

UNIVERSITY GASTROENTEROLOGY 401K

Screens Summary (Data as of 09-30-2020)

⊖ Fund Removed from Approved List
 ! Fund on Watch List

| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | | | |
|--|--------|---------------------------|-------------------------------|----------------------|----------------------|--------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|--|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Pass/ Fail | |
| US Stock | | | | | | | | | | | | | | | | |
| MFS Massachusetts Investors Tr R6 <i>percentile rank</i> <i># of funds in category</i> | MITJX | Large Blend | 10.01 60 1,379 | 11.09 43 1,239 | 12.89 41 1,075 | – – 828 | ✔ | 17.33 | 0.97 | 16.26 | ✔ | ☒ | ✖ | 0.38 | ✔ | |
| Vanguard 500 Index Admiral <i>percentile rank</i> <i># of funds in category</i> | VFIAX | Large Blend Index | 15.11 26 1,379 | 12.24 19 1,239 | 14.11 12 1,075 | 13.71 10 828 | ✔ | 17.74 | 1.00 | 4.43 | ✔ | ☒ | ✔ | 0.04 | ✔ | |
| MFS Growth R6 <i>percentile rank</i> <i># of funds in category</i> | MFEKX | Large Growth | 32.47 45 1,331 | 22.52 18 1,241 | 20.27 16 1,107 | – – 821 | ✔ | 17.60 | 0.91 | 18.51 | ✔ | ☒ | ✔ | 0.57 | ✔ | |
| Invesco Diversified Dividend R6 <i>percentile rank</i> <i># of funds in category</i> | LCEFX | Large Value | -7.00 67 1,209 | 1.91 59 1,133 | 5.63 79 996 | – – 718 | ✔ | 15.17 | 0.81 | 17.76 | ✔ | ☒ | ✔ | 0.42 | ✔ | |
| MFS Mid Cap Growth R6 <i>percentile rank</i> <i># of funds in category</i> | OTCKX | Mid-Cap Growth | 25.48 36 608 | 20.04 18 558 | 17.94 15 501 | – – 381 | ✔ | 18.49 | 0.88 | 11.87 | ✔ | ☒ | ✔ | 0.74 | ✔ | |
| ! Vanguard Selected Value Inv <i>percentile rank</i> <i># of funds in category</i> | VASVX | Mid-Cap Value | -12.08 66 418 | -3.49 83 390 | 4.17 70 347 | 8.33 51 248 | ✔ | 25.16 | 1.16 | 15.61 | ✔ | ☒ | ✔ | 0.33 | ✔ | |
| ! PGIM Jennison Small Company R6 <i>percentile rank</i> <i># of funds in category</i> | PJSQX | Small Growth | 7.78 74 626 | 4.92 75 581 | 8.98 80 515 | – – 388 | ✔ | 25.90 | 1.09 | 6.85 | ✔ | ☒ | ✖ | 0.69 | ✔ | |
| DFA US Small Cap Value I <i>percentile rank</i> <i># of funds in category</i> | DFSVX | Small Value | -15.86 57 416 | -7.29 74 387 | 1.60 68 356 | 6.80 43 254 | ✔ | 26.52 | 1.12 | 8.59 | ✔ | ☒ | ✔ | 0.51 | ✔ | |
| Non-US Stock | | | | | | | | | | | | | | | | |
| JPMorgan Emerging Markets Equity R6 <i>percentile rank</i> <i># of funds in category</i> | JEMWX | Diversified Emerging Mkts | 23.13 10 790 | 9.82 5 695 | 14.95 3 590 | – – 265 | ✔ | 19.97 | 1.02 | 15.09 | ✔ | ☒ | ✔ | 0.79 | ✔ | |
| American Funds Intl Gr and Inc R6 <i>percentile rank</i> <i># of funds in category</i> | RIGGX | Foreign Large Blend | -0.01 69 761 | 1.04 43 651 | 5.21 52 551 | 4.79 30 368 | ✔ | 17.01 | 1.03 | 12.01 | ✔ | ☒ | ✔ | 0.54 | ✔ | |
| ! Invesco Oppenheimer International Gr R6 <i>percentile rank</i> <i># of funds in category</i> | OIGIX | Foreign Large Growth | 19.79 30 469 | 4.84 71 420 | 7.88 71 336 | – – 246 | ✔ | 16.94 | 1.04 | 24.53 | ✔ | ☒ | ✔ | 0.69 | ✔ | |
| Vanguard International Value Inv <i>percentile rank</i> <i># of funds in category</i> | VTRIX | Foreign Large Value | -4.07 30 342 | -1.62 16 314 | 4.45 9 275 | 3.54 23 180 | ✔ | 16.89 | 1.07 | 12.38 | ✔ | ☒ | ✖ | 0.37 | ✔ | |
| American Funds Capital World Gr&Inc R6 <i>percentile rank</i> <i># of funds in category</i> | RWIGX | World Stock | 10.47 44 826 | 6.33 48 727 | 9.73 43 613 | 8.63 46 365 | ✔ | 15.71 | 0.94 | 14.67 | ✔ | ☒ | ✔ | 0.42 | ✔ | |

