	17.32	1.09	19.35	✔	☒	✔	0.46	✔	
MFS Instl International Equity <i>percentile rank</i> <i># of funds in category</i>	MIEIX	Foreign Large Growth	6.28 92 469	5.16 65 420	8.12 66 336	6.89 49 246	✔	14.56	0.92	11.01	✔	☒	✔	0.70	✔	
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-4.07 30 342	-1.62 16 314	4.45 9 275	3.54 23 180	✔	16.89	1.07	12.38	✔	☒	✖	0.37	✔	
American Funds Capital World Gr&Inc R6 <i>percentile rank</i> <i># of funds in category</i>	RWIGX	World Stock	10.47 44 826	6.33 48 727	9.73 43 613	8.63 46 365	✔	15.71	0.94	14.67	✔	☒	✔	0.42	✔	

THE INSTITUTE OF WORLD POLITICS 403

Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
Alternative																
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	-3.26	-2.89	-0.93	—		14.80	1.00	9.84		—	—	1.11		
<i>percentile rank</i>			22	23	16	—										
<i># of funds in category</i>			126	109	97	49										
Invesco Global Real Estate R6	FGREX	Global Real Estate	-15.69	-0.63	2.49	—		17.56	0.93	15.43				0.82		
<i>percentile rank</i>			74	63	70	—										
<i># of funds in category</i>			205	185	165	100										
Fixed Income																
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	7.82	5.71	5.01	4.58		4.09	1.07	20.02		—	—	0.30		
<i>percentile rank</i>			21	14	16	16										
<i># of funds in category</i>			604	537	459	341										
BlackRock High Yield Bond K	BRHYX	High Yield Bond	2.46	4.16	6.27	6.64		9.49	1.02	12.76		—	—	0.51		
<i>percentile rank</i>			36	17	13	4										
<i># of funds in category</i>			678	622	538	351										
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation- Protected Bond	9.80	5.63	4.46	3.46		3.59	0.94	9.12		—	—	0.10		
<i>percentile rank</i>			34	34	31	20										
<i># of funds in category</i>			210	200	172	116										
Western Asset Core Bond IS	WACSX	Intermediate- Term Bond	7.69	5.78	5.16	4.69		3.98	1.04	13.76			—	0.42		
<i>percentile rank</i>			17	8	2	1										
<i># of funds in category</i>			418	381	336	256										
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	2.80	2.39	2.50	1.86		2.36	0.12	9.75		—	—	0.55		
<i>percentile rank</i>			4	22	3	6										
<i># of funds in category</i>			209	168	133	64										
PIMCO International Bond (USD-Hdg) Instl	PFORX	World Bond	3.33	4.97	5.02	5.25		3.29	0.57	6.02		—	—	0.60		
<i>percentile rank</i>			54	39	16	1										
<i># of funds in category</i>			108	91	59	38										
Target Date																
JPMorgan SmartRetirement® 2020 R6	JTTYX	Target Date	5.98	5.21	6.86	—		8.49	0.94	12.25		—		0.44		
<i>percentile rank</i>			74	74	56	—										
<i># of funds in category</i>			199	170	130	86										
JPMorgan SmartRetirement® 2025 R6	JNSYX	Target Date	6.44	5.53	7.55	—		10.44	1.02	12.25		—		0.45		
<i>percentile rank</i>			80	76	69	—										
<i># of funds in category</i>			218	186	146	89										
JPMorgan SmartRetirement® 2030 R6	JSMYX	Target Date	6.50	5.76	8.14	—		12.13	1.03	12.25		—		0.46		
<i>percentile rank</i>			80	74	63	—										
<i># of funds in category</i>			228	194	153	91										
JPMorgan SmartRetirement® 2035 R6	SRJYX	Target Date	7.69	5.89	8.54	—		14.00	1.03	12.25		—		0.46		
<i>percentile rank</i>			73	77	72	—										
<i># of funds in category</i>			211	183	143	86										

THE INSTITUTE OF WORLD POLITICS 403

Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management		Style		Expense	
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
JPMorgan SmartRetirement® 2040 R6 <i>percentile rank</i> <i># of funds in category</i>	SMTYX	Target Date	7.91 66 222	5.98 72 194	8.91 61 153	— — 91		15.30	1.01	12.25		—		0.47	
JPMorgan SmartRetirement® 2045 R6 <i>percentile rank</i> <i># of funds in category</i>	JSAYX	Target Date	7.86 68 211	5.95 76 183	8.92 71 143	— — 85		16.12	1.01	12.25		—		0.47	
JPMorgan SmartRetirement® 2050 R6 <i>percentile rank</i> <i># of funds in category</i>	JTSYX	Target Date	7.87 66 222	5.95 71 194	8.90 72 153	— — 79		16.10	1.00	12.25		—		0.47	
JPMorgan SmartRetirement® 2055 R6 <i>percentile rank</i> <i># of funds in category</i>	JFFYX	Target Date	7.88 70 211	5.97 74 183	8.92 75 140	— — 35		16.07	0.99	8.67		—		0.47	
JPMorgan SmartRetirement® 2060 R6 <i>percentile rank</i> <i># of funds in category</i>	JAKYX	Target Date	7.74 74 242	5.97 76 171	— — 71	— — —		16.04	0.99	4.08		—		0.46	
JPMorgan SmartRetirement® Income R6 <i>percentile rank</i> <i># of funds in category</i>	JSIYX	Target Date	5.59 67 177	4.84 61 151	5.89 36 128	— — 90		7.74	1.15	12.25		—		0.42	

Balanced

Invesco Equity and Income R6 <i>percentile rank</i> <i># of funds in category</i>	IEIFX	Moderate Allocation	-0.03 86 676	2.30 87 638	6.56 71 582	— — 414		14.36	1.31	17.43		—		0.39	
---	-------	------------------------	--------------------	-------------------	-------------------	---------------	--	-------	------	-------	--	---	--	------	--