

## LE BERNARDIN INC 401K PLAN

## Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List
!  Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
<b>US Stock</b>																
DFA US Core Equity 1 I <i>percentile rank</i> <i># of funds in category</i>	DFEOX	Large Blend	9.14 65 1,379	8.65 66 1,239	11.80 58 1,075	12.51 47 828	✔	19.74	1.10	8.59	✔	☒	✔	0.17	✔	
Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VFIAX	Large Blend Index	15.11 26 1,379	12.24 19 1,239	14.11 12 1,075	13.71 10 828	✔	17.74	1.00	4.43	✔	☒	✔	0.04	✔	
American Funds Growth Fund of Amer R6 <i>percentile rank</i> <i># of funds in category</i>	RGAGX	Large Growth	32.55 45 1,331	16.84 59 1,241	17.22 49 1,107	15.07 52 821	✔	18.40	0.95	26.93	✔	☒	✔	0.31	✔	
DFA US Large Cap Value I <i>percentile rank</i> <i># of funds in category</i>	DFLVX	Large Value	-8.54 76 1,209	-0.04 79 1,133	6.69 63 996	10.09 29 718	✔	21.09	1.15	8.59	✔	☒	✔	0.26	✔	
Vanguard Mid-Cap Growth Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMGMX	Mid-Cap Growth	22.58 44 608	14.83 49 558	14.03 52 501	– – 381	✔	20.01	0.97	7.61	✔	☒	✔	0.07	✔	
Vanguard Mid-Cap Value Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VMVAX	Mid-Cap Value	-7.75 32 418	1.08 20 390	6.41 21 347	– – 248	✔	21.38	0.99	14.13	✔	☒	✔	0.07	✔	
State St Russell Sm Cap® Gr Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Small Growth	15.27 55 626	7.96 63 581	11.24 62 515	12.21 59 388	✔	23.10	1.00	11.08	✔	☒	✔	0.05	✔	
State St Russell Sm Cp® Val Indx NL Cl C <i>percentile rank</i> <i># of funds in category</i>		Small Value	-14.53 43 416	-4.98 35 387	4.16 26 356	7.12 33 254	✔	23.36	0.99	11.08	✔	☒	✔	0.05	✔	
<b>Non-US Stock</b>																
Vanguard Emerging Mkts Stock Idx Adm <i>percentile rank</i> <i># of funds in category</i>	VEMAX	Diversified Emerging Mkts	9.75 47 790	2.50 39 695	8.20 45 590	2.26 52 265	✔	18.98	0.99	12.10	✔	☒	✔	0.14	✔	
Vanguard Developed Markets Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTMGX	Foreign Large Blend Index	2.18 44 761	0.94 45 651	5.79 35 551	4.96 26 368	✔	16.43	1.00	7.61	✔	☒	✔	0.07	✔	
MFS Instl International Equity <i>percentile rank</i> <i># of funds in category</i>	MIEIX	Foreign Large Growth	6.28 92 469	5.16 65 420	8.12 66 336	6.89 49 246	✔	14.56	0.92	11.01	✔	☒	✔	0.70	✔	
DFA International Value I <i>percentile rank</i> <i># of funds in category</i>	DFIVX	Foreign Large Value	-13.66 90 342	-6.95 91 314	1.45 73 275	1.56 79 180	✖	20.12	1.26	10.59	✔	☒	✔	0.39	✔	
Vanguard Total World Stock Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VTWAX	World Stock	10.10 47 826	– – 727	– – 613	– – 365	–	–	–	7.61	✔	☒	✔	0.10	✔	

