

ESG OPERATIONS INC 401K PROFIT

Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List Fund on Watch List

| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | ESG Metrics | | | | |
|--|--------|---------------------------|-------------------------------|-------|-------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|-------------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Sustain. Rating | Commit. Level | Carbon Risk | |
| | | | | | | | | | | | | | | | | Updated | Updated | Updated |
| US Stock | | | | | | | | | | | | | | | | | | |
| Vanguard 500 Index Admiral | VFIAX | Large Blend Index | 29.98 | 15.96 | 16.86 | 16.60 | ✓ | 18.82 | 1.00 | 5.43 | ✓ | ☐ | ✓ | 0.04 | ✓ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 49 | 28 | 21 | 15 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 1,391 | 1,267 | 1,112 | 819 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| MFS Growth R6 | MFEKX | Large Growth | 23.59 | 20.76 | 22.40 | 19.30 | ✓ | 18.61 | 0.91 | 19.51 | ✓ | ☐ | ✓ | 0.53 | ✓ | 4 | 2-Basic | Low Risk |
| <i>percentile rank</i> | | | 74 | 37 | 28 | 25 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 1,240 | 1,138 | 1,033 | 766 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| ! Invesco Diversified Dividend R6 | LCEFX | Large Value | 27.46 | 8.79 | 8.37 | - | ✓ | 16.89 | 0.82 | 7.59 | ✓ | ☐ | ✓ | 0.44 | ✓ | 2 | - | Medium Risk |
| <i>percentile rank</i> | | | 80 | 66 | 89 | - | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 1,210 | 1,149 | 1,027 | 751 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Mid Cap Index Admiral | VIMAX | Mid-Cap Blend | 36.09 | 14.74 | 14.59 | 15.55 | ✓ | 21.83 | 0.98 | 23.38 | ✓ | ☐ | ✓ | 0.05 | ✓ | 4 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 70 | 10 | 15 | 8 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 394 | 361 | 307 | 206 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| PGIM Jennison Small Company R6 | PJSQX | Small Growth | 59.03 | 17.47 | 17.24 | 15.97 | ✓ | 27.75 | 1.02 | 7.85 | ✓ | ☐ | ✖ | 0.70 | ✓ | 3 | - | - |
| <i>percentile rank</i> | | | 6 | 35 | 55 | 63 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 613 | 577 | 513 | 390 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| DFA US Small Cap Value I | DFS VX | Small Value | 73.21 | 7.92 | 10.55 | 13.46 | ✓ | 29.85 | 1.10 | 9.59 | ✓ | ☐ | ✓ | 0.39 | ✓ | 2 | - | - |
| <i>percentile rank</i> | | | 18 | 54 | 43 | 29 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 434 | 406 | 383 | 290 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Non-US Stock | | | | | | | | | | | | | | | | | | |
| American Funds New World R6 | RNWXG | Diversified Emerging Mkts | 25.28 | 15.66 | 13.54 | 10.07 | ✓ | 18.29 | 0.88 | 22.30 | ✓ | ☐ | ✓ | 0.59 | ✓ | 4 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 30 | 10 | 7 | 1 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 786 | 708 | 598 | 319 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| American Funds Intl Gr and Inc R6 | RIGGX | Foreign Large Blend | 27.05 | 9.15 | 9.09 | 8.06 | ✓ | 19.32 | 1.08 | 13.01 | ✓ | ☐ | ✓ | 0.54 | ✓ | 2 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 22 | 20 | 33 | 48 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 769 | 696 | 596 | 402 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Vanguard Developed Markets Index Admiral | VTMGX | Foreign Large Blend Index | 26.51 | 8.18 | 9.18 | 8.62 | ✓ | 18.64 | 1.04 | 8.61 | ✓ | ☐ | ✓ | 0.07 | ✓ | 2 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 26 | 40 | 30 | 28 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 769 | 696 | 596 | 402 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Alternative | | | | | | | | | | | | | | | | | | |
| Vanguard Real Estate Index Admiral | VGS LX | Real Estate | 33.51 | 12.01 | 7.54 | 11.54 | ✓ | 18.88 | 0.94 | 25.40 | ✓ | — | ✓ | 0.12 | ✓ | 3 | 1-Low | Medium Risk |
| <i>percentile rank</i> | | | 47 | 40 | 46 | 31 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 247 | 232 | 200 | 148 | | | | | | | | | | | | |
| ----- | | | | | | | | | | | | | | | | | | |
| Fixed Income | | | | | | | | | | | | | | | | | | |
| Vanguard Inflation-Protected Secs Adm | VAIPX | Inflation-Protected Bond | 5.09 | 7.29 | 4.16 | 3.02 | ✓ | 3.86 | 0.94 | 10.12 | ✓ | — | — | 0.10 | ✓ | - | - | - |
| <i>percentile rank</i> | | | 62 | 34 | 42 | 24 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 206 | 202 | 176 | 125 | | | | | | | | | | | | |

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| Name | Ticker | Asset Class | Trailing Performance and Risk | | | | Management | | | Style | | Expense | | ESG Metrics | | | | |
|-------------------------------------|--------|------------------------|-------------------------------|------|------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|------------|-----------------|---------------|-------------|
| | | | 1 Yr | 3 Yr | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio | Pass/ Fail | Sustain. Rating | Commit. Level | Carbon Risk |
| Baird Aggregate Bond Inst | BAGIX | Intermediate-Term Bond | -0.31 | 5.96 | 3.45 | 3.90 | ✓ | 3.97 | 1.08 | 21.02 | ✓ | ☒ | — | 0.30 | ✓ | — | 1-Low | — |
| <i>percentile rank</i> | | | 45 | 15 | 12 | 7 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 411 | 378 | 333 | 249 | | | | | | | | | | 10-08-2021 | | |
| PIMCO Income Instl | PIMIX | Multisector Bond | 7.00 | 5.66 | 5.33 | 7.08 | ✓ | 5.75 | 0.80 | 14.52 | ✓ | — | — | 0.62 | ✓ | — | — | — |
| <i>percentile rank</i> | | | 42 | 41 | 13 | 1 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 337 | 275 | 241 | 131 | | | | | | | | | | | | |
| Federated Hermes Govt Ultrashort R6 | FGULX | Ultrashort Bond | 0.10 | 1.41 | 1.34 | — | ✓ | 0.53 | 0.05 | 24.24 | ✓ | — | — | 0.24 | ✓ | — | — | — |
| <i>percentile rank</i> | | | 76 | 69 | 73 | — | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 241 | 213 | 169 | 88 | | | | | | | | | | | | |

Balanced

| | | | | | | | | | | | | | | | | | | |
|-------------------------------------|-------|---------------------|-------|-------|-------|-------|---|-------|------|-------|---|---|---|------|---|------------|------------|------------|
| American Funds American Balanced R6 | RLBGX | Moderate Allocation | 17.40 | 10.64 | 10.77 | 11.62 | ✓ | 10.98 | 0.94 | 22.76 | ✓ | — | ✓ | 0.26 | ✓ | 3 | 1-Low | Low Risk |
| <i>percentile rank</i> | | | 66 | 33 | 23 | 8 | | | | | | | | | | | | |
| <i># of funds in category</i> | | | 690 | 654 | 597 | 428 | | | | | | | | | | 08-31-2021 | 10-08-2021 | 06-30-2021 |