

# TAKSEY NEFF & ASSOCIATES LLC 401K

## Screens Summary (Data as of 09-30-2022)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style		Expense		ESG Metrics				
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating <i>Updated</i>	Commit. Level <i>Updated</i>	Carbon Risk <i>Updated</i>
<b>US Stock</b>																		
Vanguard Dividend Growth Inv	VDIGX	Large Blend	-6.18	7.15	10.27	11.53	✔️	17.00	0.79	16.67	✔️	🇺🇸	✔️	0.27	✔️	3	2-Basic	Low Risk
<i>percentile rank</i>			3	55	5	19												
<i># of funds in category</i>			1,376	1,250	1,131	828									08-31-2022	02-15-2022	06-30-2022	
Vanguard 500 Index Admiral	VFIAX	Large Blend Index	-15.51	8.12	9.20	11.66	✔️	20.30	1.00	6.43	✔️	🇺🇸	✔️	0.04	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			42	23	20	13												
<i># of funds in category</i>			1,376	1,250	1,131	828									08-31-2022	02-15-2022	06-30-2022	
MFS Growth R6	MFEKX	Large Growth	-28.22	5.52	10.29	12.65	✔️	21.79	0.93	20.51	✔️	🇺🇸	✔️	0.49	✔️	4	2-Basic	Low Risk
<i>percentile rank</i>			63	68	29	22												
<i># of funds in category</i>			1,256	1,146	1,067	795									08-31-2022	02-15-2022	06-30-2022	
Vanguard Value Index Adm	VVIAX	Large Value	-6.64	6.15	7.06	10.48	✔️	19.12	0.93	27.77	✔️	🇺🇸	✔️	0.05	✔️	2	1-Low	Medium Risk
<i>percentile rank</i>			24	33	26	12												
<i># of funds in category</i>			1,220	1,158	1,099	804									08-31-2022	02-15-2022	06-30-2022	
MFS Mid Cap Growth R6	OTCKX	Mid-Cap Growth	-29.11	3.35	8.76	-	✔️	22.43	0.90	13.87	✔️	🇺🇸	✔️	0.65	✔️	5	2-Basic	Low Risk
<i>percentile rank</i>			52	71	23	-												
<i># of funds in category</i>			585	535	494	382									08-31-2022	02-15-2022	06-30-2022	
Vanguard Selected Value Inv	VASVX	Mid-Cap Value	-13.81	4.90	3.37	8.79	✔️	27.72	1.14	17.61	✔️	🇺🇸	✔️	0.32	✔️	3	-	Medium Risk
<i>percentile rank</i>			80	53	78	50												
<i># of funds in category</i>			407	393	366	272									08-31-2022	-	06-30-2022	
Franklin Small Cap Growth R6	FSMLX	Small Growth	-35.97	1.73	5.75	-	✔️	26.72	0.99	22.43	✔️	🇺🇸	✔️	0.64	✔️	2	-	Medium Risk
<i>percentile rank</i>			78	86	57	-												
<i># of funds in category</i>			620	585	542	409									08-31-2022	-	06-30-2022	
Delaware Small Cap Value R6	DVZRX	Small Value	-13.77	4.38	3.17	-	✔️	26.64	0.98	10.25	✔️	🇺🇸	✔️	0.69	✔️	3	-	Medium Risk
<i>percentile rank</i>			42	75	50	-												
<i># of funds in category</i>			473	445	419	318									08-31-2022	-	06-30-2022	
<b>Non-US Stock</b>																		
American Funds New World R6	RNWGX	Diversified Emerging Mkts	-28.75	0.73	2.20	4.56	✔️	19.53	0.93	23.30	✔️	🇺🇸	✔️	0.57	✔️	3	1-Low	Low Risk
<i>percentile rank</i>			53	18	4	3												
<i># of funds in category</i>			808	726	644	373									08-31-2022	02-15-2022	06-30-2022	
Vanguard Developed Markets Index Admiral	VTMGX	Foreign Large Blend Index	-25.53	-1.26	-0.63	4.04	✔️	19.95	1.06	9.61	✔️	🇺🇸	✔️	0.07	✔️	2	1-Low	Low Risk
<i>percentile rank</i>			48	35	36	20												
<i># of funds in category</i>			755	706	617	425									08-31-2022	02-15-2022	06-30-2022	
Vanguard International Growth Adm	VWILX	Foreign Large Growth	-40.24	3.65	3.12	7.27	✔️	23.42	1.04	12.76	✔️	🇺🇸	✔️	0.32	✔️	3	-	Low Risk
<i>percentile rank</i>			89	5	10	4												
<i># of funds in category</i>			449	390	350	227									08-31-2022	-	06-30-2022	
Vanguard International Value Inv	VTRIX	Foreign Large Value	-22.20	-0.78	-0.62	3.62	✔️	20.65	1.04	12.35	✔️	🇺🇸	✔️	0.36	✔️	2	-	Medium Risk
