



# UNIVERSITY GASTROENTEROLOGY 401K

## Screens Summary (Data as of 09-30-2022)

🚫 Fund Removed from Approved List    ⚠️ Fund on Watch List

Name	Ticker	Asset Class	Trailing Performance and Risk				Management			Style			Expense			ESG Metrics			
			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
<b>Alternative</b>																			
PIMCO Commodity Real Ret Strat Instl	PCRIX	Commodities Broad Basket	4.81	14.52	7.60	-2.37	✔️	22.45	1.17	3.92	✔️	—	—	0.76	✔️	3	—	—	
<i>percentile rank</i>			95	36	38	71													
<i># of funds in category</i>			115	109	92	66									08-31-2022	—	—		
Vanguard Real Estate Index Admiral	VGSLX	Real Estate	-18.71	-1.59	3.09	6.24	✔️	22.30	0.96	26.40	✔️	—	✔️	0.12	✔️	3	1-Low	Low Risk	
<i>percentile rank</i>			70	52	54	35													
<i># of funds in category</i>			256	237	209	152									08-31-2022	02-15-2022	06-30-2022		
<b>Fixed Income</b>																			
PIMCO International Bond (USD-Hdg) Instl	PFORX	Global Bond	-10.93	-2.49	0.75	2.71	✔️	4.66	0.68	8.02	✔️	—	—	0.51	✔️	2	—	—	
<i>percentile rank</i>			15	19	3	1													
<i># of funds in category</i>			131	112	95	58									04-30-2022	—	—		
Eaton Vance Income Fund of Boston R6	EIBRX	High Yield Bond	-10.79	0.21	1.81	—	✔️	10.12	0.90	7.91	✔️	—	—	0.66	✔️	—	—	—	
<i>percentile rank</i>			22	26	22	—													
<i># of funds in category</i>			685	637	582	403													
Vanguard Inflation-Protected Secs Adm	VAIPX	Inflation-Protected Bond	-11.53	0.69	1.84	0.89	✔️	6.68	0.97	0.89	✖️	—	—	0.10	✔️	3	—	—	
<i>percentile rank</i>			47	51	49	38													
<i># of funds in category</i>			210	199	190	128									08-31-2022	—	—		
Baird Aggregate Bond Inst	BAGIX	Intermediate-Term Bond	-15.26	-3.07	-0.09	1.36	✔️	5.71	1.04	22.02	✔️	📊	—	0.30	✔️	3	1-Low	—	
<i>percentile rank</i>			64	33	24	10													
<i># of funds in category</i>			448	404	366	272									08-31-2022	02-15-2022	—		
PIMCO Income Instl	PIMIX	Multisector Bond	-10.70	-0.26	1.24	4.05	✔️	7.14	0.95	15.52	✔️	—	—	0.51	✔️	—	—	—	
<i>percentile rank</i>			30	21	23	1													
<i># of funds in category</i>			345	289	255	152													
Lincoln Stable Value Account -LNGPA		Stable Value	—	—	—	—	—	—	—	39.44	✔️	—	—	—	—	—	—	—	
<i>percentile rank</i>			—	—	—	—													
<i># of funds in category</i>			40	—	—	—													
Federated Hermes Govt Ultrashort R6	FGULX	Ultrashort Bond	-0.38	0.50	1.08	—	✔️	0.56	0.07	25.24	✔️	—	—	0.24	✔️	—	—	—	
<i>percentile rank</i>			30	46	61	—													
<i># of funds in category</i>			237	216	178	99													
<b>Target Date</b>																			
Vanguard Target Retirement 2020 Fund	VTWNX	Target Date	-15.83	1.17	2.91	5.46	✔️	10.98	0.90	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	—	
<i>percentile rank</i>			36	48	35	24													
<i># of funds in category</i>			157	147	120	57									08-31-2022	02-15-2022	—		
Vanguard Target Retirement 2025 Fund	VTVX	Target Date	-17.53	1.40	3.15	5.96	✔️	12.78	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	
<i>percentile rank</i>			58	46	34	21													
<i># of funds in category</i>			226	202	172	94									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2030 Fund	VTHRX	Target Date	-18.42	1.82	3.43	6.46	✔️	14.11	0.96	9.61	✔️	—	✔️	0.08	✔️	3	1-Low	Low Risk	
<i>percentile rank</i>			50	50	44	27													
<i># of funds in category</i>			226	202	170	97									08-31-2022	02-15-2022	06-30-2022		

