

HARSCH AND OSBORNE MD PC 401K PLAN

Screens Summary (Data as of 09-30-2021)

⊖ Fund Removed from Approved List ! Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management Style				Expense			ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
			Updated	Updated	Updated													
US Stock																		
American Funds Invmt Co of Amer R6	RICGX	Large Blend	28.03	13.03	13.88	14.98	✓	17.33	0.91	29.60	✓	☐	✓	0.27	✓	2	-	Low Risk
<i>percentile rank</i>			71	74	80	64												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	-	06-30-2021	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60	✓	18.82	1.00	5.43	✓	☐	✓	0.04	✓	3	1-Low	Low Risk
<i>percentile rank</i>			49	28	21	15												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	10-08-2021	06-30-2021	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	36.09	14.74	14.59	15.55	✓	21.83	0.98	23.38	✓	☐	✓	0.05	✓	4	1-Low	Low Risk
<i>percentile rank</i>			70	10	15	8												
<i># of funds in category</i>			394	361	307	206									08-31-2021	10-08-2021	06-30-2021	
Vanguard Small Cap Index Adm	VSMAX	Small Blend	44.06	11.98	13.97	15.33	✓	24.68	0.95	5.43	✓	☐	✘	0.05	✓	4	1-Low	-
<i>percentile rank</i>			82	19	15	11												
<i># of funds in category</i>			641	603	525	360									08-31-2021	10-08-2021	-	
Non-US Stock																		
American Funds Europacific Growth R6	RERGX	Foreign Large Growth	24.76	13.21	12.17	10.63	✓	18.91	1.02	20.35	✓	☐	✓	0.46	✓	2	1-Low	Low Risk
<i>percentile rank</i>			21	37	40	35												
<i># of funds in category</i>			439	383	322	225									08-31-2021	10-08-2021	06-30-2021	
Fixed Income																		
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	6.03	7.14	4.12	2.86	✓	4.41	1.07	19.85	✓	—	—	0.27	✓	-	-	-
<i>percentile rank</i>			15	38	43	40												
<i># of funds in category</i>			206	202	176	125												
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	-0.92	5.41	2.93	2.96	✓	3.71	1.05	8.61	✓	☐	—	0.05	✓	-	1-Low	-
<i>percentile rank</i>			69	42	52	59												
<i># of funds in category</i>			411	378	333	249										-	10-08-2021	-
Federated Hermes Govt Ultrashort IS	FGUSX	Ultrashort Bond	0.08	1.39	1.32	0.82	✘	0.53	0.05	24.24	✓	—	—	0.26	✓	-	-	-
<i>percentile rank</i>			78	70	74	80												
<i># of funds in category</i>			241	213	169	88												
Target Date																		
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	9.49	7.74	7.13	7.87	✓	7.38	0.83	8.61	✓	—	✓	0.12	✓	-	1-Low	-
<i>percentile rank</i>			100	83	80	66												
<i># of funds in category</i>			117	111	77	45										-	10-08-2021	-
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	13.37	9.00	8.60	9.11	✓	9.77	0.98	8.61	✓	—	✓	0.13	✓	-	1-Low	-
<i>percentile rank</i>			57	45	33	31												
<i># of funds in category</i>			175	162	123	67										-	10-08-2021	-
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	15.93	9.86	9.61	10.00	✓	11.51	1.03	8.61	✓	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			48	35	28	20												
<i># of funds in category</i>			224	206	165	91										08-31-2021	10-08-2021	06-30-2021

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														Updated	Updated	Updated		
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	18.29	10.45	10.44	10.78	✓	12.87	1.00	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			54	39	39	25												
<i># of funds in category</i>			225	205	165	87												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2035 Inv	VTHX	Target Date	20.60	11.01	11.24	11.54	✓	14.23	0.96	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			70	43	41	30												
<i># of funds in category</i>			217	203	162	88												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2040 Inv	VFORX	Target Date	23.00	11.55	12.03	12.08	✓	15.60	0.95	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			74	48	37	31												
<i># of funds in category</i>			219	205	165	87												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2045 Inv	VTIVX	Target Date	25.42	12.17	12.62	12.38	✓	16.85	0.97	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
<i>percentile rank</i>			63	40	34	23												
<i># of funds in category</i>			217	203	162	87												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2050 Inv	VFIX	Target Date	25.65	12.24	12.66	12.40	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
<i>percentile rank</i>			77	41	41	32												
<i># of funds in category</i>			219	205	165	79												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2055 Inv	VFFVX	Target Date	25.61	12.24	12.65	12.39	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
<i>percentile rank</i>			80	42	48	37												
<i># of funds in category</i>			217	203	159	66												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2060 Inv	VTSX	Target Date	25.60	12.23	12.64	—	✓	16.86	1.46	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk
<i>percentile rank</i>			81	49	59	—												
<i># of funds in category</i>			211	188	125	—												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2065 Inv	VLXVX	Target Date	25.59	12.18	—	—	✗	16.88	1.46	4.22	✓	—	✓	0.15	✓	3	1-Low	Low Risk
<i>percentile rank</i>			83	64	—	—												
<i># of funds in category</i>			120	17	—	—												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement Income Inv	VTINX	Target Date	8.48	7.53	6.22	6.08	✓	6.25	0.84	8.61	✓	—	✓	0.12	✓	—	1-Low	—
<i>percentile rank</i>			60	34	43	38												
<i># of funds in category</i>			169	152	122	79												
															—	10-08-2021	—	

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	10.28	8.47	7.31	7.33	✓	7.95	1.01	27.02	✓	—	✓	0.12	✓	—	1-Low	—
<i>percentile rank</i>			83	33	36	34												
<i># of funds in category</i>			516	481	429	276												
															—	10-08-2021	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	22.47	11.54	11.60	11.42	✓	15.04	1.02	27.02	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			66	24	25	26												
<i># of funds in category</i>			312	289	263	189												
															08-31-2021	10-08-2021	06-30-2021	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.52	6.73	5.06	5.17	✓	4.87	0.96	27.02	✓	—	✓	0.11	✓	—	1-Low	—
<i>percentile rank</i>			82	29	50	51												
<i># of funds in category</i>			196	180	165	108												
															—	10-08-2021	—	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	16.25	10.04	9.47	9.39	✓	11.44	0.99	27.02	✓	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			82	46	53	56												
<i># of funds in category</i>			690	654	597	428												
															08-31-2021	10-08-2021	06-30-2021	