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|--|--------|------------------------------|-------------------------------|--------------------|-------------------|-------------------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Pass/ Fail |
| Alternative | | | | | | | | | | | | | | | |
| PIMCO Commodity Real Ret Strat Instl <i>percentile rank</i> <i># of funds in category</i> | PCRIX | Commodities Broad Basket | -4.86 29 126 | -2.97 27 109 | -1.85 34 97 | -5.07 27 49 | ✔ | 17.17 | 1.22 | 5.75 | ✔ | — | — | 1.33 | ✔ |
| Vanguard Real Estate Index Admiral <i>percentile rank</i> <i># of funds in category</i> | VGSLX | Real Estate | -12.19 33 252 | 2.37 44 224 | 5.22 31 197 | 8.50 38 141 | ✔ | 18.00 | 0.94 | 24.40 | ✔ | — | ✔ | 0.12 | ✔ |
| Fixed Income | | | | | | | | | | | | | | | |
| Eaton Vance Income Fund of Boston R6 <i>percentile rank</i> <i># of funds in category</i> | EIBRX | High Yield Bond | 1.72 51 678 | 3.40 39 622 | 5.57 40 538 | — — 351 | ✔ | 8.39 | 0.90 | 5.91 | ✔ | — | — | 0.66 | ✔ |
| Vanguard Inflation-Protected Secs Adm <i>percentile rank</i> <i># of funds in category</i> | VAIPX | Inflation- Protected Bond | 9.80 34 210 | 5.63 34 200 | 4.46 31 172 | 3.46 20 116 | ✔ | 3.59 | 0.94 | 9.12 | ✔ | — | — | 0.10 | ✔ |
| Baird Aggregate Bond Instl <i>percentile rank</i> <i># of funds in category</i> | BAGIX | Intermediate- Term Bond | 7.80 14 418 | 5.62 13 381 | 4.69 12 336 | 4.44 4 256 | ✔ | 3.78 | 1.08 | 20.02 | ✔ | ☒ | — | 0.30 | ✔ |
| PIMCO Income Instl <i>percentile rank</i> <i># of funds in category</i> | PIMIX | Multisector Bond | 3.84 35 329 | 3.63 36 292 | 5.49 12 248 | 7.03 1 133 | ✔ | 5.59 | 0.83 | 13.52 | ✔ | — | — | 1.09 | ✔ |
| Federated Hermes Govt Ultrashort R6 <i>percentile rank</i> <i># of funds in category</i> | FGULX | Ultrashort Bond | 1.79 49 209 | 1.90 64 168 | — — 133 | — — 64 | ✔ | 0.46 | 0.06 | 23.24 | ✔ | — | — | 0.24 | ✔ |
| Templeton Global Bond R6 <i>percentile rank</i> <i># of funds in category</i> | FBNRX | World Bond | -3.29 84 310 | -1.37 90 264 | 1.86 80 235 | — — 87 | ✖ | 6.16 | -0.48 | 18.76 | ✔ | — | — | 0.56 | ✔ |
| Target Date | | | | | | | | | | | | | | | |
| Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i> | VTXVX | Target Date | 7.68 62 120 | 6.09 35 93 | 7.04 51 68 | 7.04 32 46 | ✔ | 6.94 | 0.86 | 7.61 | ✔ | — | ✔ | 0.13 | ✔ |
| Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i> | VTWNX | Target Date | 8.51 34 199 | 6.54 20 170 | 7.96 18 130 | 7.81 10 86 | ✔ | 9.14 | 1.02 | 7.61 | ✔ | — | ✔ | 0.13 | ✔ |
| Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i> | VTTVX | Target Date | 9.04 38 218 | 6.89 23 186 | 8.60 18 146 | 8.38 12 89 | ✔ | 10.72 | 1.05 | 7.61 | ✔ | — | ✔ | 0.13 | ✔ |
| Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i> | VTHRX | Target Date | 9.38 34 228 | 7.04 25 194 | 9.07 29 153 | 8.84 19 91 | ✔ | 11.99 | 1.02 | 7.61 | ✔ | — | ✔ | 0.14 | ✔ |

