

RECORD 401K PLAN

Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management Style				Expense		ESG Metrics					
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
			Updated	Updated	Updated													
US Stock																		
JPMorgan US Equity R6	JUEMX	Large Blend	30.61	17.75	18.27	17.46	✔	19.62	1.03	7.12	✔	☒	✖	0.44	✔	3	-	Low Risk
<i>percentile rank</i>			42	8	4	3												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	-	06-30-2021	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60	✔	18.82	1.00	5.43	✔	☒	✔	0.04	✔	3	1-Low	Low Risk
<i>percentile rank</i>			49	28	21	15												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	10-08-2021	06-30-2021	
Vanguard Growth Index Admiral	VIGAX	Large Growth	28.07	22.77	22.15	19.32	✔	20.36	1.01	26.77	✔	☒	✔	0.05	✔	4	1-Low	Low Risk
<i>percentile rank</i>			36	18	31	25												
<i># of funds in category</i>			1,240	1,138	1,033	766									08-31-2021	10-08-2021	06-30-2021	
JPMorgan Equity Income R6	OIEJX	Large Value	30.30	11.14	12.78	-	✔	18.28	0.90	17.18	✔	☒	✔	0.47	✔	3	1-Low	Low Risk
<i>percentile rank</i>			70	27	22	-												
<i># of funds in category</i>			1,210	1,149	1,027	751									08-31-2021	10-08-2021	06-30-2021	
Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	31.82	19.21	18.17	16.73	✔	21.52	0.97	8.61	✔	☒	✔	0.07	✔	4	1-Low	Low Risk
<i>percentile rank</i>			47	37	52	47												
<i># of funds in category</i>			586	551	497	388									08-31-2021	10-08-2021	06-30-2021	
American Century Mid Cap Value I	AVUAX	Mid-Cap Value	34.32	8.77	9.63	13.50	✔	20.96	0.88	17.51	✔	☒	✔	0.78	✔	3	-	Medium Risk
<i>percentile rank</i>			90	65	72	36												
<i># of funds in category</i>			410	393	350	252									08-31-2021	-	06-30-2021	
Wells Fargo Small Company Growth Inst	WSCGX	Small Growth	38.56	12.05	16.02	16.27	✔	25.85	0.98	26.90	✔	☒	✔	0.94	✔	3	-	-
<i>percentile rank</i>			49	73	67	54												
<i># of funds in category</i>			613	577	513	390									08-31-2021	-	-	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	55.74	8.89	11.05	14.21	✔	26.22	0.96	5.43	✔	☒	✔	0.07	✔	4	1-Low	-
<i>percentile rank</i>			72	34	33	16												
<i># of funds in category</i>			434	406	383	290									08-31-2021	10-08-2021	-	
Non-US Stock																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	25.28	15.66	13.54	10.07	✔	18.29	0.88	22.30	✔	☒	✔	0.59	✔	4	1-Low	Low Risk
<i>percentile rank</i>			30	10	7	1												
<i># of funds in category</i>			786	708	598	319									08-31-2021	10-08-2021	06-30-2021	
American Funds Europacific Growth R6	REGGX	Foreign Large Growth	24.76	13.21	12.17	10.63	✔	18.91	1.02	20.35	✔	☒	✔	0.46	✔	2	1-Low	Low Risk
<i>percentile rank</i>			21	37	40	35												
<i># of funds in category</i>			439	383	322	225									08-31-2021	10-08-2021	06-30-2021	
Invesco Oppenheimer International Gr Y	OIGYX	Foreign Large Growth	23.80	13.16	10.46	10.15	✔	18.55	0.99	25.53	✔	☒	✔	0.88	✔	4	-	Low Risk
<i>percentile rank</i>			25	39	76	52												
<i># of funds in category</i>			439	383	322	225									08-31-2021	-	06-30-2021	
Vanguard International Value Inv	VTRIX	Foreign Large Value	30.88	6.94	8.49	7.89	✔	20.03	1.10	11.35	✔	☒	✔	0.35	✔	2	-	Medium Risk
<i>percentile rank</i>			41	12	4	13												
<i># of funds in category</i>			349	319	271	181									08-31-2021	-	06-30-2021	
American Funds Capital World Gr&Inc R6	RWIGX	World Stock	23.94	11.65	12.10	12.01	✔	17.04	0.93	15.67	✔	—	✔	0.42	✔	2	-	Low Risk
<i>percentile rank</i>			66	49	53	41												
<i># of funds in category</i>			339	288	254	147									08-31-2021	-	06-30-2021	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>

Alternative																		
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	34.55	6.45	3.69	-		16.68	0.97	10.84		-	-	1.15		-	-	-
<i>percentile rank</i>			94	59	76	-												
<i># of funds in category</i>			113	108	85	52												
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	33.51	12.01	7.54	11.54		18.88	0.94	25.40		-		0.12		3	1-Low	Medium Risk
<i>percentile rank</i>			47	40	46	31												
<i># of funds in category</i>			247	232	200	148										08-31-2021	10-08-2021	06-30-2021