## LE BERNARDIN INC 401K PLAN

## Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List
 Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
<b>Alternative</b>															
PIMCO Commodity Real Ret Strat Instl <i>percentile rank</i> <i># of funds in category</i>	PCRIX	Commodities Broad Basket	-4.86 29 126	-2.97 27 109	-1.85 34 97	-5.07 27 49		17.17	1.22	5.75		—	—	1.33	
Vanguard Real Estate Index Admiral <i>percentile rank</i> <i># of funds in category</i>	VGSLX	Real Estate	-12.19 33 252	2.37 44 224	5.22 31 197	8.50 38 141		18.00	0.94	24.40		—		0.12	
<b>Fixed Income</b>															
Loomis Sayles Core Plus Bond N <i>percentile rank</i> <i># of funds in category</i>	NERNX	Core Plus Bond	8.95 8 604	5.87 10 537	5.79 3 459	— — 341		3.63	0.96	23.76		—	—	0.39	
Vanguard High-Yield Corporate Adm <i>percentile rank</i> <i># of funds in category</i>	VWEAX	High Yield Bond	3.27 22 678	4.32 13 622	6.12 17 538	6.22 11 351		8.28	0.88	12.64		—	—	0.13	
Vanguard Inflation-Protected Secs Adm <i>percentile rank</i> <i># of funds in category</i>	VAIPX	Inflation- Protected Bond	9.80 34 210	5.63 34 200	4.46 31 172	3.46 20 116		3.59	0.94	9.12		—	—	0.10	
Vanguard Total Bond Market Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBTLX	Intermediate- Term Bond	7.03 38 418	5.30 25 381	4.20 33 336	3.59 47 256		3.44	1.02	7.61			—	0.05	
Federated Hermes Govt Ultrashort R6 <i>percentile rank</i> <i># of funds in category</i>	FGULX	Ultrashort Bond	1.79 49 209	1.90 64 168	— — 133	— — 64		0.46	0.06	23.24		—	—	0.24	
PIMCO International Bond (USD-Hdg) Instl <i>percentile rank</i> <i># of funds in category</i>	PFORX	World Bond	3.33 54 108	4.97 39 91	5.02 16 59	5.25 1 38		3.29	0.57	6.02		—	—	0.60	
<b>Target Date</b>															
Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i>	VTXVX	Target Date	7.68 62 120	6.09 35 93	7.04 51 68	7.04 32 46		6.94	0.86	7.61		—		0.13	
Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i>	VTWVX	Target Date	8.51 34 199	6.54 20 170	7.96 18 130	7.81 10 86		9.14	1.02	7.61		—		0.13	
Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	9.04 38 218	6.89 23 186	8.60 18 146	8.38 12 89		10.72	1.05	7.61		—		0.13	
Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	9.38 34 228	7.04 25 194	9.07 29 153	8.84 19 91		11.99	1.02	7.61		—		0.14	

# LE BERNARDIN INC 401K PLAN

## Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail
Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTHX	Target Date	9.71 33 211	7.16 25 183	9.53 29 143	9.29 16 86	✔	13.26	0.98	7.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	9.96 32 222	7.27 22 194	9.97 27 153	9.58 16 91	✔	14.53	0.97	7.61	✔	—	✔	0.14	✔
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	10.27 34 211	7.32 23 183	10.13 23 143	9.66 16 85	✔	15.64	0.98	7.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VFIFX	Target Date	10.26 35 222	7.32 25 194	10.13 27 153	9.65 22 79	✔	15.65	0.97	7.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	10.25 36 211	7.31 27 183	10.12 32 140	9.68 26 35	✔	15.63	0.96	7.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	10.25 38 242	7.31 29 171	10.12 48 71	— — —	✔	15.63	0.96	7.61	✔	—	✔	0.15	✔
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	10.11 41 242	7.25 34 171	— — 71	— — —	✔	15.64	0.96	3.22	✔	—	✔	0.15	✔
Vanguard Target Retirement Income Inv <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	7.35 28 177	5.79 17 151	6.03 29 128	5.60 17 90	✔	5.73	0.86	7.61	✔	—	✔	0.12	✔

### Balanced

Vanguard LifeStrategy Cnsvr Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSCGX	Target Risk	8.11 21 547	6.43 12 517	7.02 18 451	6.38 24 285	✔	7.28	1.01	26.02	✔	—	✔	0.12	✔
Vanguard LifeStrategy Growth Inv <i>percentile rank</i> <i># of funds in category</i>	VASGX	Target Risk	9.91 23 325	7.19 23 310	9.54 18 280	8.98 19 190	✔	13.94	1.02	26.02	✔	—	✔	0.14	✔
Vanguard LifeStrategy Income Inv <i>percentile rank</i> <i># of funds in category</i>	VASIX	Target Risk	6.82 12 186	5.84 4 176	5.62 15 158	4.95 32 97	✔	4.42	0.97	26.02	✔	—	✔	0.11	✔
Vanguard LifeStrategy Moderate Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSMGX	Target Risk	9.07 35 676	6.85 36 638	8.32 35 582	7.76 45 414	✔	10.56	0.99	26.02	✔	—	✔	0.13	✔