

SOLE PROPRIETOR INC

Screens Summary (Data as of 09-30-2020)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	
US Stock																
T. Rowe Price Dividend Growth Advisor <i>percentile rank</i> <i># of funds in category</i>	TADGX	Large Blend	8.38 69 1,379	11.51 36 1,239	13.22 36 1,075	12.96 36 828		15.16	0.84	20.52				0.91		
BNY Mellon S&P 500 Index <i>percentile rank</i> <i># of funds in category</i>	PEOPX	Large Blend Index	14.54 36 1,379	11.72 32 1,239	13.57 28 1,075	13.19 30 828		17.75	1.00	20.52				0.50		
Fidelity® Contrafund® <i>percentile rank</i> <i># of funds in category</i>	FCNTX	Large Growth	35.10 35 1,331	18.47 49 1,241	17.75 44 1,107	15.52 45 821		19.07	0.99	30.06				0.85		
American Funds Washington Mutual R4 <i>percentile rank</i> <i># of funds in category</i>	RWMEX	Large Value	4.02 82 1,379	7.80 75 1,239	11.44 64 1,075	11.83 62 828		15.90	0.88	23.27				0.62		
Neuberger Berman Mid Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	NMGRX	Mid-Cap Growth	24.26 39 608	15.65 40 558	13.56 58 501	13.15 55 381		20.80	1.00	17.68				1.34		
American Century Mid Cap Value Inv <i>percentile rank</i> <i># of funds in category</i>	ACMVX	Mid-Cap Value	-7.05 27 418	0.72 24 390	6.91 13 347	9.83 10 248		19.22	0.88	16.51				0.98		
Carillon Eagle Small Cap Growth R3 <i>percentile rank</i> <i># of funds in category</i>	HSRRX	Small Growth	20.69 41 626	8.67 60 581	11.19 63 515	11.62 72 388		23.35	1.00	24.77				1.34		
Delaware Small Cap Value A <i>percentile rank</i> <i># of funds in category</i>	DEV LX	Small Value	-18.92 80 416	-6.17 57 387	3.25 34 356	6.74 44 254		24.64	1.03	23.43				1.15		
Non-US Stock																
JPMorgan Emerging Markets Equity R4 <i>percentile rank</i> <i># of funds in category</i>	JHUKX	Diversified Emerging Mkts	22.84 11 790	9.55 5 695	- - 590	- - 265		19.95	1.01	15.09				1.04		
BNY Mellon International Stock Index Inv <i>percentile rank</i> <i># of funds in category</i>	DIISX	Foreign Large Blend Index	0.05 68 761	0.24 61 651	4.75 67 551	4.17 58 368		15.30	0.93	13.45				0.60		
Invesco Oppenheimer International Gr R <i>percentile rank</i> <i># of funds in category</i>	OIGNX	Foreign Large Growth	19.02 32 469	4.16 78 420	7.17 83 336	6.70 54 246		16.95	1.04	24.53				1.35		
Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i>	VTRIX	Foreign Large Value	-4.07 30 342	-1.62 16 314	4.45 9 275	3.54 23 180		16.89	1.07	12.38				0.37		
American Funds New Perspective R4 <i>percentile rank</i> <i># of funds in category</i>	RNPEX	World Stock	25.30 15 826	13.36 16 727	14.30 13 613	11.83 11 365		17.67	1.05	19.84				0.77		