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			1 Yr	3 Yr	5 Yr	10 Yr	Pass/ Fail	Std Dev 3 Yr %	Beta 3 Yr %	Tenure	Pass/ Fail	Style Box	Pass/ Fail	Exp Ratio	Pass/ Fail	Sustain. Rating	Commit. Level	Carbon Risk	
																	Updated	Updated	Updated
Vanguard Target Retirement 2035 Fund	VTHX	Target Date	-18.87	2.39	3.78	6.99	✓	15.35	0.94	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>39</i>	<i>49</i>	<i>44</i>	<i>28</i>													
<i># of funds in category</i>			<i>219</i>	<i>195</i>	<i>169</i>	<i>91</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2040 Fund	VFORX	Target Date	-19.42	2.91	4.11	7.40	✓	16.61	0.94	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>33</i>	<i>46</i>	<i>39</i>	<i>22</i>													
<i># of funds in category</i>			<i>220</i>	<i>196</i>	<i>170</i>	<i>97</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2045 Fund	VTIVX	Target Date	-19.93	3.46	4.42	7.61	✓	17.88	0.96	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>36</i>	<i>34</i>	<i>31</i>	<i>20</i>													
<i># of funds in category</i>			<i>219</i>	<i>195</i>	<i>169</i>	<i>90</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2050 Fund	VFIFX	Target Date	-20.18	3.41	4.39	7.60	✓	18.01	0.95	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>38</i>	<i>35</i>	<i>33</i>	<i>20</i>													
<i># of funds in category</i>			<i>220</i>	<i>196</i>	<i>170</i>	<i>95</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2055 Fund	VFFVX	Target Date	-20.17	3.40	4.38	7.58	✓	18.02	0.95	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>37</i>	<i>35</i>	<i>38</i>	<i>26</i>													
<i># of funds in category</i>			<i>219</i>	<i>195</i>	<i>169</i>	<i>72</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2060 Fund	VTTSX	Target Date	-20.16	3.40	4.38	7.58	✓	17.99	1.35	9.61	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>37</i>	<i>42</i>	<i>41</i>	<i>1</i>													
<i># of funds in category</i>			<i>218</i>	<i>186</i>	<i>150</i>	<i>1</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement 2065 Fund	VLVX	Target Date	-20.10	3.38	4.36	—	✘	18.01	1.36	5.22	✓	—	✓	0.08	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>24</i>	<i>55</i>	<i>68</i>	<i>—</i>													
<i># of funds in category</i>			<i>161</i>	<i>37</i>	<i>8</i>	<i>—</i>									08-31-2022	02-15-2022	06-30-2022		
Vanguard Target Retirement Income Fund	VTINX	Target Date	-14.19	-0.02	1.96	3.31	✓	8.10	0.87	9.61	✓	—	✓	0.08	✓	3	1-Low	—	
<i>percentile rank</i>			<i>49</i>	<i>31</i>	<i>27</i>	<i>36</i>													
<i># of funds in category</i>			<i>162</i>	<i>146</i>	<i>123</i>	<i>81</i>									08-31-2022	02-15-2022	—		
<b>Balanced</b>																			
American Funds American Balanced R6	RLBGX	Moderate Allocation	-13.13	3.72	5.14	7.89	✓	12.60	0.94	23.76	✓	—	✓	0.25	✓	3	1-Low	Low Risk	
<i>percentile rank</i>			<i>27</i>	<i>25</i>	<i>22</i>	<i>6</i>													
<i># of funds in category</i>			<i>766</i>	<i>708</i>	<i>658</i>	<i>487</i>									08-31-2022	02-15-2022	06-30-2022		