

# PIONEER SPINE AND SPORTS PHYSICIANS

## Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management Style				Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
														Updated	Updated	Updated		
<b>US Stock</b>																		
T. Rowe Price Dividend Growth	PRDGX	Large Blend	26.56	15.35	15.31	15.70		16.64	0.86	21.52				0.63		4	-	Low Risk
percentile rank			80	43	57	46												
# of funds in category			1,391	1,267	1,112	819												
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60		18.82	1.00	5.43				0.04		3	1-Low	Low Risk
percentile rank			49	28	21	15												
# of funds in category			1,391	1,267	1,112	819												
American Funds Growth Fund of Amer R6	RGAGX	Large Growth	30.43	19.24	20.12	18.53		19.52	0.95	27.93				0.30		1	-	Low Risk
percentile rank			19	54	53	41												
# of funds in category			1,240	1,138	1,033	766												
Invesco Growth and Income Y	ACGMX	Large Value	46.92	9.18	11.24	13.09		23.68	1.16	18.60				0.56		2	-	Medium Risk
percentile rank			9	58	49	42												
# of funds in category			1,210	1,149	1,027	751												
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	24.11	19.37	20.61	-		19.56	0.86	12.87				0.70		5	2-Basic	Low Risk
percentile rank			88	36	27	-												
# of funds in category			586	551	497	388												
Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	40.57	9.88	10.82	14.15		23.22	0.99	15.13				0.07		4	1-Low	Medium Risk
percentile rank			76	42	43	15												
# of funds in category			410	393	350	252												
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	30.99	15.06	17.09	16.36		24.20	0.93	16.76				0.07		4	1-Low	-
percentile rank			81	52	56	53												
# of funds in category			613	577	513	390												
Victory Sycamore Small Company Opp I	VSOIX	Small Value	48.95	9.52	12.50	14.39		22.95	0.83	23.35				0.93		3	-	-
percentile rank			89	26	14	15												
# of funds in category			434	406	383	290												
<b>Non-US Stock</b>																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	25.28	15.66	13.54	10.07		18.29	0.88	22.30				0.59		4	1-Low	Low Risk
percentile rank			30	10	7	1												
# of funds in category			786	708	598	319												
American Funds Europacific Growth R6	REERG	Foreign Large Growth	24.76	13.21	12.17	10.63		18.91	1.02	20.35				0.46		2	1-Low	Low Risk
percentile rank			21	37	40	35												
# of funds in category			439	383	322	225												
Invesco Oppenheimer International Gr Y	OIGYX	Foreign Large Growth	23.80	13.16	10.46	10.15		18.55	0.99	25.53				0.88		4	-	Low Risk
percentile rank			25	39	76	52												
# of funds in category			439	383	322	225												
Vanguard International Value Inv	VTRIX	Foreign Large Value	30.88	6.94	8.49	7.89		20.03	1.10	11.35				0.35		2	-	Medium Risk
percentile rank			41	12	4	13												
# of funds in category			349	319	271	181												
American Funds New Perspective R6	RNPGX	World Stock	29.71	18.83	18.28	15.77		19.24	1.04	20.84		-		0.42		2	2-Basic	Low Risk
percentile rank			20	27	29	21												
# of funds in category			342	298	254	159												

