

HUNTLEIGH SECURITIES CORP 401K PLAN

Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk								Management Style			Expense		ESG Metrics		
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
			Updated Updated Updated															
US Stock																		
JPMorgan US Equity R6	JUEMX	Large Blend	30.61	17.75	18.27	17.46		19.62	1.03	7.12				0.44		3	-	Low Risk
percentile rank			42	8	4	3												
# of funds in category			1,391	1,267	1,112	819												
Vanguard FTSE Social Index Admiral	VFTAX	Large Blend Index	29.44	-	-	-		-	-	5.78				0.14		4	2-Basic	Low Risk
percentile rank			60	-	-	-												
# of funds in category			1,391	1,267	1,112	819												
JPMorgan Large Cap Growth R6	JLGMX	Large Growth	22.36	24.86	26.99	20.42		21.98	1.06	17.17				0.44		2	2-Basic	Low Risk
percentile rank			82	9	5	11												
# of funds in category			1,240	1,138	1,033	766												
American Funds Washington Mutual R6	RWMGX	Large Value	28.35	12.45	14.21	14.57		17.28	0.90	24.27				0.27		2	1-Low	Low Risk
percentile rank			69	81	76	72												
# of funds in category			1,391	1,267	1,112	819												
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	24.11	19.37	20.61	-		19.56	0.86	12.87				0.70		5	2-Basic	Low Risk
percentile rank			88	36	27	-												
# of funds in category			586	551	497	388												
Wells Fargo Special Mid Cap Value R6	WFPRX	Mid-Cap Value	40.44	12.31	11.30	-		22.98	0.97	12.70				0.71		3	-	Medium Risk
percentile rank			76	14	32	-												
# of funds in category			410	393	350	252												
MFS New Discovery R6	MNDKX	Small Growth	33.71	21.66	22.67	-		23.93	0.91	7.75				0.93		5	-	-
percentile rank			71	12	17	-												
# of funds in category			613	577	513	390												
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	55.74	8.89	11.05	14.21		26.22	0.96	5.43				0.07		4	1-Low	-
percentile rank			72	34	33	16												
# of funds in category			434	406	383	290												
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	25.28	15.66	13.54	10.07		18.29	0.88	22.30				0.59		4	1-Low	Low Risk
percentile rank			30	10	7	1												
# of funds in category			786	708	598	319												
American Funds Europacific Growth R6	REGX	Foreign Large Growth	24.76	13.21	12.17	10.63		18.91	1.02	20.35				0.46		2	1-Low	Low Risk
percentile rank			21	37	40	35												
# of funds in category			439	383	322	225												
Vanguard International Growth Adm	VWILX	Foreign Large Growth	24.80	22.26	20.14	14.95		21.51	1.05	18.66				0.33		3	-	Low Risk
percentile rank			21	3	2	3												
# of funds in category			439	383	322	225												
Vanguard International Value Inv	VTRIX	Foreign Large Value	30.88	6.94	8.49	7.89		20.03	1.10	11.35				0.35		2	-	Medium Risk
percentile rank			41	12	4	13												
# of funds in category			349	319	271	181												
American Funds New Perspective R6	RNPGX	World Stock	29.71	18.83	18.28	15.77		19.24	1.04	20.84		-		0.42		2	2-Basic	Low Risk
percentile rank			20	27	29	21												
# of funds in category			342	298	254	159												

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																Updated	Updated	Updated
Alternative																		
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	34.55	6.45	3.69	-	✓	16.68	0.97	10.84	✓	-	-	1.15	✓	-	-	-
percentile rank			94	59	76	-												
# of funds in category			113	108	85	52												
Neuberger Berman Real Estate R6	NRREX	Real Estate	29.89	14.73	10.23	-	✓	16.33	0.79	15.76	✓	-	✘	0.76	✓	3	-	Medium Risk
percentile rank			83	7	5	-												
# of funds in category			247	232	200	148									08-31-2021	-	06-30-2021	
Fixed Income																		
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	0.86	6.15	4.10	-	✓	3.93	1.03	24.76	✓	-	-	0.38	✓	-	1-Low	-
percentile rank			59	31	20	-												
# of funds in category			614	571	498	357										10-08-2021		
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	8.02	6.45	5.75	6.73	✓	8.34	0.87	13.64	✓	-	-	0.13	✓	-	-	-
percentile rank			81	31	39	35												
# of funds in category			680	633	555	361												
PIMCO Real Return Instl	PRRIX	Inflation-Protected Bond	5.57	7.63	4.59	3.28	✓	4.27	1.03	2.73	✘	-	-	0.47	✓	-	-	-
percentile rank			38	12	11	7												
# of funds in category			206	202	176	125												
Western Asset Core Bond IS	WACSX	Intermediate-Term Bond	0.30	6.12	3.82	4.10	✓	4.31	1.09	14.76	✓	■	-	0.43	✓	-	-	-
percentile rank			26	13	3	3												
# of funds in category			411	378	333	249												
Lincoln Stable Value Account -LNGPA		Stable Value	-	-	-	-	-	-	-	38.44	✓	-	-	-	-	-	-	-
percentile rank			-	-	-	-												
# of funds in category			30	-	-	-												
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	0.72	1.82	2.13	1.93	✓	2.37	0.11	10.75	✓	-	-	0.47	✓	-	-	-
percentile rank			31	38	14	12												
# of funds in category			241	213	169	88												
PIMCO International Bond (USD-Hdg) Instl	PFORX	World Bond	0.75	4.22	3.44	5.06	✓	3.54	0.64	7.02	✓	-	-	0.52	✓	-	-	-
percentile rank			39	56	14	10												
# of funds in category			123	104	77	47												
Balanced																		
American Funds American Balanced R6	RLBGX	Moderate Allocation	17.40	10.64	10.77	11.62	✓	10.98	0.94	22.76	✓	-	✓	0.26	✓	3	1-Low	Low Risk
percentile rank			66	33	23	8												
# of funds in category			690	654	597	428									08-31-2021	10-08-2021	06-30-2021	