

# SARPY COUNTY 401A PLAN

## Screens Summary (Data as of 09-30-2022)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

| Name                                    | Ticker | Asset Class               | Trailing Performance and Risk |       |       |       | Management |                |             | Style  |            | Expense   |            | ESG Metrics |                 |               |             |             |
|---|--------|---------------------------|-------------------------------|-------|-------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|-------------|
|   |        |                           | 1 Yr                          | 3 Yr  | 5 Yr  | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio   | Sustain. Rating | Commit. Level | Carbon Risk |             |
|   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
|   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| <b>US Stock</b>                         |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| JPMorgan US Equity R6                   | JUEMX  | Large Blend               | -14.25                        | 11.29 | 10.68 | 12.69 | ✔️         | 20.57          | 1.01        | 8.12   | ✔️         | 🇺🇸        | ✖️         | 0.44        | ✔️              | 3             | 2-Basic     | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 31                            | 2     | 2     | 1     |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 1,376                         | 1,250 | 1,131 | 828   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Vanguard 500 Index Admiral              | VFIAX  | Large Blend Index         | -15.51                        | 8.12  | 9.20  | 11.66 | ✔️         | 20.30          | 1.00        | 6.43   | ✔️         | 🇺🇸        | ✔️         | 0.04        | ✔️              | 3             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 42                            | 23    | 20    | 13    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 1,376                         | 1,250 | 1,131 | 828   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Fidelity® Contrafund®                   | FCNTX  | Large Growth              | -25.24                        | 7.89  | 9.10  | 11.79 | ✔️         | 21.47          | 0.92        | 32.06  | ✔️         | 🇺🇸        | ✔️         | 0.81        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 49                            | 41    | 51    | 42    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 1,256                         | 1,146 | 1,067 | 795   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Funds Washington Mutual R6     | RWMGX  | Large Value               | -8.29                         | 7.11  | 8.30  | 11.03 | ✔️         | 18.40          | 0.88        | 25.27  | ✔️         | 🇺🇸        | ✖️         | 0.26        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 5                             | 56    | 49    | 41    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 1,376                         | 1,250 | 1,131 | 828   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| MFS Mid Cap Growth R6                   | OTCKX  | Mid-Cap Growth            | -29.11                        | 3.35  | 8.76  | -     | ✔️         | 22.43          | 0.90        | 13.87  | ✔️         | 🇺🇸        | ✔️         | 0.65        | ✔️              | 5             | 2-Basic     | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 52                            | 71    | 23    | -     |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 585                           | 535   | 494   | 382   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Century Mid Cap Value I        | AVUAX  | Mid-Cap Value             | -6.81                         | 5.23  | 5.17  | 10.12 | ✔️         | 20.19          | 0.83        | 18.51  | ✔️         | 🇺🇸        | ✔️         | 0.77        | ✔️              | 4             | 1-Low       | Medium Risk |
| <i>percentile rank</i>                  |        |                           | 11                            | 47    | 37    | 11    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 407                           | 393   | 366   | 272   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Vanguard Small Cap Growth Index Admiral | VSGAX  | Small Growth              | -30.09                        | 2.81  | 5.65  | 9.15  | ✔️         | 25.27          | 0.96        | 17.76  | ✔️         | 🇺🇸        | ✖️         | 0.07        | ✔️              | 4             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 58                            | 77    | 59    | 62    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 620                           | 585   | 542   | 409   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Vanguard Small Cap Value Index Admiral  | VSIAX  | Small Value               | -13.56                        | 5.69  | 4.42  | 9.46  | ✔️         | 25.64          | 0.96        | 6.43   | ✔️         | 🇺🇸        | ✔️         | 0.07        | ✔️              | 4             | 1-Low       | Medium Risk |
| <i>percentile rank</i>                  |        |                           | 41                            | 47    | 27    | 13    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 473                           | 445   | 419   | 318   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| <b>Non-US Stock</b>                     |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Funds New World R6             | RNWGX  | Diversified Emerging Mkts | -28.75                        | 0.73  | 2.20  | 4.56  | ✔️         | 19.53          | 0.93        | 23.30  | ✔️         | 🇺🇸        | ✔️         | 0.57        | ✔️              | 3             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 53                            | 18    | 4     | 3     |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 808                           | 726   | 644   | 373   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Funds Intl Gr and Inc R6       | RIGGX  | Foreign Large Blend       | -25.44                        | -1.79 | -0.46 | 3.31  | ✔️         | 20.07          | 1.07        | 14.01  | ✔️         | 🇺🇸        | ✔️         | 0.54        | ✔️              | 2             | 1-Low       | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 47                            | 49    | 31    | 49    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 755                           | 706   | 617   | 425   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Invesco Oppenheimer International Gr Y  | OIGYX  | Foreign Large Growth      | -34.42                        | -0.98 | -1.42 | 3.68  | ✔️         | 21.46          | 1.04        | 26.53  | ✔️         | 🇺🇸        | ✔️         | 0.85        | ✔️              | 3             | -           | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 65                            | 50    | 80    | 69    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 449                           | 390   | 350   | 227   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| Vanguard International Value Inv        | VTRIX  | Foreign Large Value       | -22.20                        | -0.78 | -0.62 | 3.62  | ✔️         | 20.65          | 1.04        | 12.35  | ✔️         | 🇺🇸        | ✔️         | 0.36        | ✔️              | 2             | -           | Medium Risk |
| <i>percentile rank</i>                  |        |                           | 59                            | 31    | 14    | 16    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 343                           | 316   | 294   | 177   |            |                |             |        |            |           |            |             |                 |               |             |             |
| -----                                   |        |                           |                               |       |       |       |            |                |             |        |            |           |            |             |                 |               |             |             |
| American Funds New Perspective R6       | RNPGX  | Global Stock              | -26.83                        | 6.07  | 6.92  | 9.85  | ✔️         | 21.64          | 1.09        | 21.84  | ✔️         | ---       | ✔️         | 0.41        | ✔️              | 2             | 2-Basic     | Low Risk    |
| <i>percentile rank</i>                  |        |                           | 36                            | 15    | 18    | 14    |            |                |             |        |            |           |            |             |                 |               |             |             |
| <i># of funds in category</i>           |        |                           | 367                           | 308   | 272   | 179   |            |                |             |        |            |           |            |             |                 |               |             |             |

