

EAST WEST MANAGEMENT INSTITUTE 401K**Screens Summary (Data as of 09-30-2021)**

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60	✓	18.82	1.00	5.43	✓	☐	✓	0.04	✓	3	1-Low	Low Risk
<i>percentile rank</i>			49	28	21	15												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	10-08-2021	06-30-2021	
Fidelity® Contrafund®	FCNTX	Large Growth	24.34	18.36	20.56	17.96	✓	19.54	0.96	31.06	✓	☐	✓	0.86	✓	3	1-Low	Low Risk
<i>percentile rank</i>			69	62	49	52												
<i># of funds in category</i>			1,240	1,138	1,033	766									08-31-2021	10-08-2021	06-30-2021	
JPMorgan Equity Income R6	OIEJX	Large Value	30.30	11.14	12.78	–	✓	18.28	0.90	17.18	✓	☐	✓	0.47	✓	3	1-Low	Low Risk
<i>percentile rank</i>			70	27	22	–												
<i># of funds in category</i>			1,210	1,149	1,027	751									08-31-2021	10-08-2021	06-30-2021	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	36.09	14.74	14.59	15.55	✓	21.83	0.98	23.38	✓	☐	✓	0.05	✓	4	1-Low	Low Risk
<i>percentile rank</i>			70	10	15	8												
<i># of funds in category</i>			394	361	307	206									08-31-2021	10-08-2021	06-30-2021	
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	30.99	15.06	17.09	16.36	✓	24.20	0.93	16.76	✓	☐	✖	0.07	✓	4	1-Low	–
<i>percentile rank</i>			81	52	56	53												
<i># of funds in category</i>			613	577	513	390									08-31-2021	10-08-2021	–	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	55.74	8.89	11.05	14.21	✓	26.22	0.96	5.43	✓	☐	✓	0.07	✓	4	1-Low	–
<i>percentile rank</i>			72	34	33	16												
<i># of funds in category</i>			434	406	383	290									08-31-2021	10-08-2021	–	
Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	25.28	15.66	13.54	10.07	✓	18.29	0.88	22.30	✓	☐	✓	0.59	✓	4	1-Low	Low Risk
<i>percentile rank</i>			30	10	7	1												
<i># of funds in category</i>			786	708	598	319									08-31-2021	10-08-2021	06-30-2021	
MFS Research International R4	MRSJX	Foreign Large Blend	21.22	10.49	10.82	8.53	✓	16.54	0.91	5.42	✓	☐	✖	0.82	✓	4	2-Basic	Low Risk
<i>percentile rank</i>			79	11	9	31												
<i># of funds in category</i>			769	696	596	402									08-31-2021	10-08-2021	06-30-2021	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	26.51	8.18	9.18	8.62	✓	18.64	1.04	8.61	✓	☐	✓	0.07	✓	2	1-Low	Low Risk
<i>percentile rank</i>			26	40	30	28												
<i># of funds in category</i>			769	696	596	402									08-31-2021	10-08-2021	06-30-2021	
Alternative																		
Vanguard Real Estate Index Admiral	VGSIX	Real Estate	33.51	12.01	7.54	11.54	✓	18.88	0.94	25.40	✓	—	✓	0.12	✓	3	1-Low	Medium Risk
<i>percentile rank</i>			47	40	46	31												
<i># of funds in category</i>			247	232	200	148									08-31-2021	10-08-2021	06-30-2021	
Fixed Income																		
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation-Protected Bond	5.51	7.58	4.38	2.96	✓	4.24	1.03	4.63	✓	—	—	0.44	✓	–	–	–
<i>percentile rank</i>			41	15	23	34												
<i># of funds in category</i>			206	202	176	125									–	–	–	

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			Updated	Updated	Updated													
Vanguard Interm-Term Bond Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBILX	Intermediate-Term Bond	-1.21 87 411	6.55 3 378	3.35 22 333	3.70 12 249	✓	4.29	1.19	13.44	✓	☒	—	0.07	✓	—	1-Low	—
Loomis Sayles Bond N <i>percentile rank</i> <i># of funds in category</i>	LSBNX	Multisector Bond	8.54 19 337	4.21 71 275	3.94 63 241	— — 131	✓	8.10	1.25	14.67	✓	—	—	0.60	✓	—	1-Low	—
PIMCO Short-Term Instl <i>percentile rank</i> <i># of funds in category</i>	PTSHX	Ultrashort Bond	0.72 31 241	1.82 38 213	2.13 14 169	1.93 12 88	✓	2.37	0.11	10.75	✓	—	—	0.47	✓	—	—	—

