

HUNTLEIGH SECURITIES CORP 401K PLAN

Screens Summary (Data as of 09-30-2022)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
US Stock																		
JPMorgan US Equity R6	JUEMX	Large Blend	-14.25	11.29	10.68	12.69	✔️	20.57	1.01	8.12	✔️	🇺🇸	✖️	0.44	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			<i>31</i>	<i>2</i>	<i>2</i>	<i>1</i>												
<i># of funds in category</i>			<i>1,376</i>	<i>1,250</i>	<i>1,131</i>	<i>828</i>									08-31-2022	03-11-2022	06-30-2022	
Vanguard FTSE Social Index Admiral	VFTAX	Large Blend Index	-20.13	7.66	-	-	✔️	21.52	1.05	6.78	✔️	🇺🇸	✖️	0.14	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			<i>88</i>	<i>38</i>	<i>-</i>	<i>-</i>												
<i># of funds in category</i>			<i>1,376</i>	<i>1,250</i>	<i>1,131</i>	<i>828</i>									08-31-2022	02-15-2022	06-30-2022	
JPMorgan Large Cap Growth R6	JLGMX	Large Growth	-21.86	13.74	15.24	14.82	✔️	23.26	0.98	18.17	✔️	🇺🇸	✔️	0.44	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			<i>30</i>	<i>2</i>	<i>1</i>	<i>3</i>												
<i># of funds in category</i>			<i>1,256</i>	<i>1,146</i>	<i>1,067</i>	<i>795</i>									08-31-2022	04-07-2022	06-30-2022	
American Funds Washington Mutual R6	RWMGX	Large Value	-8.29	7.11	8.30	11.03	✔️	18.40	0.88	25.27	✔️	🇺🇸	✖️	0.26	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			<i>5</i>	<i>56</i>	<i>49</i>	<i>41</i>												
<i># of funds in category</i>			<i>1,376</i>	<i>1,250</i>	<i>1,131</i>	<i>828</i>									08-31-2022	02-15-2022	06-30-2022	
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-29.11	3.35	8.76	-	✔️	22.43	0.90	13.87	✔️	🇺🇸	✔️	0.65	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			<i>52</i>	<i>71</i>	<i>23</i>	<i>-</i>												
<i># of funds in category</i>			<i>585</i>	<i>535</i>	<i>494</i>	<i>382</i>									08-31-2022	02-15-2022	06-30-2022	
Allspring Special Mid Cap Value R6	WFPRX	Mid-Cap Value	-7.93	6.40	6.48	-	✔️	23.02	0.95	13.70	✔️	🇺🇸	✔️	0.70	✔️	3	-	Medium Risk
<i>percentile rank</i>			<i>16</i>	<i>30</i>	<i>16</i>	<i>-</i>												
<i># of funds in category</i>			<i>407</i>	<i>393</i>	<i>366</i>	<i>272</i>									08-31-2022	-	06-30-2022	
MFS New Discovery R6	MNDKX	Small Growth	-37.40	2.68	7.73	9.27	✔️	25.09	0.94	8.75	✔️	🇺🇸	✔️	0.89	✔️	5	-	Low Risk
<i>percentile rank</i>			<i>82</i>	<i>79</i>	<i>33</i>	<i>59</i>												
<i># of funds in category</i>			<i>620</i>	<i>585</i>	<i>542</i>	<i>409</i>									08-31-2022	-	06-30-2022	
Vanguard Small Cap Value Index Admiral	VSIAX	Small Value	-13.56	5.69	4.42	9.46	✔️	25.64	0.96	6.43	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			<i>41</i>	<i>47</i>	<i>27</i>	<i>13</i>												
<i># of funds in category</i>			<i>473</i>	<i>445</i>	<i>419</i>	<i>318</i>									08-31-2022	02-15-2022	06-30-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-28.75	0.73	2.20	4.56	✔️	19.53	0.93	23.30	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			<i>53</i>	<i>18</i>	<i>4</i>	<i>3</i>												
<i># of funds in category</i>			<i>808</i>	<i>726</i>	<i>644</i>	<i>373</i>									08-31-2022	02-15-2022	06-30-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	-25.44	-1.79	-0.46	3.31	✔️	20.07	1.07	14.01	✔️	🇺🇸	✔️	0.54	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			<i>47</i>	<i>49</i>	<i>31</i>	<i>49</i>												