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⊖ Fund Removed from Approved List    ⚠ Fund on Watch List

| Name                                    | Ticker | Asset Class              | Trailing Performance and Risk |       |      |       | Management |                |             | Style  |            | Expense   |            | ESG Metrics |                 |               |             |          |
|---|--------|--------------------------|-------------------------------|-------|------|-------|------------|----------------|-------------|--------|------------|-----------|------------|-------------|-----------------|---------------|-------------|----------|
|   |        |                          | 1 Yr                          | 3 Yr  | 5 Yr | 10 Yr | Pass/ Fail | Std Dev 3 Yr % | Beta 3 Yr % | Tenure | Pass/ Fail | Style Box | Pass/ Fail | Exp Ratio   | Sustain. Rating | Commit. Level | Carbon Risk |          |
|   |        |                          |                               |       |      |       |            |                |             |        |            |           |            |             |                 |               |             |          |
| <b>Alternative</b>                      |        |                          |                               |       |      |       |            |                |             |        |            |           |            |             |                 |               |             |          |
| PIMCO Commodity Real Return Strat I2    | PCRPX  | Commodities Broad Basket | 4.77                          | 14.46 | 7.52 | -2.44 | ✔          | 22.47          | 1.17        | 3.92   | ✔          | —         | —          | 0.86        | ✔               | 3             | —           | —        |
| <i>percentile rank</i>                  |        |                          | 96                            | 37    | 40   | 72    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 115                           | 109   | 92   | 66    |            |                |             |        |            |           |            |             | 08-31-2022      | —             | —           |          |
| Vanguard Real Estate Index Admiral      | VGSLX  | Real Estate              | -18.71                        | -1.59 | 3.09 | 6.24  | ✔          | 22.30          | 0.96        | 26.40  | ✔          | —         | ✔          | 0.12        | ✔               | 3             | 1-Low       | Low Risk |
| <i>percentile rank</i>                  |        |                          | 70                            | 52    | 54   | 35    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 256                           | 237   | 209  | 152   |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | 06-30-2022  |          |
| <b>Fixed Income</b>                     |        |                          |                               |       |      |       |            |                |             |        |            |           |            |             |                 |               |             |          |
| PIMCO International Bond (USD-Hdg) I-2  | PFBPX  | Global Bond              | -11.02                        | -2.58 | 0.65 | 2.61  | ✔          | 4.66           | 0.68        | 8.02   | ✔          | —         | —          | 0.61        | ✔               | 2             | —           | —        |
| <i>percentile rank</i>                  |        |                          | 16                            | 19    | 4    | 2     |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 131                           | 112   | 95   | 58    |            |                |             |        |            |           |            |             | 04-30-2022      | —             | —           |          |
| BlackRock High Yield Bond Instl         | BHYIX  | High Yield Bond          | -13.18                        | -0.32 | 1.73 | 4.13  | ✔          | 11.30          | 1.01        | 14.76  | ✔          | —         | —          | 0.58        | ✔               | —             | 2-Basic     | —        |
| <i>percentile rank</i>                  |        |                          | 42                            | 36    | 24   | 11    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 685                           | 637   | 582  | 403   |            |                |             |        |            |           |            |             | —               | 02-15-2022    | —           |          |
| American Century Inflation Adjs Bond R5 | AIANX  | Inflation-Protected Bond | -11.84                        | 0.65  | 1.64 | 0.70  | ✔          | 7.08           | 1.02        | 20.85  | ✔          | —         | —          | 0.26        | ✔               | —             | —           | —        |
| <i>percentile rank</i>                  |        |                          | 63                            | 58    | 66   | 60    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 210                           | 199   | 190  | 128   |            |                |             |        |            |           |            |             | —               | —             | —           |          |
