

THE INSTITUTE OF WORLD POLITICS 403

Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk		
																Updated	Updated	Updated	
US Stock																			
Columbia Contrarian Core Inst3	COFYX	Large Blend	31.17	16.84	16.22	-	✓	19.51	1.03	16.51	✓	☐	✓	0.64	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			34	14	40	-													
<i># of funds in category</i>			1,391	1,267	1,112	819											08-31-2021	10-08-2021	06-30-2021
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60	✓	18.82	1.00	5.43	✓	☐	✓	0.04	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			49	28	21	15													
<i># of funds in category</i>			1,391	1,267	1,112	819											08-31-2021	10-08-2021	06-30-2021
MFS Growth R6	MFEKX	Large Growth	23.59	20.76	22.40	19.30	✓	18.61	0.91	19.51	✓	☐	✓	0.53	✓	4	2-Basic	Low Risk	
<i>percentile rank</i>			74	37	28	25													
<i># of funds in category</i>			1,240	1,138	1,033	766											08-31-2021	10-08-2021	06-30-2021
Invesco Growth and Income R6	GIFFX	Large Value	47.16	9.36	11.43	-	✓	23.69	1.16	18.60	✓	☐	✓	0.39	✓	2	-	Medium Risk	
<i>percentile rank</i>			9	55	44	-													
<i># of funds in category</i>			1,210	1,149	1,027	751											08-31-2021	-	06-30-2021
Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	31.82	19.21	18.17	16.73	✓	21.52	0.97	8.61	✓	☐	✓	0.07	✓	4	1-Low	Low Risk	
<i>percentile rank</i>			47	37	52	47													
<i># of funds in category</i>			586	551	497	388											08-31-2021	10-08-2021	06-30-2021
Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	40.57	9.88	10.82	14.15	✓	23.22	0.99	15.13	✓	☐	✓	0.07	✓	4	1-Low	Medium Risk	
<i>percentile rank</i>			76	42	43	15													
<i># of funds in category</i>			410	393	350	252											08-31-2021	10-08-2021	06-30-2021
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	30.99	15.06	17.09	16.36	✓	24.20	0.93	16.76	✓	☐	✗	0.07	✓	4	1-Low	-	
<i>percentile rank</i>			81	52	56	53													
<i># of funds in category</i>			613	577	513	390											08-31-2021	10-08-2021	-
JPMorgan Small Cap Value R6	JSVUX	Small Value	67.46	9.10	9.99	13.27	✓	26.76	0.99	10.92	✓	☐	✓	0.76	✓	2	-	-	
<i>percentile rank</i>			29	31	54	30													
<i># of funds in category</i>			434	406	383	290											08-31-2021	-	-
Non-US Stock																			
DFA Emerging Markets I	DFEMX	Diversified Emerging Mkts	23.17	8.14	8.69	6.06	✓	19.91	1.01	11.59	✓	☐	✓	0.35	✓	2	-	Medium Risk	
<i>percentile rank</i>			37	62	53	52													
<i># of funds in category</i>			786	708	598	319											08-31-2021	-	06-30-2021
American Funds Europacific Growth R6	REGX	Foreign Large Growth	24.76	13.21	12.17	10.63	✓	18.91	1.02	20.35	✓	☐	✓	0.46	✓	2	1-Low	Low Risk	
<i>percentile rank</i>			21	37	40	35													
<i># of funds in category</i>			439	383	322	225											08-31-2021	10-08-2021	06-30-2021
MFS Intl International Equity	MIEIX	Foreign Large Growth	22.93	10.96	11.51	10.05	✓	17.07	0.93	12.01	✓	☐	✗	0.70	✓	4	2-Basic	Low Risk	
<i>percentile rank</i>			67	8	4	6													
<i># of funds in category</i>			769	696	596	402											08-31-2021	10-08-2021	06-30-2021
Vanguard International Value Inv	VTRIX	Foreign Large Value	30.88	6.94	8.49	7.89	✓	20.03	1.10	11.35	✓	☐	✓	0.35	✓	2	-	Medium Risk	
<i>percentile rank</i>			41	12	4	13													
<i># of funds in category</i>			349	319	271	181											08-31-2021	-	06-30-2021
American Funds Capital World Gr&Inc R6	RWIGX	World Stock	23.94	11.65	12.10	12.01	✓	17.04	0.93	15.67	✓	-	✓	0.42	✓	2	-	Low Risk	
<i>percentile rank</i>			66	49	53	41													
<i># of funds in category</i>			339	288	254	147											08-31-2021	-	06-30-2021

