

EAST WEST MANAGEMENT INSTITUTE 401K

Screens Summary (Data as of 09-30-2022)

⊖ Fund Removed from Approved List ⚠ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
															Updated	Updated	Updated	
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-15.51	8.12	9.20	11.66	✓	20.30	1.00	6.43	✓	☐	✓	0.04	✓	3	1-Low	Low Risk
<i>percentile rank</i>			42	23	20	13												
<i># of funds in category</i>			1,376	1,250	1,131	828												
															08-31-2022	02-15-2022	06-30-2022	
Fidelity® Contrafund®	FCNTX	Large Growth	-25.24	7.89	9.10	11.79	✓	21.47	0.92	32.06	✓	☐	✓	0.81	✓	2	1-Low	Low Risk
<i>percentile rank</i>			49	41	51	42												
<i># of funds in category</i>			1,256	1,146	1,067	795												
															08-31-2022	02-15-2022	06-30-2022	
American Funds Washington Mutual R6	RWMGX	Large Value	-8.29	7.11	8.30	11.03	✓	18.40	0.88	25.27	✓	☐	✗	0.26	✓	2	1-Low	Low Risk
<i>percentile rank</i>			5	56	49	41												
<i># of funds in category</i>			1,376	1,250	1,131	828												
															08-31-2022	02-15-2022	06-30-2022	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	-19.48	5.47	6.64	10.45	✓	22.73	0.98	24.38	✓	☐	✓	0.05	✓	4	1-Low	Medium Risk
<i>percentile rank</i>			81	49	26	15												
<i># of funds in category</i>			412	368	336	219												
															08-31-2022	02-15-2022	06-30-2022	
Vanguard Small Cap Growth Index Admiral	VSGAX	Small Growth	-30.09	2.81	5.65	9.15	✓	25.27	0.96	17.76	✓	☐	✗	0.07	✓	4	1-Low	Low Risk
<i>percentile rank</i>			58	77	59	62												
<i># of funds in category</i>			620	585	542	409												
															08-31-2022	02-15-2022	06-30-2022	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	-13.56	5.69	4.42	9.46	✓	25.64	0.96	6.43	✓	☐	✓	0.07	✓	4	1-Low	Medium Risk
<i>percentile rank</i>			41	47	27	13												
<i># of funds in category</i>			473	445	419	318												
															08-31-2022	02-15-2022	06-30-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-28.75	0.73	2.20	4.56	✓	19.53	0.93	23.30	✓	☐	✓	0.57	✓	3	1-Low	Low Risk
<i>percentile rank</i>			53	18	4	3												
<i># of funds in category</i>			808	726	644	373												
															08-31-2022	02-15-2022	06-30-2022	
MFS Research International R4	MRSJX	Foreign Large Blend	-25.97	-0.34	0.95	3.86	✓	17.94	0.94	6.42	✓	☐	✓	0.76	✓	3	2-Basic	Low Risk
<i>percentile rank</i>			54	20	9	23												
<i># of funds in category</i>			755	706	617	425												
															08-31-2022	02-15-2022	06-30-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-25.53	-1.26	-0.63	4.04	✓	19.95	1.06	9.61	✓	☐	✓	0.07	✓	2	1-Low	Low Risk
<i>percentile rank</i>			48	35	36	20												
<i># of funds in category</i>			755	706	617	425												
															08-31-2022	02-15-2022	06-30-2022	
Alternative																		
Vanguard Real Estate Index Admiral	VGSIX	Real Estate	-18.71	-1.59	3.09	6.24	✓	22.30	0.96	26.40	✓	—	✓	0.12	✓	3	1-Low	Low Risk
<i>percentile rank</i>			70	52	54	35												
<i># of funds in category</i>			256	237	209	152												
															08-31-2022	02-15-2022	06-30-2022	
Fixed Income																		
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation-Protected Bond	-11.67	1.18	1.98	0.84	✓	7.13	1.03	5.63	✓	—	—	0.35	✓	3	—	—
<i>percentile rank</i>			53	33	30	42												
<i># of funds in category</i>			210	199	190	128												
															08-31-2022	—	—	