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|---------------------------------------|--------|-------------|-------------------------------|------------|------------|-----------|---------------|-------------------|----------------|--------|---------------|--------------|---------------|--------------|---------------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Pass/ Fail |
| Vanguard Target Retirement 2035 Inv | VTTHX | Target Date | 9.71 | 7.16 | 9.53 | 9.29 | | 13.26 | 0.98 | 7.61 | | — | | 0.14 | |
| <i>percentile rank</i> | | | <i>33</i> | <i>25</i> | <i>29</i> | <i>16</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>211</i> | <i>183</i> | <i>143</i> | <i>86</i> | | | | | | | | | |
| Vanguard Target Retirement 2040 Inv | VFORX | Target Date | 9.96 | 7.27 | 9.97 | 9.58 | | 14.53 | 0.97 | 7.61 | | — | | 0.14 | |
| <i>percentile rank</i> | | | <i>32</i> | <i>22</i> | <i>27</i> | <i>16</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>222</i> | <i>194</i> | <i>153</i> | <i>91</i> | | | | | | | | | |
| Vanguard Target Retirement 2045 Inv | VTIVX | Target Date | 10.27 | 7.32 | 10.13 | 9.66 | | 15.64 | 0.98 | 7.61 | | — | | 0.15 | |
| <i>percentile rank</i> | | | <i>34</i> | <i>23</i> | <i>23</i> | <i>16</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>211</i> | <i>183</i> | <i>143</i> | <i>85</i> | | | | | | | | | |
| Vanguard Target Retirement 2050 Inv | VFIFX | Target Date | 10.26 | 7.32 | 10.13 | 9.65 | | 15.65 | 0.97 | 7.61 | | — | | 0.15 | |
| <i>percentile rank</i> | | | <i>35</i> | <i>25</i> | <i>27</i> | <i>22</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>222</i> | <i>194</i> | <i>153</i> | <i>79</i> | | | | | | | | | |
| Vanguard Target Retirement 2055 Inv | VFFVX | Target Date | 10.25 | 7.31 | 10.12 | 9.68 | | 15.63 | 0.96 | 7.61 | | — | | 0.15 | |
| <i>percentile rank</i> | | | <i>36</i> | <i>27</i> | <i>32</i> | <i>26</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>211</i> | <i>183</i> | <i>140</i> | <i>35</i> | | | | | | | | | |
| Vanguard Target Retirement 2060 Inv | VTTSX | Target Date | 10.25 | 7.31 | 10.12 | — | | 15.63 | 0.96 | 7.61 | | — | | 0.15 | |
| <i>percentile rank</i> | | | <i>38</i> | <i>29</i> | <i>48</i> | <i>—</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>242</i> | <i>171</i> | <i>71</i> | <i>—</i> | | | | | | | | | |
| Vanguard Target Retirement 2065 Inv | VLXVX | Target Date | 10.11 | 7.25 | — | — | | 15.64 | 0.96 | 3.22 | | — | | 0.15 | |
| <i>percentile rank</i> | | | <i>41</i> | <i>34</i> | <i>—</i> | <i>—</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>242</i> | <i>171</i> | <i>71</i> | <i>—</i> | | | | | | | | | |
| Vanguard Target Retirement Income Inv | VTINX | Target Date | 7.35 | 5.79 | 6.03 | 5.60 | | 5.73 | 0.86 | 7.61 | | — | | 0.12 | |
| <i>percentile rank</i> | | | <i>28</i> | <i>17</i> | <i>29</i> | <i>17</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>177</i> | <i>151</i> | <i>128</i> | <i>90</i> | | | | | | | | | |

Balanced

| | | | | | | | | | | | | | | | |
|-------------------------------------|-------|---------------------|------------|------------|------------|------------|--|-------|------|-------|--|---|--|------|--|
| American Funds American Balanced R6 | RLBGX | Moderate Allocation | 9.42 | 8.00 | 9.82 | 10.16 | | 10.22 | 0.95 | 21.76 | | — | | 0.26 | |
| <i>percentile rank</i> | | | <i>32</i> | <i>22</i> | <i>13</i> | <i>4</i> | | | | | | | | | |
| <i># of funds in category</i> | | | <i>676</i> | <i>638</i> | <i>582</i> | <i>414</i> | | | | | | | | | |