

TKXS LLC 401K PLAN**Screens Summary (Data as of 09-30-2021)**

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk								Management Style			Expense		ESG Metrics		
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
																Updated	Updated	Updated
US Stock																		
DFA US Core Equity 1 I	DFEOX	Large Blend	35.50	14.01	15.75	16.08	✓	21.00	1.10	9.59	✓	☐	✓	0.15	✓	2	-	Low Risk
<i>percentile rank</i>			14	61	50	36												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	-	06-30-2021	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	29.98	15.96	16.86	16.60	✓	18.82	1.00	5.43	✓	☐	✓	0.04	✓	3	1-Low	Low Risk
<i>percentile rank</i>			49	28	21	15												
<i># of funds in category</i>			1,391	1,267	1,112	819									08-31-2021	10-08-2021	06-30-2021	
JPMorgan Large Cap Growth R6	JLGMX	Large Growth	22.36	24.86	26.99	20.42	✓	21.98	1.06	17.17	✓	☐	✓	0.44	✓	2	2-Basic	Low Risk
<i>percentile rank</i>			82	9	5	11												
<i># of funds in category</i>			1,240	1,138	1,033	766									08-31-2021	10-08-2021	06-30-2021	
Vanguard Value Index Adm	VVIAX	Large Value	32.50	9.87	12.14	14.06	—	19.12	—	26.77	✓	☐	✓	0.05	✓	2	1-Low	Medium Risk
<i>percentile rank</i>			60	46	31	17												
<i># of funds in category</i>			1,210	1,149	1,027	751									08-31-2021	10-08-2021	06-30-2021	
Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	31.82	19.21	18.17	16.73	✓	21.52	0.97	8.61	✓	☐	✓	0.07	✓	4	1-Low	Low Risk
<i>percentile rank</i>			47	37	52	47												
<i># of funds in category</i>			586	551	497	388									08-31-2021	10-08-2021	06-30-2021	
Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	40.57	9.88	10.82	14.15	✓	23.22	0.99	15.13	✓	☐	✓	0.07	✓	4	1-Low	Medium Risk
<i>percentile rank</i>			76	42	43	15												
<i># of funds in category</i>			410	393	350	252									08-31-2021	10-08-2021	06-30-2021	
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	30.99	15.06	17.09	16.36	✓	24.20	0.93	16.76	✓	☐	*	0.07	✓	4	1-Low	-
<i>percentile rank</i>			81	52	56	53												
<i># of funds in category</i>			613	577	513	390									08-31-2021	10-08-2021	-	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	55.74	8.89	11.05	14.21	✓	26.22	0.96	5.43	✓	☐	✓	0.07	✓	4	1-Low	-
<i>percentile rank</i>			72	34	33	16												
<i># of funds in category</i>			434	406	383	290									08-31-2021	10-08-2021	-	
Non-US Stock																		
Vanguard Emerging Mkts Stock Idx Adm	VEMAX	Diversified Emerging Mkts	18.39	9.58	8.67	6.02	✓	18.90	0.96	13.10	✓	☐	✓	0.14	✓	2	1-Low	Medium Risk
<i>percentile rank</i>			59	41	53	53												
<i># of funds in category</i>			786	708	598	319									08-31-2021	10-08-2021	06-30-2021	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	26.51	8.18	9.18	8.62	✓	18.64	1.04	8.61	✓	☐	✓	0.07	✓	2	1-Low	Low Risk
<i>percentile rank</i>			26	40	30	28												
<i># of funds in category</i>			769	696	596	402									08-31-2021	10-08-2021	06-30-2021	
Vanguard International Growth Adm	VWILX	Foreign Large Growth	24.80	22.26	20.14	14.95	✓	21.51	1.05	18.66	✓	☐	✓	0.33	✓	3	-	Low Risk
<i>percentile rank</i>			21	3	2	3												
<i># of funds in category</i>			439	383	322	225									08-31-2021	-	06-30-2021	
Vanguard International Value Inv	VTRIX	Foreign Large Value	30.88	6.94	8.49	7.89	✓	20.03	1.10	11.35	✓	☐	✓	0.35	✓	2	-	Medium Risk
<i>percentile rank</i>			41	12	4	13												
<i># of funds in category</i>			349	319	271	181									08-31-2021	-	06-30-2021	
Vanguard Total World Stock Index Admiral	VTWAX	World Stock	28.38	-	-	-	—	-	-	8.61	✓	—	✓	0.10	✓	2	1-Low	Low Risk
<i>percentile rank</i>			31	-	-	-												
<i># of funds in category</i>			339	288	254	147									08-31-2021	10-08-2021	06-30-2021	

