

LE BERNARDIN INC 401K PLAN

Screens Summary (Data as of 09-30-2022)

🚫 Fund Removed from Approved List ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk
US Stock																		
DFA US Core Equity 1 I	DFEOX	Large Blend	-14.72	8.04	8.19	11.25	✔️	21.30	1.04	10.59	✔️	🇺🇸	✔️	0.14	✔️	2	-	Low Risk
<i>percentile rank</i>			35	26	52	33												
<i># of funds in category</i>			1,376	1,250	1,131	828												
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Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-15.51	8.12	9.20	11.66	✔️	20.30	1.00	6.43	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			42	23	20	13												
<i># of funds in category</i>			1,376	1,250	1,131	828												
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American Funds Growth Fund of Amer R6	RGAGX	Large Growth	-27.58	7.78	8.54	11.94	✔️	22.36	0.95	28.93	✔️	🇺🇸	✔️	0.30	✔️	1	1-Low	Low Risk
<i>percentile rank</i>			61	42	63	38												
<i># of funds in category</i>			1,256	1,146	1,067	795												
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DFA US Large Cap Value I	DFLVX	Large Value	-11.04	4.68	4.61	9.79	✔️	22.95	1.11	10.59	✔️	🇺🇸	✔️	0.22	✔️	2	-	Medium Risk
<i>percentile rank</i>			67	61	77	27												
<i># of funds in category</i>			1,220	1,158	1,099	804												
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Vanguard Mid-Cap Growth Index Admiral	VMGMX	Mid-Cap Growth	-27.45	5.44	7.68	10.52	✔️	24.28	1.00	9.61	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Low Risk
<i>percentile rank</i>			42	43	44	40												
<i># of funds in category</i>			585	535	494	382												
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Vanguard Mid-Cap Value Index Admiral	VMVAX	Mid-Cap Value	-11.10	4.86	5.23	10.10	✔️	22.98	0.96	16.13	✔️	🇺🇸	✔️	0.07	✔️	4	1-Low	Medium Risk
<i>percentile rank</i>			51	54	35	12												
<i># of funds in category</i>			407	393	366	272												
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State St Russell Sm Cap® Gr Indx NL CI C		Small Growth	-29.45	2.70	3.41	8.67	✔️	25.97	1.00	13.08	✔️	🇺🇸	✔️	0.05	✔️	2	-	Medium Risk
<i>percentile rank</i>			56	78	86	73												
<i># of funds in category</i>			620	585	542	409												
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State St Russell Sm Cp® Val Indx NL CI C		Small Value	-17.78	4.76	2.91	7.93	✔️	26.29	0.99	13.08	✔️	🇺🇸	✔️	0.05	✔️	2	-	Medium Risk
<i>percentile rank</i>			80	64	57	47												
<i># of funds in category</i>			473	445	419	318												
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Non-US Stock																		
Vanguard Emerging Mkts Stock Idx Adm	VEMAX	Diversified Emerging Mkts	-24.33	-0.56	-0.71	1.42	✔️	18.60	0.96	14.10	✔️	🇺🇸	✔️	0.14	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			25	30	30	37												
<i># of funds in category</i>			808	726	644	373												
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Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-25.53	-1.26	-0.63	4.04	✔️	19.95	1.06	9.61	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			48	35	36	20												
<i># of funds in category</i>			755	706	617	425												
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MFS Instl International Equity	MIEIX	Foreign Large Growth	-21.74	0.75	2.27	5.47	✔️	18.36	0.96	13.01	✔️	🇺🇸	✔️	0.69	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			13	11	6	5												
<i># of funds in category</i>			755	706	617	425												
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DFA International Value I	DFIVX	Foreign Large Value	-17.06	0.45	-1.11	3.57	✔️	23.64	1.14	12.59	✔️	🇺🇸	✔️	0.29	✔️	1	-	Medium Risk
<i>percentile rank</i>			12	8	21	18												
<i># of funds in category</i>			343	316	294	177												
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Vanguard Total World Stock Index Admiral	VTWAX	Global Stock	-20.81	3.83	-	-	✔️	19.72	1.01	9.61	✔️	—	✔️	0.10	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			60	36	-	-												
<i># of funds in category</i>			347	306	273	169												

