

SHAFFNER HEANEY ASSOCIATES INC 401K

Screens Summary (Data as of 09-30-2022)

Fund Removed from Approved List

Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
US Stock																		
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-15.51	8.12	9.20	11.66		20.30	1.00	6.43				0.04		3	1-Low	Low Risk
<i>percentile rank</i>			42	23	20	13												
<i># of funds in category</i>			1,376	1,250	1,131	828									08-31-2022	02-15-2022	06-30-2022	
Fidelity® Contrafund®	FCNTX	Large Growth	-25.24	7.89	9.10	11.79		21.47	0.92	32.06				0.81		2	1-Low	Low Risk
<i>percentile rank</i>			49	41	51	42												
<i># of funds in category</i>			1,256	1,146	1,067	795									08-31-2022	02-15-2022	06-30-2022	
American Funds Washington Mutual R6	RWMGX	Large Value	-8.29	7.11	8.30	11.03		18.40	0.88	25.27				0.26		2	1-Low	Low Risk
<i>percentile rank</i>			5	56	49	41												
<i># of funds in category</i>			1,376	1,250	1,131	828									08-31-2022	02-15-2022	06-30-2022	
Vanguard Mid Cap Index Admiral	VIMAX	Mid-Cap Blend	-19.48	5.47	6.64	10.45		22.73	0.98	24.38				0.05		4	1-Low	Medium Risk
<i>percentile rank</i>			81	49	26	15												
<i># of funds in category</i>			412	368	336	219									08-31-2022	02-15-2022	06-30-2022	
Carillon Eagle Small Cap Growth I	HSIIX	Small Growth	-30.44	3.23	3.42	8.04		25.18	0.95	29.42				0.77		3	-	Medium Risk
<i>percentile rank</i>			61	71	86	84												
<i># of funds in category</i>			620	585	542	409									08-31-2022	-	06-30-2022	
Delaware Small Cap Value Instl	DEVIX	Small Value	-13.92	4.21	2.99	8.14		26.65	0.98	10.25				0.86		3	-	Medium Risk
<i>percentile rank</i>			43	76	53	43												
<i># of funds in category</i>			473	445	419	318									08-31-2022	-	06-30-2022	
Non-US Stock																		
American Funds New World R6	RNWXG	Diversified Emerging Mkts	-28.75	0.73	2.20	4.56		19.53	0.93	23.30				0.57		3	1-Low	Low Risk
<i>percentile rank</i>			53	18	4	3												
<i># of funds in category</i>			808	726	644	373									08-31-2022	02-15-2022	06-30-2022	
American Funds Intl Gr and Inc R6	RIGGX	Foreign Large Blend	-25.44	-1.79	-0.46	3.31		20.07	1.07	14.01				0.54		2	1-Low	Low Risk
<i>percentile rank</i>			47	49	31	49												
<i># of funds in category</i>			755	706	617	425									08-31-2022	02-15-2022	06-30-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-25.53	-1.26	-0.63	4.04		19.95	1.06	9.61				0.07		2	1-Low	Low Risk
<i>percentile rank</i>			48	35	36	20												
<i># of funds in category</i>			755	706	617	425									08-31-2022	02-15-2022	06-30-2022	
Alternative																		
Vanguard Real Estate Index Admiral	VGSIX	Real Estate	-18.71	-1.59	3.09	6.24		22.30	0.96	26.40		—		0.12		3	1-Low	Low Risk
<i>percentile rank</i>			70	52	54	35												
<i># of funds in category</i>			256	237	209	152									08-31-2022	02-15-2022	06-30-2022	
Fixed Income																		
BlackRock Inflation Protected Bond Instl	BPRIX	Inflation-Protected Bond	-11.67	1.18	1.98	0.84		7.13	1.03	5.63		—	—	0.35		3	-	-
<i>percentile rank</i>			53	33	30	42												
<i># of funds in category</i>			210	199	190	128									08-31-2022	-	-	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
														Updated	Updated	Updated		
Baird Aggregate Bond Inst <i>percentile rank</i> <i># of funds in category</i>	BAGIX	Intermediate-Term Bond	-15.26 64 448	-3.07 33 404	-0.09 24 366	1.36 10 272	✔️	5.71	1.04	22.02	✔️	📦	—	0.30	✔️	3	1-Low	—
PIMCO Income I2 <i>percentile rank</i> <i># of funds in category</i>	PONPX	Multisector Bond	-10.79 32 345	-0.36 23 289	1.13 26 255	3.95 1 152	✔️	7.14	0.95	15.52	✔️	—	—	0.61	✔️	—	—	—
Federated Hermes Govt Ultrashort IS <i>percentile rank</i> <i># of funds in category</i>	FGUSX	Ultrashort Bond	-0.40 30 237	0.48 48 216	1.06 61 178	0.70 64 99	✖️	0.55	0.07	25.24	✔️	—	—	0.26	✔️	—	—	—