<i># of funds in category</i>			<i>755</i>	<i>706</i>	<i>617</i>	<i>425</i>									08-31-2022	02-15-2022	06-30-2022	
Vanguard International Growth Adm	VWILX	Foreign Large Growth	-40.24	3.65	3.12	7.27	✔️	23.42	1.04	12.76	✔️	🇺🇸	✔️	0.32	✔️	3	-	Low Risk
<i>percentile rank</i>			<i>89</i>	<i>5</i>	<i>10</i>	<i>4</i>												
<i># of funds in category</i>			<i>449</i>	<i>390</i>	<i>350</i>	<i>227</i>									08-31-2022	-	06-30-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	-22.20	-0.78	-0.62	3.62	✔️	20.65	1.04	12.35	✔️	🇺🇸	✔️	0.36	✔️	2	-	Medium Risk
<i>percentile rank</i>			<i>59</i>	<i>31</i>	<i>14</i>	<i>16</i>												
<i># of funds in category</i>			<i>343</i>	<i>316</i>	<i>294</i>	<i>177</i>									08-31-2022	-	06-30-2022	
American Funds New Perspective R6	RNPGX	Global Stock	-26.83	6.07	6.92	9.85	✔️	21.64	1.09	21.84	✔️	-	✔️	0.41	✔️	2	2-Basic	Low Risk
<i>percentile rank</i>			<i>36</i>	<i>15</i>	<i>18</i>	<i>14</i>												
<i># of funds in category</i>			<i>367</i>	<i>308</i>	<i>272</i>	<i>179</i>									08-31-2022	02-15-2022	06-30-2022	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
Alternative																		
⚠ Invesco Balanced-Risk Commodity Strat R6	IBRFX	Commodities Broad Basket	5.10	11.01	5.31	-2.16	⊕	18.10	0.87	11.84	✓	—	—	1.11	✓	—	—	—
percentile rank			94	88	85	66												
# of funds in category			115	109	92	66												
Neuberger Berman Real Estate R6	NRREX	Real Estate	-16.97	-0.03	5.50	—	✓	20.38	0.86	16.76	✓	—	⊕	0.75	✓	4	—	Low Risk
percentile rank			40	18	10	—												
# of funds in category			256	237	209	152									08-31-2022	—	06-30-2022	
Fixed Income																		
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	-14.94	-2.22	0.36	—	✓	5.90	1.06	25.76	✓	—	—	0.38	✓	3	1-Low	—
percentile rank			42	24	20	—												
# of funds in category			611	569	509	364									08-31-2022	02-15-2022	—	
PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	-10.93	-2.49	0.75	2.71	✓	4.66	0.68	8.02	✓	—	—	0.51	✓	2	—	—
percentile rank			15	19	3	1												
# of funds in category			131	112	95	58									04-30-2022	—	—	
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	-12.74	-0.90	1.36	3.57	✓	10.06	0.89	14.64	✓	—	—	0.13	✓	—	—	—
percentile rank			35	53	36	26												
# of funds in category			685	637	582	403												
PIMCO Real Return Instl	PRRIX	Inflation-Protected Bond	-12.34	0.86	1.85	0.87	✓	7.20	1.04	3.73	✓	—	—	0.47	✓	3	—	—
percentile rank			73	43	48	38												
# of funds in category			210	199	190	128									08-31-2022	—	—	
Western Asset Core Bond IS	WACSX	Intermediate-Term Bond	-18.86	-4.30	-0.75	1.20	✓	6.85	1.23	15.76	✓	🇺🇸	—	0.43	✓	3	—	—
percentile rank			99	95	79	18												
# of funds in category			448	404	366	272									08-31-2022	—	—	
Lincoln Stable Value Account -LNGPA		Stable Value	—	—	—	—	—	—	—	39.44	✓	—	—	—	—	—	—	
percentile rank			—	—	—	—												
# of funds in category			40	—	—	—												
PIMCO Short-Term Instl	PTSHX	Ultrashort Bond	-1.32	0.72	1.30	1.46	✓	2.42	0.12	11.75	✓	—	—	0.45	✓	2	—	—
percentile rank			55	21	28	8												
# of funds in category			237	216	178	99									08-31-2022	—	—	
Balanced																		
American Funds American Balanced R6	RLBGX	Moderate Allocation	-13.13	3.72	5.14	7.89	✓	12.60	0.94	23.76	✓	—	✓	0.25	✓	3	1-Low	Low Risk
percentile rank			27	25	22	6												
# of funds in category			766	708	658	487									08-31-2022	02-15-2022	06-30-2022	