

THE COOK LAW 401K PLAN

Screens Summary (Data as of 09-30-2022)

🔴 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-15.51	8.12	9.20	11.66	✔️	20.30	1.00	6.43	✔️	🏠	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			42	23	20	13												
<i># of funds in category</i>			1,376	1,250	1,131	828									08-31-2022	02-15-2022	06-30-2022	
JPMorgan Large Cap Growth R6	JLGMX	Large Growth	-21.86	13.74	15.24	14.82	✔️	23.26	0.98	18.17	✔️	🏠	✔️	0.44	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			30	2	1	3												
<i># of funds in category</i>			1,256	1,146	1,067	795									08-31-2022	04-07-2022	06-30-2022	
Hartford Dividend and Growth R6	HDBGVX	Large Value	-9.20	7.77	8.46	-	✔️	18.97	0.92	12.59	✔️	🏠	✔️	0.63	✔️	4	1-Low	Low Risk
<i>percentile rank</i>			46	15	5	-												
<i># of funds in category</i>			1,220	1,158	1,099	804									08-31-2022	02-15-2022	06-30-2022	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	-19.48	5.47	6.64	10.45	✔️	22.73	0.98	24.38	✔️	🏠	✔️	0.05	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			81	49	26	15												
<i># of funds in category</i>			412	368	336	219									08-31-2022	02-15-2022	06-30-2022	
MFS New Discovery R6	MNDGX	Small Growth	-37.40	2.68	7.73	9.27	✔️	25.09	0.94	8.75	✔️	🏠	✔️	0.89	✔️	5	-	Low Risk
<i>percentile rank</i>			82	79	33	59												
<i># of funds in category</i>			620	585	542	409									08-31-2022	-	06-30-2022	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	-13.56	5.69	4.42	9.46	✔️	25.64	0.96	6.43	✔️	🏠	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			41	47	27	13												
<i># of funds in category</i>			473	445	419	318									08-31-2022	02-15-2022	06-30-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-28.75	0.73	2.20	4.56	✔️	19.53	0.93	23.30	✔️	🏠	✔️	0.57	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			53	18	4	3												
<i># of funds in category</i>			808	726	644	373									08-31-2022	02-15-2022	06-30-2022	
MFS Research International R6	MRSKX	Foreign Large Blend	-25.89	-0.23	1.06	3.98	✔️	17.94	0.94	6.42	✔️	🏠	✔️	0.66	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			53	18	9	21												
<i># of funds in category</i>			755	706	617	425									08-31-2022	02-15-2022	06-30-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-25.53	-1.26	-0.63	4.04	✔️	19.95	1.06	9.61	✔️	🏠	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			48	35	36	20												
<i># of funds in category</i>			755	706	617	425									08-31-2022	02-15-2022	06-30-2022	
Alternative																		
Neuberger Berman Real Estate R6	NRREX	Real Estate	-16.97	-0.03	5.50	-	✔️	20.38	0.86	16.76	✔️	—	✖️	0.75	✔️	4	-	Low Risk
<i>percentile rank</i>			40	18	10	-												
<i># of funds in category</i>			256	237	209	152									08-31-2022	-	06-30-2022	
Fixed Income																		
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	-14.94	-2.22	0.36	-	✔️	5.90	1.06	25.76	✔️	—	—	0.38	✔️	3	1-Low	-
<i>percentile rank</i>			42	24	20	-												
<i># of funds in category</i>			611	569	509	364									08-31-2022	02-15-2022	-	

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															Updated	Updated	Updated	
Vanguard Inflation-Protected Secs Adm <i>percentile rank</i> <i># of funds in category</i>	VAIPX	Inflation-Protected Bond	-11.53 47 210	0.69 51 199	1.84 49 190	0.89 38 128	✔	6.68	0.97	0.89	✖	—	—	0.10	✔	3	—	—
Baird Aggregate Bond Inst <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate-Term Bond	-15.26 64 448	-3.07 33 404	-0.09 24 366	1.36 10 272	✔	5.71	1.04	22.02	✔	☒	—	0.30	✔	3	1-Low	—
Lincoln Stable Value Account -LNGPA <i>percentile rank</i> <i># of funds in category</i>		Stable Value	— — 40	— — —	— — —	— — —	—	—	—	39.44	✔	—	—	—	—	—	—	—
Vanguard Ultra-Short-Term Bond Admiral <i>percentile rank</i> <i># of funds in category</i>	VUSFX	Ultrashort Bond	-1.51 61 237	0.53 44 216	1.32 26 178	— — 99	✔	1.09	0.13	5.68	✔	—	—	0.10	✔	3	—	—

Balanced

Vanguard LifeStrategy Cnsvr Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSCGX	Target Risk	-16.75 83 465	-0.25 62 429	2.05 38 401	4.07 30 290	✔	9.85	1.00	0.59	✖	—	✔	0.12	✔	3	1-Low	—
Vanguard LifeStrategy Growth Inv <i>percentile rank</i> <i># of funds in category</i>	VASGX	Target Risk	-19.55 73 332	2.69 55 309	3.95 43 286	6.93 34 226	✔	16.32	1.01	0.59	✖	—	✔	0.14	✔	3	1-Low	Low Risk
Vanguard LifeStrategy Income Inv <i>percentile rank</i> <i># of funds in category</i>	VASIX	Target Risk	-15.38 75 143	-1.88 85 132	0.95 60 128	2.53 42 89	✔	6.98	0.98	0.59	✖	—	✔	0.11	✔	3	1-Low	—
Vanguard LifeStrategy Moderate Gr Inv <i>percentile rank</i> <i># of funds in category</i>	VSMGX	Target Risk	-18.16 82 766	1.24 77 708	3.02 62 658	5.52 54 487	✔	13.03	0.98	0.59	✖	—	✔	0.13	✔	3	1-Low	Low Risk