| Vanguard Interm-Term Bond Index Adm     | VBILX  | Intermediate-Term Bond   | -15.24                        | -3.03 | 0.09 | 1.20  | ✔          | 6.08           | 1.11        | 14.44  | ✔          | ⊠         | —          | 0.07        | ✔               | 3             | 1-Low       | —        |
| <i>percentile rank</i>                  |        |                          | 64                            | 32    | 16   | 18    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 448                           | 404   | 366  | 272   |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | —           |          |
| PIMCO Income I2                         | PONPX  | Multisector Bond         | -10.79                        | -0.36 | 1.13 | 3.95  | ✔          | 7.14           | 0.95        | 15.52  | ✔          | —         | —          | 0.61        | ✔               | —             | —           | —        |
| <i>percentile rank</i>                  |        |                          | 32                            | 23    | 26   | 1     |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 345                           | 289   | 255  | 152   |            |                |             |        |            |           |            |             | —               | —             | —           |          |
| Federated Hermes Govt Ultrashort IS     | FGUSX  | Ultrashort Bond          | -0.40                         | 0.48  | 1.06 | 0.70  | ✖          | 0.55           | 0.07        | 25.24  | ✔          | —         | —          | 0.26        | ✔               | —             | —           | —        |
| <i>percentile rank</i>                  |        |                          | 30                            | 48    | 61   | 64    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 237                           | 216   | 178  | 99    |            |                |             |        |            |           |            |             | —               | —             | —           |          |
| <b>Balanced</b>                         |        |                          |                               |       |      |       |            |                |             |        |            |           |            |             |                 |               |             |          |
| Vanguard LifeStrategy Cnsvr Gr Inv      | VSCGX  | Target Risk              | -16.75                        | -0.25 | 2.05 | 4.07  | ✔          | 9.85           | 1.00        | 0.59   | ✖          | —         | ✔          | 0.12        | ✔               | 3             | 1-Low       | —        |
| <i>percentile rank</i>                  |        |                          | 83                            | 62    | 38   | 30    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 465                           | 429   | 401  | 290   |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | —           |          |
| Vanguard LifeStrategy Growth Inv        | VASGX  | Target Risk              | -19.55                        | 2.69  | 3.95 | 6.93  | ✔          | 16.32          | 1.01        | 0.59   | ✖          | —         | ✔          | 0.14        | ✔               | 3             | 1-Low       | Low Risk |
| <i>percentile rank</i>                  |        |                          | 73                            | 55    | 43   | 34    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 332                           | 309   | 286  | 226   |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | 06-30-2022  |          |
| Vanguard LifeStrategy Income Inv        | VASIX  | Target Risk              | -15.38                        | -1.88 | 0.95 | 2.53  | ✔          | 6.98           | 0.98        | 0.59   | ✖          | —         | ✔          | 0.11        | ✔               | 3             | 1-Low       | —        |
| <i>percentile rank</i>                  |        |                          | 75                            | 85    | 60   | 42    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 143                           | 132   | 128  | 89    |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | —           |          |
| Vanguard LifeStrategy Moderate Gr Inv   | VSMGX  | Target Risk              | -18.16                        | 1.24  | 3.02 | 5.52  | ✔          | 13.03          | 0.98        | 0.59   | ✖          | —         | ✔          | 0.13        | ✔               | 3             | 1-Low       | Low Risk |
| <i>percentile rank</i>                  |        |                          | 82                            | 77    | 62   | 54    |            |                |             |        |            |           |            |             |                 |               |             |          |
| <i># of funds in category</i>           |        |                          | 766                           | 708   | 658  | 487   |            |                |             |        |            |           |            |             | 08-31-2022      | 02-15-2022    | 06-30-2022  |          |