



# CONNECTICUT ECONOMIC RESOURCE

## Screens Summary (Data as of 09-30-2021)

- Fund Removed from Approved List
 ! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management Style			Expense		ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
																Updated	Updated	Updated
Metropolitan West Total Return Bd I <i>percentile rank</i> <i># of funds in category</i>	MWTIX	Intermediate-Term Bond	0.15 81 614	6.15 32 571	3.51 48 498	4.17 25 357	✓	3.63	1.01	24.52	✓	—	—	0.45	✓	—	—	—
PIMCO Income I2 <i>percentile rank</i> <i># of funds in category</i>	PONPX	Multisector Bond	6.89 43 337	5.55 45 275	5.23 17 241	6.99 2 131	✓	5.75	0.80	14.52	✓	—	—	0.72	✓	—	—	—
Federated Hermes Govt Ultrashort IS <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	0.08 78 241	1.39 70 213	1.32 74 169	0.82 80 88	*✗	0.53	0.05	24.24	✓	—	—	0.26	✓	—	—	—

Target Date																		
American Funds 2010 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFTTX	Target Date	11.52 31 121	8.06 49 114	7.11 35 78	7.72 21 53	✓	7.31	0.89	9.75	✓	—	*✗	0.30	✓	—	—	—
American Funds 2015 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFJTX	Target Date	13.04 38 117	8.64 35 111	7.68 41 77	8.48 18 45	✓	8.07	0.90	9.75	✓	—	*✗	0.30	✓	2	—	—
American Funds 2020 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RRCTX	Target Date	13.39 55 175	8.99 45 162	8.36 49 123	9.36 9 67	✓	8.54	0.85	9.75	✓	—	✓	0.31	✓	2	—	—
American Funds 2025 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFDTX	Target Date	15.29 56 224	10.19 22 206	9.73 18 165	10.87 1 91	✓	10.06	0.89	9.75	✓	—	✓	0.33	✓	2	—	Low Risk
American Funds 2030 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFETX	Target Date	18.14 56 225	11.10 19 205	11.12 10 165	11.94 1 87	✓	11.90	0.92	9.75	✓	—	✓	0.35	✓	2	—	Low Risk
American Funds 2035 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFFTX	Target Date	22.19 38 217	12.62 3 203	12.79 1 162	12.85 1 88	✓	14.37	0.96	9.75	✓	—	*✗	0.37	✓	2	—	Low Risk
American Funds 2040 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFGTK	Target Date	24.39 43 219	13.37 3 205	13.52 1 165	13.30 1 87	✓	15.47	0.94	9.75	✓	—	*✗	0.38	✓	2	—	Low Risk
American Funds 2045 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFHTX	Target Date	25.02 71 217	13.57 3 203	13.79 1 162	13.45 1 87	✓	15.81	0.90	9.75	✓	—	*✗	0.39	✓	2	—	Low Risk
American Funds 2050 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFITX	Target Date	25.44 77 219	13.76 2 205	13.99 1 165	13.56 1 79	✓	16.05	0.90	9.75	✓	—	*✗	0.39	✓	2	—	Low Risk
American Funds 2055 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFKTX	Target Date	25.54 81 217	13.77 5 203	13.98 1 159	13.55 1 66	✓	16.06	0.90	9.75	✓	—	✓	0.39	✓	2	—	Low Risk
American Funds 2060 Trgt Date Retire R6 <i>percentile rank</i> <i># of funds in category</i>	RFUTX	Target Date	25.65 79 211	13.78 7 188	13.97 1 125	— — —	✓	16.04	1.38	6.52	✓	—	✓	0.40	✓	2	—	Low Risk

# CONNECTICUT ECONOMIC RESOURCE

## Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk							Management Style			Expense		ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
															Updated	Updated	Updated	
American Funds 2065 Trgt Date Retire R6	RFVTX	Target Date	25.67	-	-	-	-	-	-	1.75		-		0.40		2	-	Low Risk
<i>percentile rank</i>			79	-	-	-												
<i># of funds in category</i>			120	17	-	-									08-31-2021	-	06-30-2021	

### Balanced

American Funds American Balanced R6	RLBGX	Moderate Allocation	17.40	10.64	10.77	11.62		10.98	0.94	22.76		-		0.26		3	1-Low	Low Risk
<i>percentile rank</i>			66	33	23	8												
<i># of funds in category</i>			690	654	597	428									08-31-2021	10-08-2021	06-30-2021	