Target Date																		
Name	Ticker	Target Date	1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
Vanguard Target Retirement 2020 Fund <i>percentile rank</i> <i># of funds in category</i>	VTWNX	Target Date	-15.83 36 157	1.17 48 147	2.91 35 120	5.46 24 57	✔️	10.98	0.90	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	—
Vanguard Target Retirement 2025 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTVX	Target Date	-17.53 58 226	1.40 46 202	3.15 34 172	5.96 21 94	✔️	12.78	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2030 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHRX	Target Date	-18.42 50 226	1.82 50 202	3.43 44 170	6.46 27 97	✔️	14.11	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2035 Fund <i>percentile rank</i> <i># of funds in category</i>	VTHX	Target Date	-18.87 39 219	2.39 49 195	3.78 44 169	6.99 28 91	✔️	15.35	0.94	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2040 Fund <i>percentile rank</i> <i># of funds in category</i>	VFORX	Target Date	-19.42 33 220	2.91 46 196	4.11 39 170	7.40 22 97	✔️	16.61	0.94	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2045 Fund <i>percentile rank</i> <i># of funds in category</i>	VTIVX	Target Date	-19.93 36 219	3.46 34 195	4.42 31 169	7.61 20 90	✔️	17.88	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2050 Fund <i>percentile rank</i> <i># of funds in category</i>	VVIFX	Target Date	-20.18 38 220	3.41 35 196	4.39 33 170	7.60 20 95	✔️	18.01	0.95	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2055 Fund <i>percentile rank</i> <i># of funds in category</i>	VFFVX	Target Date	-20.17 37 219	3.40 35 195	4.38 38 169	7.58 26 72	✔️	18.02	0.95	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2060 Fund <i>percentile rank</i> <i># of funds in category</i>	VTTSX	Target Date	-20.16 37 218	3.40 42 186	4.38 41 150	7.58 1 1	✔️	17.99	1.35	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement 2065 Fund <i>percentile rank</i> <i># of funds in category</i>	VLXVX	Target Date	-20.10 24 161	3.38 55 37	4.36 68 8	— — —	✖️	18.01	1.36	5.22	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk
Vanguard Target Retirement Income Fund <i>percentile rank</i> <i># of funds in category</i>	VTINX	Target Date	-14.19 49 162	-0.02 31 146	1.96 27 123	3.31 36 81	✔️	8.10	0.87	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	—

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Balanced																		
BlackRock Global Allocation Instl	MALOX	Global Allocation	-18.85	2.76	2.70	4.51	✓	13.84	1.00	5.75	✓	🗄️	✓	0.84	✓	3	-	Medium Risk
<i>percentile rank</i>			<i>66</i>	<i>18</i>	<i>20</i>	<i>21</i>												
<i># of funds in category</i>			<i>426</i>	<i>409</i>	<i>368</i>	<i>263</i>										07-31-2022	-	06-30-2022