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<b>Alternative</b>																		
PIMCO Commodity Real Return Strat I2	PCRPX	Commodities Broad Basket	50.56	9.97	6.45	-1.61	✓	18.79	1.20	6.75	✓	—	—	0.92	✓	—	—	—
<i>percentile rank</i>			18	8	21	44												
<i># of funds in category</i>			113	108	85	52												
American Century Real Estate I	REAIX	Real Estate	29.91	11.02	6.95	11.14	✓	18.52	0.91	12.88	✓	—	✓	0.96	✓	3	—	Medium Risk
<i>percentile rank</i>			82	58	59	46												
<i># of funds in category</i>			247	232	200	148									08-31-2021	—	06-30-2021	
<b>Fixed Income</b>																		
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	11.59	6.65	6.59	7.46	✓	9.75	1.03	13.76	✓	—	—	0.62	✓	—	2-Basic	—
<i>percentile rank</i>			30	24	13	7												
<i># of funds in category</i>			680	633	555	361										10-08-2021		
American Century Inflation Adjs Bond R5	AIANX	Inflation-Protected Bond	6.03	7.14	4.12	2.86	✓	4.41	1.07	19.85	✓	—	—	0.27	✓	—	—	—
<i>percentile rank</i>			15	38	43	40												
<i># of funds in category</i>			206	202	176	125												
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	-0.92	5.41	2.93	2.96	✓	3.71	1.05	8.61	✓	🇺🇸	—	0.05	✓	—	1-Low	—
<i>percentile rank</i>			69	42	52	59												
<i># of funds in category</i>			411	378	333	249										10-08-2021		
PIMCO Income I2	PONPX	Multisector Bond	6.89	5.55	5.23	6.99	✓	5.75	0.80	14.52	✓	—	—	0.72	✓	—	—	—
<i>percentile rank</i>			43	45	17	2												
<i># of funds in category</i>			337	275	241	131												
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	0.62	1.72	2.02	1.82	✓	2.37	0.11	10.75	✓	—	—	0.57	✘	—	—	—
<i>percentile rank</i>			39	46	17	16												
<i># of funds in category</i>			241	213	169	88												
<span style="color: red;">-</span> Templeton Global Bond Adv	TGBAX	World Bond	-3.64	-2.03	0.83	1.90	✘	5.21	-0.39	19.76	✓	—	✓	0.67	✓	—	—	—
<i>percentile rank</i>			96	94	91	90												
<i># of funds in category</i>			348	307	280	120												
<b>Target Date</b>																		
Vanguard Target Retirement 2015 Inv	VTXVX	Target Date	9.49	7.74	7.13	7.87	✓	7.38	0.83	8.61	✓	—	✓	0.12	✓	—	1-Low	—
<i>percentile rank</i>			100	83	80	66												
<i># of funds in category</i>			117	111	77	45										10-08-2021		
Vanguard Target Retirement 2020 Inv	VTWNX	Target Date	13.37	9.00	8.60	9.11	✓	9.77	0.98	8.61	✓	—	✓	0.13	✓	—	1-Low	—
<i>percentile rank</i>			57	45	33	31												
<i># of funds in category</i>			175	162	123	67										10-08-2021		
Vanguard Target Retirement 2025 Inv	VTTVX	Target Date	15.93	9.86	9.61	10.00	✓	11.51	1.03	8.61	✓	—	✓	0.13	✓	3	1-Low	Low Risk
<i>percentile rank</i>			48	35	28	20												
<i># of funds in category</i>			224	206	165	91									08-31-2021	10-08-2021	06-30-2021	
Vanguard Target Retirement 2030 Inv	VTHRX	Target Date	18.29	10.45	10.44	10.78	✓	12.87	1.00	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk
<i>percentile rank</i>			54	39	39	25												
<i># of funds in category</i>			225	205	165	87									08-31-2021	10-08-2021	06-30-2021	

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																	Updated	Updated	Updated	
Vanguard Target Retirement 2035 Inv	VTHX	Target Date	20.60	11.01	11.24	11.54	✓	14.23	0.96	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			70	43	41	30														
<i># of funds in category</i>			217	203	162	88												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2040 Inv	VFORX	Target Date	23.00	11.55	12.03	12.08	✓	15.60	0.95	8.61	✓	—	✓	0.14	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			74	48	37	31														
<i># of funds in category</i>			219	205	165	87												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2045 Inv	VTIVX	Target Date	25.42	12.17	12.62	12.38	✓	16.85	0.97	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			63	40	34	23														
<i># of funds in category</i>			217	203	162	87												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2050 Inv	VFIX	Target Date	25.65	12.24	12.66	12.40	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			77	41	41	32														
<i># of funds in category</i>			219	205	165	79												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2055 Inv	VFFVX	Target Date	25.61	12.24	12.65	12.39	✓	16.87	0.95	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			80	42	48	37														
<i># of funds in category</i>			217	203	159	66												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2060 Inv	VTSX	Target Date	25.60	12.23	12.64	—	✓	16.86	1.46	8.61	✓	—	✓	0.15	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			81	49	59	—														
<i># of funds in category</i>			211	188	125	—												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement 2065 Inv	VLXVX	Target Date	25.59	12.18	—	—	✘	16.88	1.46	4.22	✓	—	✓	0.15	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			83	64	—	—														
<i># of funds in category</i>			120	17	—	—												08-31-2021	10-08-2021	06-30-2021
Vanguard Target Retirement Income Inv	VTINX	Target Date	8.48	7.53	6.22	6.08	✓	6.25	0.84	8.61	✓	—	✓	0.12	✓	—	1-Low	—		
<i>percentile rank</i>			60	34	43	38														
<i># of funds in category</i>			169	152	122	79												—	10-08-2021	—

### Balanced

Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	10.28	8.47	7.31	7.33	✓	7.95	1.01	27.02	✓	—	✓	0.12	✓	—	1-Low	—		
<i>percentile rank</i>			83	33	36	34														
<i># of funds in category</i>			516	481	429	276												—	10-08-2021	—
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	22.47	11.54	11.60	11.42	✓	15.04	1.02	27.02	✓	—	✓	0.14	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			66	24	25	26														
<i># of funds in category</i>			312	289	263	189												08-31-2021	10-08-2021	06-30-2021
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.52	6.73	5.06	5.17	✓	4.87	0.96	27.02	✓	—	✓	0.11	✓	—	1-Low	—		
<i>percentile rank</i>			82	29	50	51														
<i># of funds in category</i>			196	180	165	108												—	10-08-2021	—
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	16.25	10.04	9.47	9.39	✓	11.44	0.99	27.02	✓	—	✓	0.13	✓	3	1-Low	Low Risk		
<i>percentile rank</i>			82	46	53	56														
<i># of funds in category</i>			690	654	597	428												08-31-2021	10-08-2021	06-30-2021