TKXS LLC 401K PLAN

Screens Summary (Data as of 09-30-2021)

Fund Removed from Approved List Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
Alternative																		
Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	34.55	6.45	3.69	-	✔	16.68	0.97	10.84	✔	-	-	1.15	✔	-	-	-
<i>percentile rank</i>			94	59	76	-												
<i># of funds in category</i>			113	108	85	52												
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	33.51	12.01	7.54	11.54	✔	18.88	0.94	25.40	✔	-	✔	0.12	✔	3	1-Low	Medium Risk
<i>percentile rank</i>			47	40	46	31												
<i># of funds in category</i>			247	232	200	148										08-31-2021	10-08-2021	06-30-2021
Fixed Income																		
Baird Core Plus Bond Inst	BCOIX	Core Plus Bond	0.53	6.21	3.83	4.10	✔	4.24	1.07	21.02	✔	-	-	0.30	✔	-	1-Low	-
<i>percentile rank</i>			69	27	30	29												
<i># of funds in category</i>			614	571	498	357											10-08-2021	-
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	8.02	6.45	5.75	6.73	✔	8.34	0.87	13.64	✔	-	-	0.13	✔	-	-	-
<i>percentile rank</i>			81	31	39	35												
<i># of funds in category</i>			680	633	555	361												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	5.09	7.29	4.16	3.02	✔	3.86	0.94	10.12	✔	-	-	0.10	✔	-	-	-
<i>percentile rank</i>			62	34	42	24												
<i># of funds in category</i>			206	202	176	125												
Vanguard Total Bond Market Index Adm	VBTLX	Intermediate-Term Bond	-0.92	5.41	2.93	2.96	✔	3.71	1.05	8.61	✔	☐	-	0.05	✔	-	1-Low	-
<i>percentile rank</i>			69	42	52	59												
<i># of funds in category</i>			411	378	333	249											10-08-2021	-
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	0.10	1.41	1.34	-	✔	0.53	0.05	24.24	✔	-	-	0.24	✔	-	-	-
<i>percentile rank</i>			76	69	73	-												
<i># of funds in category</i>			241	213	169	88												
Templeton Global Bond R6	FBNRX	World Bond	-3.50	-1.90	0.97	-	✘	5.19	-0.40	19.76	✔	-	✔	0.54	✔	-	-	-
<i>percentile rank</i>			95	93	90	-												
<i># of funds in category</i>			348	307	280	120												
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	10.28	8.47	7.31	7.33	✔	7.95	1.01	27.02	✔	-	✔	0.12	✔	-	1-Low	-
<i>percentile rank</i>			83	33	36	34												
<i># of funds in category</i>			516	481	429	276											10-08-2021	-
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	22.47	11.54	11.60	11.42	✔	15.04	1.02	27.02	✔	-	✔	0.14	✔	3	1-Low	Low Risk
<i>percentile rank</i>			66	24	25	26												
<i># of funds in category</i>			312	289	263	189										08-31-2021	10-08-2021	06-30-2021
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	4.52	6.73	5.06	5.17	✔	4.87	0.96	27.02	✔	-	✔	0.11	✔	-	1-Low	-
<i>percentile rank</i>			82	29	50	51												
<i># of funds in category</i>			196	180	165	108											10-08-2021	-
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	16.25	10.04	9.47	9.39	✔	11.44	0.99	27.02	✔	-	✔	0.13	✔	3	1-Low	Low Risk
<i>percentile rank</i>			82	46	53	56												
<i># of funds in category</i>			690	654	597	428										08-31-2021	10-08-2021	06-30-2021