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Alternative																			
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	34.55	6.45	3.69	-	✓	16.68	0.97	10.84	✓	-	-	1.15	✓	-	-	-	
<i>percentile rank</i>			94	59	76	-													
<i># of funds in category</i>			113	108	85	52													
Invesco Global Real Estate R6	FGREX	Global Real Estate	22.69	5.39	4.27	-	✓	18.82	0.92	16.43	✓	☒	✖	0.85	✓	3	-	Medium Risk	
<i>percentile rank</i>			77	76	82	-													
<i># of funds in category</i>			201	197	175	110									08-31-2021	-	06-30-2021		
Fixed Income																			
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	0.53	6.21	3.83	4.10	✓	4.24	1.07	21.02	✓	-	-	0.30	✓	-	1-Low	-	
<i>percentile rank</i>			69	27	30	29													
<i># of funds in category</i>			614	571	498	357										10-08-2021	-		
BlackRock High Yield Bond K	BRHYX	High Yield Bond	11.54	6.71	6.70	7.55	✓	9.69	1.02	13.76	✓	-	-	0.51	✓	-	2-Basic	-	
<i>percentile rank</i>			30	22	12	7													
<i># of funds in category</i>			680	633	555	361										10-08-2021	-		
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	5.09	7.29	4.16	3.02	✓	3.86	0.94	10.12	✓	-	-	0.10	✓	-	-	-	
<i>percentile rank</i>			62	34	42	24													
<i># of funds in category</i>			206	202	176	125													
Western Asset Core Bond IS	WACSX	Intermediate-Term Bond	0.30	6.12	3.82	4.10	✓	4.31	1.09	14.76	✓	☒	-	0.43	✓	-	-	-	
<i>percentile rank</i>			26	13	3	3													
<i># of funds in category</i>			411	378	333	249													
Lincoln Stable Value Account -LNGPA		Stable Value	-	-	-	-	-	-	-	38.44	✓	-	-	-	-	-	-	-	
<i>percentile rank</i>			-	-	-	-													
<i># of funds in category</i>			30	-	-	-													
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	0.72	1.82	2.13	1.93	✓	2.37	0.11	10.75	✓	-	-	0.47	✓	-	-	-	
<i>percentile rank</i>			31	38	14	12													
<i># of funds in category</i>			241	213	169	88													
PIMCO International Bond (USD-Hdg) Instl	PFORX	World Bond	0.75	4.22	3.44	5.06	✓	3.54	0.64	7.02	✓	-	-	0.52	✓	-	-	-	
<i>percentile rank</i>			39	56	14	10													
<i># of funds in category</i>			123	104	77	47													
Target Date																			
JPMorgan SmartRetirement® 2020 R6	JTYX	Target Date	11.35	7.52	7.36	-	✓	8.96	0.89	13.25	✓	-	✓	0.41	✓	-	-	-	
<i>percentile rank</i>			79	82	76	-													
<i># of funds in category</i>			175	162	123	67													
JPMorgan SmartRetirement® 2025 R6	JNSYX	Target Date	15.13	8.71	8.68	-	✓	11.14	0.99	13.25	✓	-	✓	0.43	✓	3	-	-	
<i>percentile rank</i>			60	72	66	-													
<i># of funds in category</i>			224	206	165	91									03-31-2021	-	-		
JPMorgan SmartRetirement® 2030 R6	JSMYX	Target Date	18.19	9.50	9.79	-	✖	13.00	1.01	13.25	✓	-	✓	0.44	✓	3	-	Low Risk	
<i>percentile rank</i>			55	69	65	-													
<i># of funds in category</i>			225	205	165	87									08-31-2021	-	03-31-2021		

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
JPMorgan SmartRetirement® 2035 R6	SRJYX	Target Date	22.55	10.79	10.94	–	✔	15.08	1.01	13.25	✔	–	✔	0.45	✔	4	–	Low Risk	
<i>percentile rank</i>			32	54	56	–													
<i># of funds in category</i>			217	203	162	88									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® 2040 R6	SMTYX	Target Date	25.21	11.46	11.73	–	✔	16.52	1.00	13.25	✔	–	✔	0.46	✔	4	–	Low Risk	
<i>percentile rank</i>			33	49	50	–													
<i># of funds in category</i>			219	205	165	87									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® 2045 R6	JSAYX	Target Date	27.49	12.02	12.15	–	✔	17.52	1.01	13.25	✔	–	✔	0.46	✔	5	–	Low Risk	
<i>percentile rank</i>			26	43	50	–													
<i># of funds in category</i>			217	203	162	87									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® 2050 R6	JTSYX	Target Date	27.46	12.02	12.13	–	✔	17.50	0.99	13.25	✔	–	✔	0.46	✔	5	–	Low Risk	
<i>percentile rank</i>			36	49	62	–													
<i># of funds in category</i>			219	205	165	79									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® 2055 R6	JFFYX	Target Date	27.47	12.04	12.13	–	✔	17.46	0.98	9.67	✔	–	✔	0.45	✔	5	–	Low Risk	
<i>percentile rank</i>			46	50	64	–													
<i># of funds in category</i>			217	203	159	66									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® 2060 R6	JAKYX	Target Date	27.45	12.03	12.29	–	✖	17.42	1.50	5.08	✔	–	✔	0.44	✔	5	–	Low Risk	
<i>percentile rank</i>			53	54	68	–													
<i># of funds in category</i>			211	188	125	–									08-31-2021	–	06-30-2021		
JPMorgan SmartRetirement® Income R6	JSIYX	Target Date	10.87	7.36	6.59	–	✔	8.25	1.10	13.25	✔	–	✔	0.40	✔	–	–	–	
<i>percentile rank</i>			26	38	24	–													
<i># of funds in category</i>			169	152	122	79													

Balanced

Invesco Equity and Income R6	IEIFX	Moderate Allocation	31.94	10.14	10.19	–	✔	16.26	1.33	18.43	✔	–	✔	0.39	✔	1	–	Medium Risk
<i>percentile rank</i>			7	43	34	–												
<i># of funds in category</i>			690	654	597	428									08-31-2021	–	06-30-2021	