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Alternative															
PIMCO Commodity Real Ret Strat A	PCRAX	Commodities Broad Basket	-5.22	-3.43	-2.30	-5.51		17.11	1.22	5.75		—	—	1.78	
<i>percentile rank</i>			32	31	55	34									
<i># of funds in category</i>			126	109	97	49									
T. Rowe Price Global Real Estate Adv	PAGEX	Global Real Estate	-12.02	0.28	2.19	4.98		16.39	0.87	5.50				1.15	
<i>percentile rank</i>			44	54	77	53									
<i># of funds in category</i>			205	185	165	100									
Fixed Income															
Eaton Vance Income Fund of Boston A	EVIBX	High Yield Bond	1.36	2.99	5.18	5.64		8.38	0.90	5.91		—	—	1.00	
<i>percentile rank</i>			61	57	56	35									
<i># of funds in category</i>			678	622	538	351									
BlackRock Inflation Protected Bond Inv A	BPRAX	Inflation- Protected Bond	10.89	5.50	4.19	2.90		4.01	1.04	3.63		—	—	0.90	
<i>percentile rank</i>			13	38	43	52									
<i># of funds in category</i>			210	200	172	116									
Baird Aggregate Bond Inv	BAGSX	Intermediate- Term Bond	7.53	5.35	4.41	4.18		3.79	1.08	20.02			—	0.55	
<i>percentile rank</i>			22	22	22	12									
<i># of funds in category</i>			418	381	336	256									
PIMCO Income A	PONAX	Multisector Bond	3.43	3.22	5.07	6.62		5.59	0.83	13.52		—	—	1.49	
<i>percentile rank</i>			43	50	24	2									
<i># of funds in category</i>			329	292	248	133									
Federated Hermes Govt Ultrashort Svc	FEUSX	Ultrashort Bond	1.67	1.82	1.23	0.73		0.44	0.06	23.24		—	—	0.36	
<i>percentile rank</i>			56	69	87	81									
<i># of funds in category</i>			209	168	133	64									
Templeton Global Bond A	TPINX	World Bond	-3.74	-1.78	1.45	1.80		6.21	-0.48	18.76		—	—	0.92	
<i>percentile rank</i>			86	92	84	80									
<i># of funds in category</i>			310	264	235	87									
Target Date															
American Funds 2010 Trgt Date Retire R4	RDATX	Target Date	6.73	5.02	6.39	6.37		6.64	0.90	13.67		—		0.66	
<i>percentile rank</i>			86	88	59	36									
<i># of funds in category</i>			121	90	73	54									
American Funds 2015 Trgt Date Retire R4	RDBTX	Target Date	7.03	5.31	6.75	6.88		7.34	0.90	13.67		—		0.66	
<i>percentile rank</i>			74	79	64	39									
<i># of funds in category</i>			120	93	68	46									
American Funds 2020 Trgt Date Retire R4	RDCTX	Target Date	8.08	5.89	7.38	7.60		7.87	0.87	13.67		—		0.66	
<i>percentile rank</i>			45	49	40	22									
<i># of funds in category</i>			199	170	130	86									
American Funds 2025 Trgt Date Retire R4	RDDTX	Target Date	10.54	6.94	8.53	8.74		9.31	0.91	13.67		—		0.68	
<i>percentile rank</i>			4	14	25	3									
<i># of funds in category</i>			218	186	146	89									

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American Funds 2030 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDETX	Target Date	11.43 3 228	7.56 9 194	9.59 10 153	9.46 4 91		11.07	0.93	13.67		—		0.70	
American Funds 2035 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDFTX	Target Date	13.15 1 211	8.40 1 183	10.61 1 143	9.96 2 86		13.38	0.98	13.67		—		0.72	
American Funds 2040 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDGTX	Target Date	13.70 1 222	8.67 2 194	10.95 1 153	10.16 2 91		14.32	0.94	13.67		—		0.73	
American Funds 2045 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDHTX	Target Date	13.94 1 211	8.82 1 183	11.13 1 143	10.26 2 85		14.60	0.91	13.67		—		0.73	
American Funds 2050 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDITX	Target Date	14.21 1 222	8.93 1 194	11.24 2 153	10.31 3 79		14.83	0.91	13.67		—		0.74	
American Funds 2055 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDJTX	Target Date	14.19 1 211	8.95 1 183	11.25 1 140	10.30 5 35		14.85	0.91	10.67		—		0.75	
American Funds 2060 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDKTX	Target Date	14.14 1 242	8.89 2 171	11.22 3 71	— — —		14.82	0.90	5.52		—		0.76	
American Funds 2065 Trgt Date Retire R4 <i>percentile rank</i> <i># of funds in category</i>	RDLTX	Target Date	— — 242	— — 171	— — 71	— — —	—	—	—	0.75		—		0.76	

Balanced

BlackRock Global Allocation Inv A <i>percentile rank</i> <i># of funds in category</i>	MDLOX	World Allocation	14.30 3 477	6.18 8 406	7.17 13 349	5.92 32 219		10.97	1.02	3.75				1.08	
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