<i>percentile rank</i>			59	31	14	16												
<i># of funds in category</i>			343	316	294	177									08-31-2022	-	06-30-2022	
American Funds New Perspective R6	RNPGX	Global Stock	-26.83	6.07	6.92	9.85	✔️	21.64	1.09	21.84	✔️	—	✔️	0.41	✔️	2	2-Basic	Low Risk
<i>percentile rank</i>			36	15	18	14												
<i># of funds in category</i>			367	308	272	179									08-31-2022	02-15-2022	06-30-2022	

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Sustain. Rating	Commit. Level	Carbon Risk	
<b>Alternative</b>																		
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	4.81	14.52	7.60	-2.37	✔	22.45	1.17	3.92	✔	—	—	0.76	✔	3	—	—
<i>percentile rank</i>			95	36	38	71												
<i># of funds in category</i>			115	109	92	66									08-31-2022	—	—	
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-18.71	-1.59	3.09	6.24	✔	22.30	0.96	26.40	✔	—	✔	0.12	✔	3	1-Low	Low Risk
<i>percentile rank</i>			70	52	54	35												
<i># of funds in category</i>			256	237	209	152									08-31-2022	02-15-2022	06-30-2022	
<b>Fixed Income</b>																		
PGIM Global Total Return R6	PGTQX	Global Bond	-27.15	-8.36	-3.00	0.01	✔	10.69	1.12	20.01	✔	—	—	0.52	✔	—	—	—
<i>percentile rank</i>			90	85	50	17												
<i># of funds in category</i>			204	194	175	139												
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	-10.79	0.21	1.81	—	✔	10.12	0.90	7.91	✔	—	—	0.66	✔	—	—	—
<i>percentile rank</i>			22	26	22	—												
<i># of funds in category</i>			685	637	582	403												
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-11.53	0.69	1.84	0.89	✔	6.68	0.97	0.89	✖	—	—	0.10	✔	3	—	—
<i>percentile rank</i>			47	51	49	38												
<i># of funds in category</i>			210	199	190	128									08-31-2022	—	—	
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-15.26	-3.07	-0.09	1.36	✔	5.71	1.04	22.02	✔	📊	—	0.30	✔	3	1-Low	—
<i>percentile rank</i>			64	33	24	10												
<i># of funds in category</i>			448	404	366	272									08-31-2022	02-15-2022	—	
PIMCO Income Instl	PIMIX	Multisector Bond	-10.70	-0.26	1.24	4.05	✔	7.14	0.95	15.52	✔	—	—	0.51	✔	—	—	—
<i>percentile rank</i>			30	21	23	1												
<i># of funds in category</i>			345	289	255	152												
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	-0.38	0.50	1.08	—	✔	0.56	0.07	25.24	✔	—	—	0.24	✔	—	—	—
<i>percentile rank</i>			30	46	61	—												
<i># of funds in category</i>			237	216	178	99												
<b>Target Date</b>																		
T. Rowe Price Retirement I 2010 I	TRPAX	Target Date	-15.93	1.42	2.85	—	✔	11.12	1.09	7.01	✔	—	✔	0.34	✔	2	1-Low	—
<i>percentile rank</i>			90	6	6	—												
<i># of funds in category</i>			128	113	82	50									08-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2015 I	TRFGX	Target Date	-16.26	1.84	3.18	—	✔	11.89	1.06	7.01	✔	—	✔	0.36	✔	2	1-Low	—
<i>percentile rank</i>			66	5	1	—												
<i># of funds in category</i>			124	114	89	43									08-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2020 I	TRBRX	Target Date	-16.66	2.23	3.56	—	✔	12.89	1.04	7.01	✔	—	✔	0.37	✔	2	1-Low	—
<i>percentile rank</i>			56	3	1	—												
<i># of funds in category</i>			157	147	120	57									08-31-2022	02-15-2022	—	
T. Rowe Price Retirement I 2025 I	TRPHX	Target Date	-17.65	2.74	3.94	—	✔	14.21	1.05	7.01	✔	—	✔	0.39	✔	3	1-Low	Low Risk