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating Updated	Commit. Level Updated	Carbon Risk Updated	
Alternative																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	4.81	14.52	7.60	-2.37	✔	22.45	1.17	3.92	✔	—	—	0.76	✔	3	—	—
<i>percentile rank</i>			95	36	38	71												
<i># of funds in category</i>			115	109	92	66									08-31-2022	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-18.71	-1.59	3.09	6.24	✔	22.30	0.96	26.40	✔	—	✔	0.12	✔	3	1-Low	Low Risk
<i>percentile rank</i>			70	52	54	35												
<i># of funds in category</i>			256	237	209	152									08-31-2022	02-15-2022	06-30-2022	
Fixed Income																		
Loomis Sayles Core Plus Bond N	NERNX	Core Plus Bond	-14.94	-2.22	0.36	—	✔	5.90	1.06	25.76	✔	—	—	0.38	✔	3	1-Low	—
<i>percentile rank</i>			42	24	20	—												
<i># of funds in category</i>			611	569	509	364									08-31-2022	02-15-2022	—	
PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	-10.93	-2.49	0.75	2.71	✔	4.66	0.68	8.02	✔	—	—	0.51	✔	2	—	—
<i>percentile rank</i>			15	19	3	1												
<i># of funds in category</i>			131	112	95	58									04-30-2022	—	—	
Vanguard High-Yield Corporate Adm	VWEAX	High Yield Bond	-12.74	-0.90	1.36	3.57	✔	10.06	0.89	14.64	✔	—	—	0.13	✔	—	—	—
<i>percentile rank</i>			35	53	36	26												
<i># of funds in category</i>			685	637	582	403												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-11.53	0.69	1.84	0.89	✔	6.68	0.97	0.89	✖	—	—	0.10	✔	3	—	—
<i>percentile rank</i>			47	51	49	38												
<i># of funds in category</i>			210	199	190	128									08-31-2022	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-15.26	-3.07	-0.09	1.36	✔	5.71	1.04	22.02	✔	☒	—	0.30	✔	3	1-Low	—
<i>percentile rank</i>			64	33	24	10												
<i># of funds in category</i>			448	404	366	272									08-31-2022	02-15-2022	—	
Lincoln NY Stable Value Account -LYGPA		Stable Value	—	—	—	—	—	—	—	26.01	✔	—	—	—	—	—	—	—
<i>percentile rank</i>			—	—	—	—												
<i># of funds in category</i>			40	—	—	—												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	-0.38	0.50	1.08	—	✔	0.56	0.07	25.24	✔	—	—	0.24	✔	—	—	—
<i>percentile rank</i>			30	46	61	—												
<i># of funds in category</i>			237	216	178	99												
Target Date																		
Vanguard Target Retirement 2020 Fund	VTWNX	Target Date	-15.83	1.17	2.91	5.46	✔	10.98	0.90	9.61	✔	—	✔	0.08	✔	3	1-Low	—
<i>percentile rank</i>			36	48	35	24												
<i># of funds in category</i>			157	147	120	57									08-31-2022	02-15-2022	—	
Vanguard Target Retirement 2025 Fund	VTVX	Target Date	-17.53	1.40	3.15	5.96	✔	12.78	0.96	9.61	✔	—	✔	0.08	✔	3	1-Low	Low Risk
<i>percentile rank</i>			58	46	34	21												
<i># of funds in category</i>			226	202	172	94									08-31-2022	02-15-2022	06-30-2022	
Vanguard Target Retirement 2030 Fund	VTHRX	Target Date	-18.42	1.82	3.43	6.46	✔	14.11	0.96	9.61	✔	—	✔	0.08	✔	3	1-Low	Low Risk
<i>percentile rank</i>			50	50	44	27												
<i># of funds in category</i>			226	202	170	97									08-31-2022	02-15-2022	06-30-2022	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	-18.87	2.39	3.78	6.99	✓	15.35	0.94	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			39	49	44	28													
<i># of funds in category</i>			219	195	169	91									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	-19.42	2.91	4.11	7.40	✓	16.61	0.94	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			33	46	39	22													
<i># of funds in category</i>			220	196	170	97									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	-19.93	3.46	4.42	7.61	✓	17.88	0.96	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			36	34	31	20													
<i># of funds in category</i>			219	195	169	90									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2050 Fund	VFIFX	Target Date	-20.18	3.41	4.39	7.60	✓	18.01	0.95	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			38	35	33	20													
<i># of funds in category</i>			220	196	170	95									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	-20.17	3.40	4.38	7.58	✓	18.02	0.95	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			37	35	38	26													
<i># of funds in category</i>			219	195	169	72									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2060 Fund	VTTSX	Target Date	-20.16	3.40	4.38	7.58	✓	17.99	1.35	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			37	42	41	1													
<i># of funds in category</i>			218	186	150	1									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2065 Fund	VLXVX	Target Date	-20.10	3.38	4.36	—	✘	18.01	1.36	5.22	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			24	55	68	—													
<i># of funds in category</i>			161	37	8	—									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	-14.19	-0.02	1.96	3.31	✓	8.10	0.87	9.61	✓	—	✓	0.08	✓	3	1-Low	—	
<i>percentile rank</i>			49	31	27	36													
<i># of funds in category</i>			162	146	123	81									08-31-2022	02-15-2022	—		
Balanced																			
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-16.75	-0.25	2.05	4.07	✓	9.85	1.00	0.59	✘	—	✓	0.12	✓	3	1-Low	—	
<i>percentile rank</i>			83	62	38	30													
<i># of funds in category</i>			465	429	401	290									08-31-2022	02-15-2022	—		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-19.55	2.69	3.95	6.93	✓	16.32	1.01	0.59	✘	—	✓	0.14	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			73	55	43	34													
<i># of funds in category</i>			332	309	286	226									08-31-2022	02-15-2022	06-30-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-15.38	-1.88	0.95	2.53	✓	6.98	0.98	0.59	✘	—	✓	0.11	✓	3	1-Low	—	
<i>percentile rank</i>			75	85	60	42													
<i># of funds in category</i>			143	132	128	89									08-31-2022	02-15-2022	—		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-18.16	1.24	3.02	5.52	✓	13.03	0.98	0.59	✘	—	✓	0.13	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			82	77	62	54													
<i># of funds in category</i>			766	708	658	487									08-31-2022	02-15-2022	06-30-2022		