Target Date																		
Vanguard Target Retirement 2015 Inv <i>percentile rank</i> <i># of funds in category</i>	VTVX	Target Date	9.49 100 117	7.74 83 111	7.13 80 77	7.87 66 45	✓	7.38	0.83	8.61	✓	—	✓	0.12	✓	—	1-Low	—
Vanguard Target Retirement 2020 Inv <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	13.37 57 175	9.00 45 162	8.60 33 123	9.11 31 67	✓	9.77	0.98	8.61	✓	—	✓	0.13	✓	—	1-Low	—
Vanguard Target Retirement 2025 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	15.93 48 224	9.86 35 206	9.61 28 165	10.00 20 91	✓	11.51	1.03	8.61	✓	—	✓	0.13	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2030 Inv <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	18.29 54 225	10.45 39 205	10.44 39 165	10.78 25 87	✓	12.87	1.00	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2035 Inv <i>percentile rank</i> <i># of funds in category</i>	VTTX	Target Date	20.60 70 217	11.01 43 203	11.24 41 162	11.54 30 88	✓	14.23	0.96	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2040 Inv <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	23.00 74 219	11.55 48 205	12.03 37 165	12.08 31 87	✓	15.60	0.95	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2045 Inv <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	25.42 63 217	12.17 40 203	12.62 34 162	12.38 23 87	✓	16.85	0.97	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2050 Inv <i>percentile rank</i> <i># of funds in category</i>	VVFX	Target Date	25.65 77 219	12.24 41 205	12.66 41 165	12.40 32 79	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2055 Inv <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	25.61 80 217	12.24 42 203	12.65 48 159	12.39 37 66	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2060 Inv <i>percentile rank</i> <i># of funds in category</i>	VVTSX	Target Date	25.60 81 211	12.23 49 188	12.64 59 125	— — —	✓	16.86	1.46	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
Vanguard Target Retirement 2065 Inv <i>percentile rank</i> <i># of funds in category</i>	VLVX	Target Date	25.59 83 120	12.18 64 17	— — —	— — —	✘	16.88	1.46	4.22	✓	—	✓	0.15	✓	3	1-Low	Low Risk

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															Updated	Updated	Updated	
Vanguard Target Retirement Income Inv	VTINX	Target Date	8.48	7.53	6.22	6.08	✓	6.25	0.84	8.61	✓	—	✓	0.12	✓	—	1-Low	—
<i>percentile rank</i>			<i>60</i>	<i>34</i>	<i>43</i>	<i>38</i>												
<i># of funds in category</i>			<i>169</i>	<i>152</i>	<i>122</i>	<i>79</i>										10-08-2021	—	
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	10.28	8.47	7.31	7.33	✓	7.95	1.01	27.02	✓	—	✓	0.12	✓	—	1-Low	—
<i>percentile rank</i>			<i>83</i>	<i>33</i>	<i>36</i>	<i>34</i>												
<i># of funds in category</i>			<i>516</i>	<i>481</i>	<i>429</i>	<i>276</i>										10-08-2021	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	22.47	11.54	11.60	11.42	✓	15.04	1.02	27.02	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			<i>66</i>	<i>24</i>	<i>25</i>	<i>26</i>												
<i># of funds in category</i>			<i>312</i>	<i>289</i>	<i>263</i>	<i>189</i>										08-31-2021	10-08-2021	06-30-2021
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.52	6.73	5.06	5.17	✓	4.87	0.96	27.02	✓	—	✓	0.11	✓	—	1-Low	—
<i>percentile rank</i>			<i>82</i>	<i>29</i>	<i>50</i>	<i>51</i>												
<i># of funds in category</i>			<i>196</i>	<i>180</i>	<i>165</i>	<i>108</i>										10-08-2021	—	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	16.25	10.04	9.47	9.39	✓	11.44	0.99	27.02	✓	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			<i>82</i>	<i>46</i>	<i>53</i>	<i>56</i>												
<i># of funds in category</i>			<i>690</i>	<i>654</i>	<i>597</i>	<i>428</i>										08-31-2021	10-08-2021	06-30-2021