<i>percentile rank</i>			63	3	3	—												
<i># of funds in category</i>			226	202	172	94									08-31-2022	02-15-2022	12-31-2021	

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																	Updated	Updated	Updated
T. Rowe Price Retirement I 2030 I	TRPCX	Target Date	-19.10	3.00	4.19	-	✓	15.66	1.06	7.01	✓	—	✓	0.41	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			74	5	7	-													
<i># of funds in category</i>			226	202	170	97									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2035 I	TRPJX	Target Date	-20.46	3.22	4.37	-	✓	16.88	1.03	7.01	✓	—	✓	0.42	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			81	15	11	-													
<i># of funds in category</i>			219	195	169	91									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2040 I	TRPDJ	Target Date	-21.49	3.51	4.57	-	✓	17.95	1.01	7.01	✓	—	✓	0.43	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			86	19	13	-													
<i># of funds in category</i>			220	196	170	97									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2045 I	TRPKX	Target Date	-21.88	3.77	4.73	-	✓	18.56	1.00	7.01	✓	—	✓	0.44	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			82	16	15	-													
<i># of funds in category</i>			219	195	169	90									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2050 I	TRPMX	Target Date	-22.06	3.73	4.70	-	✓	18.65	0.99	7.01	✓	—	✓	0.45	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			80	18	15	-													
<i># of funds in category</i>			220	196	170	95									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2055 I	TRPNX	Target Date	-22.15	3.68	4.69	-	✓	18.67	0.98	7.01	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			83	23	13	-													
<i># of funds in category</i>			219	195	169	72									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2060 I	TRPLX	Target Date	-22.08	3.71	4.69	-	✓	18.67	1.40	7.01	✓	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			77	27	14	-													
<i># of funds in category</i>			218	186	150	1									08-31-2022	02-15-2022	06-30-2022		
T. Rowe Price Retirement I 2065 I	TRFKX	Target Date	-21.92	-	-	-	—	-	-	1.96	✗	—	✓	0.46	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			71	-	-	-													
<i># of funds in category</i>			161	37	8	-									08-31-2022	02-15-2022	06-30-2022		
<b>Balanced</b>																			
Vanguard LifeStrategy Cnsvr Gr Inv	VSCGX	Target Risk	-16.75	-0.25	2.05	4.07	✓	9.85	1.00	0.59	✗	—	✓	0.12	✓	3	1-Low	-	
<i>percentile rank</i>			83	62	38	30													
<i># of funds in category</i>			465	429	401	290									08-31-2022	02-15-2022	-		
Vanguard LifeStrategy Growth Inv	VASGX	Target Risk	-19.55	2.69	3.95	6.93	✓	16.32	1.01	0.59	✗	—	✓	0.14	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			73	55	43	34													
<i># of funds in category</i>			332	309	286	226									08-31-2022	02-15-2022	06-30-2022		
Vanguard LifeStrategy Income Inv	VASIX	Target Risk	-15.38	-1.88	0.95	2.53	✓	6.98	0.98	0.59	✗	—	✓	0.11	✓	3	1-Low	-	
<i>percentile rank</i>			75	85	60	42													
<i># of funds in category</i>			143	132	128	89									08-31-2022	02-15-2022	-		
Vanguard LifeStrategy Moderate Gr Inv	VSMGX	Target Risk	-18.16	1.24	3.02	5.52	✓	13.03	0.98	0.59	✗	—	✓	0.13	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			82	77	62	54													
<i># of funds in category</i>			766	708	658	487									08-31-2022	02-15-2022	06-30-2022		