Fixed Income																		
BlackRock High Yield Bond Instl	BHYIX	High Yield Bond	11.59	6.65	6.59	7.46		9.75	1.03	13.76		-	-	0.62		-	2-Basic	-
<i>percentile rank</i>			30	24	13	7												
<i># of funds in category</i>			680	633	555	361											10-08-2021	-
PIMCO Real Return I2	PRLPX	Inflation-Protected Bond	5.46	7.52	4.49	3.18		4.27	1.03	2.73		-	-	0.57		-	-	-
<i>percentile rank</i>			45	17	18	12												
<i># of funds in category</i>			206	202	176	125												
Vanguard Interm-Term Bond Index Adm	VBILX	Intermediate-Term Bond	-1.21	6.55	3.35	3.70		4.29	1.19	13.44			-	0.07		-	1-Low	-
<i>percentile rank</i>			87	3	22	12												
<i># of funds in category</i>			411	378	333	249											10-08-2021	-
PIMCO Income I2	PONPX	Multisector Bond	6.89	5.55	5.23	6.99		5.75	0.80	14.52		-	-	0.72		-	-	-
<i>percentile rank</i>			43	45	17	2												
<i># of funds in category</i>			337	275	241	131												
PIMCO Short-Term I2	PTSPX	Ultrashort Bond	0.62	1.72	2.02	1.82		2.37	0.11	10.75		-	-	0.57		-	-	-
<i>percentile rank</i>			39	46	17	16												
<i># of funds in category</i>			241	213	169	88												
PIMCO International Bond (USD-Hdg) I-2	PFBPX	World Bond	0.65	4.12	3.34	4.96		3.54	0.64	7.02		-	-	0.62		-	-	-
<i>percentile rank</i>			41	64	16	11												
<i># of funds in category</i>			123	104	77	47												

Target Date																		
American Funds 2010 Trgt Date Retire R6	RFTTX	Target Date	11.52	8.06	7.11	7.72		7.31	0.89	9.75		-		0.30		-	-	-
<i>percentile rank</i>			31	49	35	21												
<i># of funds in category</i>			121	114	78	53												
American Funds 2015 Trgt Date Retire R6	RFJTX	Target Date	13.04	8.64	7.68	8.48		8.07	0.90	9.75		-		0.30		2	-	-
<i>percentile rank</i>			38	35	41	18												
<i># of funds in category</i>			117	111	77	45										11-30-2020	-	-
American Funds 2020 Trgt Date Retire R6	RRCTX	Target Date	13.39	8.99	8.36	9.36		8.54	0.85	9.75		-		0.31		2	-	-
<i>percentile rank</i>			55	45	49	9												
<i># of funds in category</i>			175	162	123	67										11-30-2020	-	-
American Funds 2025 Trgt Date Retire R6	RFDTX	Target Date	15.29	10.19	9.73	10.87		10.06	0.89	9.75		-		0.33		2	-	Low Risk
<i>percentile rank</i>			56	22	18	1												
<i># of funds in category</i>			224	206	165	91										08-31-2021	-	09-30-2020

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																Updated	Updated	Updated
American Funds 2030 Trgt Date Retire R6	RFETX	Target Date	18.14	11.10	11.12	11.94	✓	11.90	0.92	9.75	✓	—	✓	0.35	✓	2	—	Low Risk
<i>percentile rank</i>			56	19	10	1												
<i># of funds in category</i>			225	205	165	87									08-31-2021	—	06-30-2021	
American Funds 2035 Trgt Date Retire R6	RFETX	Target Date	22.19	12.62	12.79	12.85	✓	14.37	0.96	9.75	✓	—	✗	0.37	✓	2	—	Low Risk
<i>percentile rank</i>			38	3	1	1												
<i># of funds in category</i>			217	203	162	88									08-31-2021	—	06-30-2021	
American Funds 2040 Trgt Date Retire R6	RFETX	Target Date	24.39	13.37	13.52	13.30	✓	15.47	0.94	9.75	✓	—	✗	0.38	✓	2	—	Low Risk
<i>percentile rank</i>			43	3	1	1												
<i># of funds in category</i>			219	205	165	87									08-31-2021	—	06-30-2021	
American Funds 2045 Trgt Date Retire R6	RFHTX	Target Date	25.02	13.57	13.79	13.45	✓	15.81	0.90	9.75	✓	—	✗	0.39	✓	2	—	Low Risk
<i>percentile rank</i>			71	3	1	1												
<i># of funds in category</i>			217	203	162	87									08-31-2021	—	06-30-2021	
American Funds 2050 Trgt Date Retire R6	RFITX	Target Date	25.44	13.76	13.99	13.56	✓	16.05	0.90	9.75	✓	—	✗	0.39	✓	2	—	Low Risk
<i>percentile rank</i>			77	2	1	1												
<i># of funds in category</i>			219	205	165	79									08-31-2021	—	06-30-2021	
American Funds 2055 Trgt Date Retire R6	RFKTX	Target Date	25.54	13.77	13.98	13.55	✓	16.06	0.90	9.75	✓	—	✓	0.39	✓	2	—	Low Risk
<i>percentile rank</i>			81	5	1	1												
<i># of funds in category</i>			217	203	159	66									08-31-2021	—	06-30-2021	
American Funds 2060 Trgt Date Retire R6	RFUTX	Target Date	25.65	13.78	13.97	—	✓	16.04	1.38	6.52	✓	—	✓	0.40	✓	2	—	Low Risk
<i>percentile rank</i>			79	7	1	—												
<i># of funds in category</i>			211	188	125	—									08-31-2021	—	06-30-2021	
American Funds 2065 Trgt Date Retire R6	RFVTX	Target Date	25.67	—	—	—	—	—	—	1.75	✗	—	✓	0.40	✓	2	—	Low Risk
<i>percentile rank</i>			79	—	—	—												
<i># of funds in category</i>			120	17	—	—									08-31-2021	—	06-30-2021	
Balanced																		
American Funds American Balanced R6	RLBGX	Moderate Allocation	17.40	10.64	10.77	11.62	✓	10.98	0.94	22.76	✓	—	✓	0.26	✓	3	1-Low	Low Risk
<i>percentile rank</i>			66	33	23	8												
<i># of funds in category</i>			690	654	597	428									08-31-2021	10-08-2021	06-30-2021	