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																	Updated	Updated	Updated		
Vanguard Interm-Term Bond Index Adm <i>percentile rank</i> <i># of funds in category</i>	VBILX	Intermediate-Term Bond	-15.24 64 448	-3.03 32 404	0.09 16 366	1.20 18 272	✔️	6.08	1.11	14.44	✔️	📦	—	0.07	✔️	3	1-Low	—	08-31-2022	02-15-2022	—
Loomis Sayles Bond N <i>percentile rank</i> <i># of funds in category</i>	LSBNX	Multisector Bond	-14.61 73 345	-2.72 79 289	-0.49 83 255	— — 152	✔️	9.03	1.15	15.67	✔️	—	—	0.61	✔️	1	1-Low	—	08-31-2022	02-15-2022	—
PIMCO Short-Term Instl <i>percentile rank</i> <i># of funds in category</i>	PTSHX	Ultrashort Bond	-1.32 55 237	0.72 21 216	1.30 28 178	1.46 8 99	✔️	2.42	0.12	11.75	✔️	—	—	0.45	✔️	2	—	—	08-31-2022	—	—

Target Date																					
Vanguard Target Retirement 2020 Fund <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	-15.83 36 157	1.17 48 147	2.91 35 120	5.46 24 57	✔️	10.98	0.90	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	—	08-31-2022	02-15-2022	—
Vanguard Target Retirement 2025 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	-17.53 58 226	1.40 46 202	3.15 34 172	5.96 21 94	✔️	12.78	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2030 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	-18.42 50 226	1.82 50 202	3.43 44 170	6.46 27 97	✔️	14.11	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2035 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTX	Target Date	-18.87 39 219	2.39 49 195	3.78 44 169	6.99 28 91	✔️	15.35	0.94	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2040 Fund <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	-19.42 33 220	2.91 46 196	4.11 39 170	7.40 22 97	✔️	16.61	0.94	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2045 Fund <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	-19.93 36 219	3.46 34 195	4.42 31 169	7.61 20 90	✔️	17.88	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2050 Fund <i>percentile rank</i> <i># of funds in category</i>	VVIFX	Target Date	-20.18 38 220	3.41 35 196	4.39 33 170	7.60 20 95	✔️	18.01	0.95	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2055 Fund <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	-20.17 37 219	3.40 35 195	4.38 38 169	7.58 26 72	✔️	18.02	0.95	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2060 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTX	Target Date	-20.16 37 218	3.40 42 186	4.38 41 150	7.58 1 1	✔️	17.99	1.35	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement 2065 Fund <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	-20.10 24 161	3.38 55 37	4.36 68 8	— — —	⚠️	18.01	1.36	5.22	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	08-31-2022	02-15-2022	06-30-2022
Vanguard Target Retirement Income Fund <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	-14.19 49 162	-0.02 31 146	1.96 27 123	3.31 36 81	✔️	8.10	0.87	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	—	08-31-2022	02-15-2022	—

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															Updated	Updated	Updated	
Balanced																		
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-16.75	-0.25	2.05	4.07	✔️	9.85	1.00	0.59	✖️	—	✔️	0.12	✔️	3	1-Low	—
<i>percentile rank</i>			83	62	38	30												
<i># of funds in category</i>			465	429	401	290												
															08-31-2022	02-15-2022	—	
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-19.55	2.69	3.95	6.93	✔️	16.32	1.01	0.59	✖️	—	✔️	0.14	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			73	55	43	34												
<i># of funds in category</i>			332	309	286	226												
															08-31-2022	02-15-2022	06-30-2022	
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-15.38	-1.88	0.95	2.53	✔️	6.98	0.98	0.59	✖️	—	✔️	0.11	✔️	3	1-Low	—
<i>percentile rank</i>			75	85	60	42												
<i># of funds in category</i>			143	132	128	89												
															08-31-2022	02-15-2022	—	
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-18.16	1.24	3.02	5.52	✔️	13.03	0.98	0.59	✖️	—	✔️	0.13	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			82	77	62	54												
<i># of funds in category</i>			766	708	658	487												
															08-31-2022	02-15-2022	06-30-2022	